



**CONTRACT NO. 500245
(RFP 808343)**

**CONSTRUCTION – 3100 E. 5TH STREET - PHASES 1A AND 2 –
1ST AND 2ND FLOORS**

CONTRACTOR:

TRIMBUILT CONSTRUCTION, INC.
12800 LAMAR BLVD
AUSTIN, TX 78753
512-832-1979
lpuckett@trimbuilt.com

AWARD DATE:

June 5, 2025

CONTRACT TERM:

300 Calendar Days from Notice to Proceed (NTP)

NOT TO EXCEED AWARD AMOUNT:

\$11,942,622.79

SBE GOAL:

19.5%

PROJECT MANAGER:

Thomas Shockley
512-832-1979
thomas.shockley@capmetro.org

CONTRACT ADMINISTRATOR:

Terry Dudley
512-369-6225
terry.dudley@capmetro.org

PROCUREMENT DEPARTMENT
CAPITAL METROPOLITAN TRANSPORTATION AUTHORITY
2910 E. 5TH STREET
AUSTIN, TEXAS 78702



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CAPITAL METROPOLITAN TRANSPORTATION AUTHORITY AUSTIN, TEXAS		
AWARD/CONTRACT FORM		
1. SOLICITATION NO: RFP 808343	2. CONTRACT NO: 500245	3. EFFECTIVE DATE: Upon Execution
4. CONTRACTS ADMINISTRATOR: Terry Dudley		PHONE: 512-369-6225
5. SHIP TO ADDRESS:	6. DELIVERY TERMS:	
Capital Metro 2910 East 5 th Street Austin, Texas 78702	F.O.B. Destination	
7. DISCOUNTS FOR PROMPT PAYMENT: N/A		
8. CONTRACTOR NAME & ADDRESS:	9. REMITTANCE ADDRESS:	(If different from Item 8)
Trimbuilt Construction, Inc. 12800 Lamar Blvd Austin, TX 78753		
PHONE: 512-832-1979	EMAIL: lpuckett@trimbuilt.com	
10. SBE GOAL: 19.5%		
CONTRACT EXECUTION		
CAUTION: A false statement in any bid or proposal submitted to CMTA may be a criminal offense in violation of Section 37.10 of the Texas Penal Code.		
X NEGOTIATED AGREEMENT: (Contractor is required to sign below and return to the Contracting Officer within three (3) calendar days of receipt.)		
Contractor agrees to perform, furnish and deliver all the services set forth or otherwise identified in the following items and all relevant attachments and addenda. The rights and obligations of the parties to this contract shall be subject to and governed by the following documents: (a) this Award/Contract, (b) the solicitation, as amended, and (c), such provisions, representations, certifications, and specifications, as are attached or incorporated by reference herein.		
<ul style="list-style-type: none"> Exhibit A-Revised-3, Pricing Schedule Exhibit E-Revised-3, Contractual Terms and Conditions Exhibit I, Authorization of Work Product Exhibit B, Representations and Certifications Exhibit F-Revised-4, Scope of Services and/or Specifications Exhibit G-Revised-2, Wage Rates Contractor's Final Proposal dated April 10, 2025 Contractor's Initial Proposal dated February 28, 2025 Other provisions or attachments to the Contract 		
SIGNATURE OF CONTRACTOR: E-SIGNED by Larry Puckett Mr. Larry Puckett, President Signature: on 2025-06-05 06:34:19 AKDT Date: _____		
X AWARD: Items listed below are changes from the original offer and solicitation as submitted.		
This Award/Contract Form may be executed in multiple originals, and an executed facsimile or email copy shall have the same force and effect as an original document.		
ALTERATIONS IN CONTRACT: Changes are as follows: Refer to Exhibit E-Revised-3, Section 67, Order of Precedence, which is updated to reflect the most recent version of the following Exhibits: <ol style="list-style-type: none"> 1. Refer to <u>Exhibit E-Revised-2, Contractual Terms and Conditions</u>. Exhibit E-Revised-2, Contractual Terms and Conditions shall be replaced in its entirety with Exhibit E-Revised-3, Contractual Terms and Conditions, attached hereto and made a part hereof for all pertinent purposes. Changes are marked in red and highlighted in yellow. 2. Refer to <u>Exhibit G-Revised-1, Wage Rates</u>. Exhibit G-Revised-1, Wage Rates shall be replaced in its entirety with Exhibit G-Revised-2, Wage Rates, attached hereto and made a part hereof for all pertinent purposes. Changes are marked in red and highlighted in yellow. 		
11. ACCEPTED AS TO: Exhibit A-Revised-3, Section 7.A. BASE PRICE, Line Items 1, 2 and 3 (SUM OF ITEMS 1 THROUGH 3) and Section 7.B. OPTIONAL ITEMS, ITEMS 1, 2 and 3 for a total Not-to-Exceed amount of <u>\$11,942,622.79</u> .		
SIGNATURE OF CONTRACTING OFFICER: Anita Deibert, CPSM E-SIGNED by Anita Deibert Procurement Manager, Acquisitions & Contract Administration Signature: on 2025-06-23 12:55:06 AKDT Date: _____		

EXHIBIT A-REVISED-3**PRICING SCHEDULE****RFP 808343****THE OFFEROR IS REQUIRED TO SIGN AND DATE EACH PAGE OF THIS SCHEDULE****1. IDENTIFICATION OF OFFEROR AND SIGNATURE OF AUTHORIZED AGENT**

Company Name (Printed)	Trimbuilt Construction, Inc.		
Address	12800 North Lamar Blvd.		
City, State, Zip	Austin, TX 78753		
Phone, Fax, Email	512-832-1979 - Phone	512-873-0142 - Fax	lpuckett@trimbuilt.com
The undersigned agrees, if this offer is accepted within the period specified, to furnish any or all supplies and/or services specified in the Schedule at the prices offered therein.			
Authorized Agent Name and Title (Printed)	Larry Puckett, President		
Signature and Date			04/10/2025

2. ACKNOWLEDGEMENT OF AMENDMENTS

The offeror must acknowledge amendment(s) to this solicitation in accordance with the ACKNOWLEDGMENT OF AMENDMENTS section of Exhibit C.

3. PROMPT PAYMENT DISCOUNT

# of Days	N/A
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Note, payment terms are specified in Exhibit E, Contractual Terms and Conditions.

4. SBE GOAL (TO BE COMPLETED UPON AWARD BY CAPMETRO)

The SBE participation commitment for this contract is the following percentage of the total contract:

	%
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5. AUTHORITY'S ACCEPTANCE (TO BE COMPLETED UPON AWARD BY CAPMETRO)

The Authority hereby accepts this offer.

Authorized Agent Name and Title (Printed)	
Signature and Date	
Accepted as to:	

6. DOCUMENTS ENCLOSED WITH THE PROPOSAL

Email Delivery of Proposal: Proposals should be submitted via email with the subject heading clearly identified as RFP 808343 - Construction - 3100 E. 5th Street – Phases 1A and 2 – 1st and 2nd Floors, Volume 1 and Volume 2, to the email addresses listed below.

procure.help@capmetro.org

with a courtesy copy (cc) to:

terry.dudley@capmetro.org



Exhibit A-Revised-3, Pricing Schedule (Signed PDF and Excel Formats)

Note: Failure to submit the required submittals along with the offer may result in rejection of the offer.

The remainder of Exhibit A – Pricing Schedule has been redacted.

For further information regarding Exhibit A, you may:

- Reach out to the Contractor directly via the Contractor contact details provided on the cover page of this contract.

OR

- Submit a public information request directly to PIR@capmetro.org.

For more information regarding the Public Information Act and submitting public information requests, follow this link to our website: <https://www.capmetro.org/legal/>

EXHIBIT B

REPRESENTATIONS AND CERTIFICATIONS

(LOCALLY FUNDED SUPPLY/SERVICE/CONSTRUCTION CONTRACTS)

M U S T B E R E T U R N E D W I T H T H E O F F E R

1. TYPE OF BUSINESS

(a) The offeror operates as (mark one):

- ☐ An individual
☐ A partnership
☐ A sole proprietor
☒ A corporation
☐ Another entity _____

(b) If incorporated, under the laws of the State of:

Texas

2. PARENT COMPANY AND IDENTIFYING DATA

(a) The offeror (mark one):

- ☐ is
☒ is not

owned or controlled by a parent company. A parent company is one that owns or controls the activities and basic business policies of the offeror. To own the offering company means that the parent company must own more than fifty percent (50%) of the voting rights in that company.

(b) A company may control an offeror as a parent even though not meeting the requirements for such ownership if the company is able to formulate, determine, or veto basic policy decisions of the offeror through the use of dominant minority voting rights, use of proxy voting, or otherwise.

(c) If not owned or controlled by a parent company, the offeror shall insert its own EIN (Employer's Identification Number) below:

(d) If the offeror is owned or controlled by a parent company, it shall enter the name, main office and EIN number of the parent company, below:

N/A

3. CERTIFICATION OF INDEPENDENT PRICE DETERMINATION

(a) The offeror (and all joint venture members, if the offer is submitted by a joint venture) certifies that in connection with this solicitation:

(1) the prices offered have been arrived at independently, without consultation, communication, or agreement for the purpose of restricting competition, with any other offeror or with any other competitor;

(2) unless otherwise required by law, the prices offered have not been knowingly disclosed by the offeror and will not knowingly be disclosed by the offeror prior to opening of bids in the case of an invitation for bids, or prior to contract award in the case of a request for proposals, directly or indirectly to any other offeror or to any competitor; and

(3) no attempt has been made or will be made by the offeror to induce any other person or firm to submit or not to submit an offer for the purpose of restricting competition.

(b) Each signature on the offer is considered to be a certification by the signatory that the signatory:

(1) is the person in the offeror's organization responsible for determining the prices being offered in this bid or proposal, and that the signatory has not participated and will not participate in any action contrary to paragraphs (a)(1) through (a)(3) of this provision; or

(i) has been authorized, in writing, to act as agent for the following principals in certifying that those principals have not participated, and will not participate in any action contrary to paragraphs (a)(1) through (a)(3) of this provision: Larry Puckett, President [insert full name of person(s) in the offeror's organization responsible for determining the prices offered in this bid or proposal, and the title of his or her position in the offeror's organization];

(ii) as an authorized agent, does certify that the principals named in subdivision (b)(1)(i) of this provision have not participated, and will not participate, in any action contrary to paragraphs (a)(1) through (a)(3) of this provision; and

(iii) as an agent, has not personally participated, and will not participate, in any action contrary to paragraphs (a)(1) through (a)(3) of this provision.

(c) If the offeror deletes or modifies paragraph (a)(2) of this provision, the offeror must furnish with its offer a signed statement setting forth in detail the circumstances of the disclosure.

4. DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION

(a) In accordance with the provisions of 2 C.F.R. (Code of Federal Regulations), part 180, the offeror certifies to the best of the offeror's knowledge and belief, that it and its principals:

(1) are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;

(2) have not within a three (3) year period preceding this offer been convicted of or had a civil judgment rendered against them for the commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes, or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(3) are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in (a)(2) above; and

(4) have not within a three (3) year period preceding this offer had one or more public transactions (Federal, State, or local) terminated for cause or default.

(b) Where the offeror is unable to certify to any of the statements above, the offeror shall attach a full explanation to this offer.

(c) For any subcontract at any tier expected to equal or exceed \$25,000:

(1) In accordance with the provisions of 2 C.F.R. part 180, the prospective lower tier subcontractor certifies, by submission of this offer, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to the statement, above, an explanation shall be attached to the offer.

(3) This certification (specified in paragraphs (c)(1) and (c)(2), above) shall be included in all applicable subcontracts and a copy kept on file by the prime contractor. The prime contractor shall be required to furnish copies of the certifications to the Authority upon request.

5. **COMMUNICATIONS**

(a) All oral and written communications with the Authority regarding this solicitation shall be exclusively with, or on the subjects and with the persons approved by, the persons identified in this solicitation. Discussions with any other person not specified could result in disclosure of proprietary or other competitive sensitive information or otherwise create the appearance of impropriety or unfair competition and thereby compromise the integrity of the Authority's procurement system. If competition cannot be resolved through normal communication channels, the Authority's protest procedures shall be used for actual or prospective competitors claiming any impropriety in connection with this solicitation.

(b) By submission of this offer, the offeror certifies that it has not, and will not prior to contract award, communicate orally or in writing with any Authority employee or other representative of the Authority (including Board Members, Capital Metro contractors or consultants), except as described below:

Individual's Name	Date/Subject of Communication
N/A	N/A

(Attach continuation form, if necessary.)

6. **CONTINGENT FEE**

(a) Except for full-time, bona fide employees working solely for the offeror, the offeror represents as part of its offer that it (mark one):

- ☐ has
☒ has not

employed or retained any company or persons to solicit or obtain this contract, and (mark one):

- ☐ has
☒ has not

paid or agreed to pay any person or company employed or retained to solicit or obtain this contract any commission, percentage, brokerage, or other fee contingent upon or resulting from the award of this contract.

- (b) The offeror agrees to provide information relating to (a) above, when any item is answered affirmatively.

7. CODE OF ETHICS

(a) Statement of Purpose

The brand and reputation of Capital Metro is determined in large part by the actions or ethics of representatives of the agency. Capital Metro is committed to a strong ethical culture and to ethical behavior by all individuals serving Capital Metro as employees, members of the Board of Directors or volunteers. Individuals serving Capital Metro will conduct business with honesty and integrity. We will make decisions and take actions that are in the best interest of the people we serve and that are consistent with our mission, vision and this policy. The Code of Ethics (the "Code") documents Capital Metro's Standards of Ethical Conduct and policies for Ethical Business Transactions. Compliance with the Code will help protect Capital Metro's reputation for honesty and integrity. The Code attempts to provide clear principles for Capital Metro's expectations for behavior in conducting Capital Metro business. We have a duty to read, understand and comply with the letter and spirit of the Code and Capital Metro policies. You are encouraged to inquire if any aspect of the Code needs clarification.

(b) Applicability

The Code applies to Capital Metro employees, contractors, potential contractors, Board Members and citizen advisory committee members. Violation of the Code of Ethics may result in discipline up to and including termination or removal from the Board of Directors.

(c) Standards of Ethical Conduct

The public must have confidence in our integrity as a public agency and we will act at all times to preserve the trust of the community and protect Capital Metro's reputation. To demonstrate our integrity and commitment to ethical conduct we will:

- (1) Continuously exhibit a desire to serve the public and display a helpful, respectful manner.
- (2) Exhibit and embody a culture of safety in our operations.
- (3) Understand, respect and obey all applicable laws, regulations and Capital Metro policies and procedures both in letter and spirit.
- (4) Exercise sound judgment to determine when to seek advice from legal counsel, the Ethics Officer or others.
- (5) Treat each other with honesty, dignity and respect and will not discriminate in our actions toward others.
- (6) Continuously strive for improvement in our work and be accountable for our actions.
- (7) Transact Capital Metro business effectively and efficiently and act in good faith to protect the Authority's assets from waste, abuse, theft or damage.
- (8) Be good stewards of Capital Metro's reputation and will not make any representation in public or private, orally or in writing, that states, or appears to state, an official position of Capital Metro unless authorized to do so.
- (9) Report all material facts known when reporting on work projects, which if not revealed, could either conceal unlawful or improper practices or prevent informed decisions from being made.
- (10) Be fair, impartial and ethical in our business dealings and will not use our authority to unfairly or illegally influence the decisions of other employees or Board members.

(11) Ensure that our personal or business activities, relationships and other interests do not conflict or appear to conflict with the interests of Capital Metro and disclose any potential conflicts.

(12) Encourage ethical behavior and report all known unethical or wrongful conduct to the Capital Metro Ethics Officer or the Board Ethics Officer.

(d) Roles and Responsibilities

It is everyone's responsibility to understand and comply with the Code of Ethics and the law. Lack of knowledge or understanding of the Code will not be considered. If you have a question about the Code of Ethics, ask.

It is the responsibility of Capital Metro management to model appropriate conduct at all times and promote an ethical culture. Seek guidance if you are uncertain what to do.

It is Capital Metro's responsibility to provide a system of reporting and access to guidance when an employee wishes to report a suspected violation and to seek counseling, and the normal chain of command cannot, for whatever reason, be utilized. If you need to report something or seek guidance outside the normal chain of command, Capital Metro provides the following resources:

(1) Anonymous Fraud Hotline – Internal Audit

(2) Anonymous Online Ethics Reporting System

(3) Contact the Capital Metro Ethics Officer, Vice-President of Internal Audit, the EEO Officer or Director of Human Resources

(4) Safety Hotline

The Capital Metro Ethics Officer is the Chief Counsel. The Ethics Officer is responsible for the interpretation and implementation of the Code and any questions about the interpretation of the Code should be directed to the Ethics Officer.

(e) Ethical Business Transactions

Section 1. Impartiality and Official Position

(1) A Substantial Interest is defined by Tex. Loc. Govt. Code, § 171.002. An official or a person related to the official in the first degree by consanguinity or affinity has a Substantial Interest in:

(i) A business entity if the person owns ten percent (10%) or more of the voting stock or shares of the business entity or owns either 10% or more or \$15,000 or more of the fair market value of the business entity OR funds received by the person from the business entity exceed 10% of the person's gross income for the previous year; or

(ii) Real property if the interest is an equitable or legal ownership with a fair market value of \$2,500 or more.

Capital Metro will not enter into a contract with a business in which a Board Member or employee or a Family Member of a Board Member or employee as defined in Section 8 has a Substantial Interest except in case of emergency as defined in the Acquisition Policy PRC-100 or the business is the only available source for essential goods and services or property.

(2) No Board Member or employee shall:

(i) Act as a surety for a business that has work, business or a contract with Capital Metro or act as a surety on any official bond required of an officer of Capital Metro.

(ii) Represent for compensation, advise or appear on behalf of any person or firm concerning any contract or transaction or in any proceeding involving Capital Metro's interests.

(iii) Use his or her official position or employment, or Capital Metro's facilities, equipment or supplies to obtain or attempt to obtain private gain or advantage.

(iv) Use his or her official position or employment to unfairly influence other Board members or employees to perform illegal, immoral, or discreditable acts or do anything that would violate Capital Metro policies.

(v) Use Capital Metro's resources, including employees, facilities, equipment, and supplies in political campaign activities.

(vi) Participate in a contract for a contractor or first-tier subcontractor with Capital Metro for a period of one (1) year after leaving employment on any contract with Capital Metro.

(vii) Participate for a period of two (2) years in a contract for a contractor or first-tier subcontractor with Capital Metro if the Board Member or employee participated in the recommendation, bid, proposal or solicitation of the Capital Metro contract or procurement.

Section 2. Employment and Representation

A Board Member or employee must disclose to his or her supervisor, appropriate Capital Metro staff or the Board Chair any discussions of future employment with any business which has, or the Board Member or employee should reasonably foresee is likely to have, any interest in a transaction upon which the Board Member or employee may or must act or make a recommendation subsequent to such discussion. The Board Member or employee shall take no further action on matters regarding the potential future employer.

A Board Member or employee shall not solicit or accept other employment to be performed or compensation to be received while still a Board Member or employee, if the employment or compensation could reasonably be expected to impair independence in judgment or performance of their duties.

A Board Member or employee with authority to appoint or hire employees shall not exercise such authority in favor of an individual who is related within the first degree, within the second degree by affinity or within the third degree by consanguinity as defined by the Capital Metro Nepotism Policy in accordance with Tex. Govt. Code, Ch. 573.

Section 3. Gifts

It is critical to keep an arms-length relationship with the entities and vendors Capital Metro does business with in order to prevent the appearance of impropriety, undue influence or favoritism.

No Board Member or employee shall:

(1) Solicit, accept or agree to accept any benefit or item of monetary value as consideration for the Board Member's or employee's decision, vote, opinion, recommendation or other exercise of discretion as a public servant. [Tex. Penal Code §36.02(c)]

(2) Solicit, accept or agree to accept any benefit or item of monetary value as consideration for a violation of any law or duty. [Tex. Penal Code §36.02(a)(1)]

(3) Solicit, accept or agree to accept any benefit or item of monetary value from a person the Board Member or employee knows is interested in or likely to become interested in any Capital Metro contract or transaction if the benefit or item of monetary value could reasonably be inferred as intended to influence the Board Member or employee. [Tex. Penal Code §36.08(d)]

(4) Receive or accept any gift, favor or item of monetary value from a contractor or potential contractor of Capital Metro or from any individual or entity that could reasonably be inferred as intended to influence the Board Member or employee.

Exception: Consistent with state law governing public servants, a gift does not include a benefit or item of monetary value with a value of less than \$50, excluding cash or negotiable instruments, unless it can reasonably be inferred that the item was intended to influence the Board Member or employee. A department may adopt more restrictive provisions if there is a demonstrated and documented business need. [Tex. Penal Code § 36.10(a)(6)]

Exception: A gift or other benefit conferred, independent of the Board Member's or employee's relationship with Capital Metro, that is not given or received with the intent to influence the Board Member or employee in the performance of his or her official duties is not a violation of this policy. The Capital Metro Ethics Officer or Board Ethics Officer must be consulted for a determination as to whether a potential gift falls within this exception.

Exception: Food, lodging, or transportation that is provided as consideration for legitimate services rendered by the Board Member or employee related to his or her official duties is not a violation of this policy.

If you are uncertain about a gift, seek guidance from the Ethics Officer.

Section 4. Business Meals and Functions

Board Members and employees may accept invitations for free, reasonable meals in the course of conducting Capital Metro's business or while attending a seminar or conference in connection with Capital Metro business as long as there is not an active or impending solicitation in which the inviting contractor or party may participate and attendance at the event or meal does not create an appearance that the invitation was intended to influence the Board Member or employee.

When attending such events, it is important to remember that you are representing Capital Metro and if you chose to drink alcohol, you must do so responsibly. Drinking irresponsibly may lead to poor judgment and actions that may violate the Code or other Capital Metro policies and may damage the reputation of Capital Metro in the community and the industry.

Section 5. Confidential Information

It is everyone's responsibility to safeguard Capital Metro's nonpublic and confidential information.

No Board Member or employee shall:

(1) Disclose, use or allow others to use nonpublic or confidential information that Capital Metro has not made public unless it is necessary and part of their job duties and then only pursuant to a nondisclosure agreement approved by legal counsel or with consultation and permission of legal counsel.

(2) Communicate details of any active Capital Metro procurement or solicitation or other contract opportunity to any contractor, potential contractor or individual not authorized to receive information regarding the active procurement or contract opportunity.

Section 6. Financial Accountability and Record Keeping

Capital Metro's financial records and reports should be accurate, timely, and in accordance with applicable laws and accounting rules and principles. Our records must reflect all components of a transaction in an honest and forthright manner. These records reflect the results of Capital Metro's operations and our stewardship of public funds.

A Board Member or employee shall:

(1) Not falsify a document or distort the true nature of a transaction.

- (2) Properly disclose risks and potential liabilities to appropriate Capital Metro staff.
- (3) Cooperate with audits of financial records.
- (4) Ensure that all transactions are supported by accurate documentation.
- (5) Ensure that all reports made to government authorities are full, fair, accurate and timely.
- (6) Ensure all accruals and estimates are based on documentation and good faith judgment.

Section 7. Conflict of Interest

Employees and Board Members are expected to deal at arms-length in any transaction on behalf of Capital Metro and avoid and disclose actual conflicts of interest under the law and the Code and any circumstance which could impart the appearance of a conflict of interest. A conflict of interest exists when a Board Member or employee is in a position in which any official act or action taken by them is, may be, or appears to be influenced by considerations of personal gain rather than the general public trust.

Conflict of Interest [Tex. Loc. Govt. Code, Ch. 171 & 176, § 2252.908]

No Board Member or employee shall participate in a matter involving a business, contract or real property transaction in which the Board Member or employee has a Substantial Interest if it is reasonably foreseeable that an action on the matter would confer a special economic benefit on the business, contract or real property that is distinguishable from its effect on the public. [Tex. Loc. Govt. Code, § 171.004]

Disclosure

A Board Member or employee must disclose a Substantial Interest in a business, contract, or real property that would confer a benefit by their vote or decision. The Board Member or employee may not participate in the consideration of the matter subject to the vote or decision. Prior to the vote or decision, a Board Member shall file an affidavit citing the nature and extent of his or her interest with the Board Vice Chair or Ethics Officer. [Tex. Loc. Govt. Code, § 171.004]

A Board Member or employee may choose not to participate in a vote or decision based on an appearance of a conflict of interest and may file an affidavit documenting their recusal.

Section 8. Disclosure of Certain Relationships [Tex. Loc. Govt. Code, Ch. 176]

Definitions

(1) A Local Government Officer is defined by Tex. Loc. Govt. Code § 176.001(4). A Local Government Officer is:

(i) A member of the Board of Directors;

(ii) The President/CEO; or

(iii) A third party agent of Capital Metro, including an employee, who exercises discretion in the planning, recommending, selecting or contracting of a vendor.

(2) A Family Member is a person related within the first degree by consanguinity or the second degree by affinity as defined by Tex. Govt. Code, Ch. 573.

(3) A Family Relationship is a relationship between a person and another person within the third degree by consanguinity or the second degree by affinity as defined by Tex. Govt. Code, Ch. 573.

(4) A Local Government Officer must file a Conflicts Disclosure Statement (FORM CIS) if:

(i) The person or certain Family Members received at least \$2,500 in taxable income (other than investment income) from a vendor or potential vendor in the last twelve (12) months through an employment or other business relationship;

(ii) The person or certain Family Members received gifts from a vendor or potential vendor with an aggregate value greater than \$100 in the last 12 months; or

(iii) The vendor (or an employee of the vendor) has a Family Relationship with the Local Government Officer.

- (5) A vendor doing business with Capital Metro or seeking to do business with Capital Metro is required to file a completed questionnaire (FORM CIQ) disclosing the vendor's affiliations or business relationship with any Board Member or local government officer or his or her Family Member.

Section 9. Duty to Report and Prohibition on Retaliation

Board Members and employees have a duty to promptly report any violation or possible violation of this Code of Ethics, as well as any actual or potential violation of laws, regulations, or policies and procedures to the hotline, the Capital Metro Ethics Officer or the Board Ethics Officer.

Any employee who reports a violation will be treated with dignity and respect and will not be subjected to any form of retaliation for reporting truthfully and in good faith. Any retaliation is a violation of the Code of Ethics and may also be a violation of the law, and as such, could subject both the individual offender and Capital Metro to legal liability.

Section 10. Penalties for Violation of the Code of Ethics

In addition to turning over evidence of misconduct to the proper law enforcement agency when appropriate, the following penalties may be enforced:

(1) If a Board Member does not comply with the requirements of this policy, the Board member may be subject to censure or removal from the Board in accordance with Section 451.511 of the Texas Transportation Code.

(2) If an employee does not comply with the requirements of this policy, the employee shall be subject to appropriate disciplinary action up to and including termination.

(3) Any individual or business entity contracting or attempting to contract with Capital Metro which offers, confers or agrees to confer any benefit as consideration for a Board Member's or employee's decision, opinion, recommendation, vote or other exercise of discretion as a public servant in exchange for the Board Member's or employee's having exercised his official powers or performed his official duties, or which attempts to communicate with a Board Member or Capital Metro employee regarding details of a procurement or other contract opportunity in violation of Section 5, or which participates in the violation of any provision of this Policy may have its existing Capital Metro contracts terminated and may be excluded from future business with Capital Metro for a period of time as determined appropriate by the President/CEO.

(4) Any individual who makes a false statement in a complaint or during an investigation of a complaint with regard to a matter that is a subject of this policy is in violation of this Code of Ethics and is subject to its penalties. In addition, Capital Metro may pursue any and all available legal and equitable remedies against the person making the false statement or complaint.

Section 11. Miscellaneous Provisions

(1) This Policy shall be construed liberally to effectuate its purposes and policies and to supplement such existing laws as they may relate to the conduct of Board Members and employees.

(2) Within sixty (60) days of the effective date for the adoption of this Code each Board Member and employee of Capital Metro will receive a copy of the Code and sign a statement acknowledging that they have read,

understand and will comply with Capital Metro's Code of Ethics. New Board Members and employees will receive a copy of the Code and are required to sign this statement when they begin office or at the time of initial employment.

(3) Board Members and employees shall participate in regular training related to ethical conduct, this Code of Ethics and related laws and policies.

8. SMALL BUSINESS ENTERPRISE (SBE) GOALS

The goal established for this solicitation must be met or the offeror must submit clear evidence of a "good faith effort" along with the offeror's completed Schedule C of Subcontractor Participation form (listing all proposed subcontractors, SBE and non-SBE) and an executed Intent to Perform as a SBE Subcontractor form for each SBE subcontractor listed on the Schedule C as part of the proposal or sealed bid. By submission of this offer, the offeror certifies that it will comply with the provisions of Exhibit D attached to this solicitation entitled "Small Business Enterprise Program" and will meet the goal as established in any ensuing contract.

9. TEXAS ETHICS COMMISSION CERTIFICATION

In accordance with Section 2252.908, Texas Government Code, upon request of the Authority, the selected contractor may be required to electronically submit a "Certificate of Interested Parties" with the Texas Ethics Commission in the form required by the Texas Ethics Commission, and furnish the Authority with the original signed and notarized document prior to the time the Authority signs the contract. The form can be found at www.ethics.state.tx.us. Questions regarding the form should be directed to the Texas Ethics Commission.

10. TEXAS LABOR CODE CERTIFICATION (CONSTRUCTION ONLY)

Contractor certifies that Contractor will provide workers' compensation insurance coverage on every employee of the Contractor employed on the Project. Contractor shall require that each Subcontractor employed on the Project provide workers' compensation insurance coverage on every employee of the Subcontractor employed on the Project and certify coverage to Contractor as required by Section 406.96 of the Texas Labor Code, and submit the Subcontractor's certificate to the Authority prior to the time the Subcontractor performs any work on the Project.

11. CERTIFICATION REGARDING ISRAEL

As applicable and in accordance with Section 2271.002 of the Texas Government Code, the Contractor certifies that it does not boycott Israel and will not boycott Israel during the term of this Contract.

12. CERTIFICATION REGARDING FOREIGN TERRORIST ORGANIZATIONS

Contractor certifies and warrants that it is not engaged in business with Iran, Sudan, or a foreign terrorist organization, as prohibited by Section 2252.152 of the Texas Government Code.

13. VERIFICATION REGARDING FIREARM ENTITIES AND FIREARM TRADE ASSOCIATIONS

As applicable and in accordance with Section 2274.002 of the Texas Government Code, Contractor verifies that it does not have a practice, policy, guidance, or directive that discriminates against a firearm entity or firearm trade association and will not discriminate during the term of the Contract against a firearm entity or firearm trade association.

14. BOYCOTT OF ENERGY COMPANIES PROHIBITED

Pursuant to Chapter 2276 of Texas Government Code, Contractor verifies that:

(a) it does not, and will not for the duration of the Contract, boycott energy companies, as defined in Section 2276.002 of the Texas Government Code, or

(b) the verification required by Section 2276.002 of the Texas Government Code does not apply to Contractor and this Contract. If circumstances relevant to this provision change during the course of the Contract, Contractor shall promptly notify the Authority.

15. CRITICAL INFRASTRUCTURE PROHIBITION

Pursuant to Chapter 2275 of Texas Government Code, Contractor certifies that, if this Contract or any contract between Contractor and Capital Metro relates to critical infrastructure, as defined in Chapter 2275 of the Texas Government Code, Contractor is not owned by or the majority of stock or other ownership interest of its firm is not held or controlled by:

- (a) individuals who are citizens of China, Iran, North Korea, Russia, or a Governor-designated country; or
- (b) a company or other entity, including a governmental entity, that is owned or controlled by citizens of or is directly controlled by the government of China, Iran, North Korea, Russia, or a Governor-designated country; or
- (c) headquartered in China, Iran, North Korea, Russia, or a Governor-designated country.

16. CERTIFICATION OF PRIME CONTRACTOR PARTICIPATION

- (a) The Prime Contractor certifies that it shall perform no less than thirty percent (30%) of the work with his own organization. The on-site production of materials produced by other than the Prime Contractor's forces shall be considered as being subcontracted.
- (b) The organization of the specifications into divisions, sections, articles, and the arrangement and titles of the project drawings shall not control the Prime Contractor in dividing the work among subcontractors or in establishing the extent of the work to be performed by any trade.
- (c) The offeror further certifies that no more than seventy percent (70%) of the work will be done by subcontractors.

17. REPRESENTATION REGARDING CERTAIN TELECOMMUNICATIONS AND VIDEO SURVEILLANCE SERVICES OR EQUIPMENT

(a) *Prohibition.* This Contract is subject to the Public Law 115-232, Section 889, and 2 Code of Federal Regulations (C.F.R.) Part 200, including §200.216 and §200.471 related to the prohibition of certain "covered telecommunications equipment and services", which includes:

(1) Telecommunications equipment produced by Huawei Technologies Company or ZTE Corporation (or any subsidiary or affiliate of such entities)

(2) For the purpose of public safety, security of government facilities, physical security surveillance of critical infrastructure, and other national security purposes, video surveillance and telecommunications equipment produced by Hytera Communications Corporation, Hangzhou Hikvision Digital Technology Company, or Dahua Technology Company (or any subsidiary or affiliate of such entities).

(3) Telecommunications or video surveillance services provided by such entities or using such equipment.

(4) Telecommunications or video surveillance equipment or services produced or provided by an entity that the Secretary of Defense, in consultation with the Director of National Intelligence or the Director of the Federal Bureau of Investigation, reasonably believes to be an entity owned or controlled by, or otherwise connected to, the government of a covered foreign country.

(b) *Procedures.* The Offeror shall review the list of excluded parties in the System for Award Management (SAM) (<https://www.sam.gov>) for entities excluded from receiving federal awards for "covered telecommunications equipment or services".

(c) *Representation.* The Offeror represents that—

(1) It

☐ will

☒ will not

provide covered telecommunications equipment or services to the Authority in the performance of any contract, sub-contract or other contractual instrument resulting from this solicitation. The Offeror shall provide the additional disclosure information required at paragraph (d)(1) of this section if the Offeror responds "will" in paragraph (c)(1) of this section; and

(2) After conducting a reasonable inquiry, for purposes of this representation, the Offeror represents that—

☐ does

☒ does not

use covered telecommunications equipment or services, or use any equipment, system, or service that uses covered telecommunications equipment or services. The Offeror shall provide the additional disclosure information required at paragraph (d)(2) of this section if the Offeror responds "does" in paragraph (c)(2) of this section.

(d) *Disclosures.*

(1) Disclosure for the representation in paragraph (c)(1) of this provision. If the Offeror has responded "will" in the representation in paragraph (c)(1) of this provision, the Offeror shall provide the following information as part of the offer:

(i) For covered equipment—

(A) The entity that produced the covered telecommunications equipment (include entity name, unique entity identifier, CAGE code, and whether the entity was the original equipment manufacturer (OEM) or a distributor, if known);

(B) A description of all covered telecommunications equipment offered (include brand; model number, such as OEM number, manufacturer part number, or wholesaler number; and item description, as applicable); and

(C) Explanation of the proposed use of covered telecommunications equipment and any factors relevant to determining if such use would be permissible under the prohibition in paragraph (a)(1) of this provision.

(ii) For covered services—

(A) If the service is related to item maintenance: A description of all covered telecommunications services offered (include on the item being maintained: Brand; model number, such as OEM number, manufacturer part number, or wholesaler number; and item description, as applicable); or

(B) If not associated with maintenance, the Product Service Code (PSC) of the service being provided; and explanation of the proposed use of covered telecommunications services and any factors relevant to determining if such use would be permissible under the prohibition in paragraph (a)(1) of this provision.

(2) Disclosure for the representation in paragraph (c)(2) of this provision. If the Offeror has responded "does" in the representation in paragraph (c)(2) of this provision, the Offeror shall provide the following information as part of the offer:

(i) For covered equipment—

(A) The entity that produced the covered telecommunications equipment (include entity name, unique entity identifier, CAGE code, and whether the entity was the OEM or a distributor, if known);

(B) A description of all covered telecommunications equipment offered (include brand; model number, such as OEM number, manufacturer part number, or wholesaler number; and item description, as applicable); and

(C) Explanation of the proposed use of covered telecommunications equipment and any factors relevant to determining if such use would be permissible under the prohibition in paragraph (a)(2) of this provision.

(ii) For covered services—

(A) If the service is related to item maintenance: A description of all covered telecommunications services offered (include on the item being maintained: Brand; model number, such as OEM number, manufacturer part number, or wholesaler number; and item description, as applicable); or

(B) If not associated with maintenance, the PSC of the service being provided; and explanation of the proposed use of covered telecommunications services and any factors relevant to determining if such use would be permissible under the prohibition in paragraph (a)(2) of this provision.

18. SIGNATURE BLOCK FOR ALL REPRESENTATIONS AND CERTIFICATIONS

(a) These representations and certifications concern a material representation of fact upon which reliance will be placed in awarding a contract. If it is later determined that the offeror knowingly rendered an erroneous or false certification, in addition to all other remedies the Authority may have, the Authority may terminate the contract for default and/or recommend that the offeror be debarred or suspended from doing business with the Authority in the future.

(b) The offeror shall provide immediate written notice to the Authority if, at any time prior to contract award, the offeror learns that the offeror's certification was, or a subsequent communication makes, the certification erroneous.

(c) Offerors must set forth full, accurate and complete information as required by this solicitation (including this attachment). Failure of an offeror to do so may render the offer nonresponsive.

(d) A false statement in any offer submitted to the Authority may be a criminal offense in violation of Section 37.10 of the Texas Penal Code.

(e) I understand that a false statement on this certification may be grounds for rejection of this submittal or termination of the awarded contract.

Name of Offeror:

Trimbuilt Construction, Inc.

Type/Print Name of Signatory:

Larry Puckett

Signature:



Date:

2/28/2025

EXHIBIT G—REVISED-1**WAGE RATES****Part I – No Federal Funds Used for Project****1. APPRENTICES**

(a) Locally Funded Projects: Apprentices will be permitted to work as such when they are listed on a payroll as an apprentice. Apprentices are to be paid a minimum of 60 percent of journeymen wages indicated. At no time shall a journeyman supervise more than one (1) apprentice.

(b) Employees who are not under the apprentice program shall be paid the minimum wage rate provided in contract per individual classification or for Work actually performed.

2. COMPLAINTS AND PENALTIES

A public body awarding a contract, and an agent or officer of the public body, shall, take cognizance of complaints of all violations of Chapter 2258 Texas Government Code Title 10 committed in the execution of the contract; and withhold money forfeited or required to be withheld under this chapter from the payments to the contractor under the contract. A contractor or subcontractor(s) who violates this section shall pay to the political subdivision on whose behalf the contract is made, \$60 for each worker employed for each calendar day or part of the day that the worker is paid less than the wage rates stipulated in the contract. A public body shall use any money collected under this section to offset the costs incurred in the administration - of this chapter.

Part II – Federal Funds Used for Part of Project**3. PAYMENT**

(a) Employee Certification: Contractor, Subcontractor, and Sub-subcontractor shall identify in writing, the classification agreed to by all laborers and mechanics employed by them in the execution of the Contract, and pay not less than rates specified in the attached U.S. Department of Labor Wage Determination(s). If work performed by worker is different than the classification agreed upon, the worker shall be paid no less than the minimum wage for work performed.

(b) Classification Definitions

Under the DBA, there are not standard classification definitions. Rather, the classification description is that used by firms whose wage rates were found to be prevailing in the area and incorporated in the applicable wage determination. Although the Dictionary of Occupational Titles, previously published by the Employment and Training Administration, may be used as a reference, it should not be relied on for making employee classification determinations. It is incumbent on the Contractor to reach an agreement with laborers and mechanics as to their proper classification and to submit that agreement to the Contracting Officer for the officer's agreement; if the Contractor and laborers/mechanics are unable to reach agreement as to the proper classification, the Contractor must contact the Authority.

(c) Minimum Wages: Contractor shall ensure that workers on the Project are paid not less than the prevailing wage rates, which includes fringe benefits, for the applicable type of construction (*i.e.*, Building, Highway, or Heavy). Contractor shall ensure that the wage rates are paid to workers performing at the site of the work, as defined by 29 CFR 5.2. If the Contractor, a Subcontractor, or a Sub-subcontractor will have any class of laborers or mechanics, including helpers, which is not listed in the attached Wage Determination(s) and that will be employed under the Contract, the Contractor and the Authority shall comply with the conformance procedures set forth in 29 CFR 5.5.

(d) Contractor shall ensure that all laborers and mechanics, as defined by 29 CFR 5.2, performing Work for this Project are paid unconditionally, at least once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the U.S. Secretary of Labor under the

Copeland Act, Title 29 CFR, Part 3), the full amounts accrued at time of payment, computed at rates not less than the wage rates bound herein pertaining to type of Work being performed, regardless of the type of contractual relationship that may exist (or is alleged to exist) between the Contractor (or subcontractor) and laborer or mechanic. When Work is of such a nature that wage determinations for more than one type of construction are incorporated into the Contract, the Contractor shall ensure that each laborer and mechanic performing under the Contract is paid the wage rates from the applicable wage determination that the Authority designated for the specific work performed by the mechanic or laborer. Contractor shall post wage determinations and any additional classifications and wage rates conformed through the applicable process and the Davis-Bacon poster at the site of the Work in a prominent, easily accessible place where they can easily be seen by all workers.

(e) **Overtime Requirements:** No Contractor, Subcontractor, or Sub-subcontractor contracting for any part of contract Work which may require or involve the employment of laborers or mechanics shall require or permit any laborer or mechanic in any workweek in which he is employed on such Work, to work in excess of forty hours such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours in excess of forty hours in such workweek.

4. APPRENTICES

Contractor may permit Apprentices, as defined in 29 CFR 5.2, to be paid less than the predetermined rate for work they perform under the Contract only if the Apprentice employed pursuant and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship (OA), or with a State Apprenticeship Agency recognized by OA. Contractor shall ensure that Apprentices receive fringe benefits in accordance with the provisions of the applicable apprenticeship program or, if not specified in the program, with the wage determination for the applicable classification; if the Department of Labor has determined that a different practice prevails for the applicable apprentice classification, however, Contractor shall ensure that the Apprentice's fringe benefits are in accordance with that determination. The allowable ratio of Apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to Contractor as to Contractor's entire work force under the registered program or the ratio applicable to the locality pursuant to 29 CFR 5.5(a)(4)(i)(D). Contractor shall ensure that any employee listed on a payroll at an Apprentice wage rate, who is not registered as above, is paid the wage rate provided in the Contract for Work the employee actually performed. Additionally, Contractor shall ensure that any Apprentice performing work on the job site in excess of the ratio permitted under the regulations must be paid not less than the applicable wage rate on the wage determination for the work actually performed. Contractor, Subcontractor, or Sub-subcontractor shall furnish to the Authority written evidence of registration of the program for Apprentices as well as of the appropriate ratios and wage rates, for the area of construction prior to using any Apprentice on this Contract.

5. WITHHOLDING OF PAYMENTS

(a) The term "prime contractor" as used in this Section 3 is defined in 29 CFR 5.2.

(b) The Authority may, upon its own action, or must, upon written request of an authorized representative of the U.S. Department of Labor, withhold or cause to be withheld from Contractor so much of the accrued payments or advances as may be considered necessary to satisfy the liabilities of the prime contractor or any subcontractor for the full amount of wages and monetary relief, including interest, required by the clauses set forth in 29 CFR 5.5(a) for violation of this Contract, or to satisfy any such liabilities required by any other Federal contract, or federally assisted contract subject to Davis-Bacon labor standards, that is held by the same prime contractor. The necessary funds may be withheld from the Contractor under this Contract, any other Federal contract with the same prime contractor, or any other federally assisted contract that is subject to Davis-Bacon labor standards requirements and is held by the same prime contractor, regardless of whether the other contract was awarded or assisted by the same agency, and such funds may be used to satisfy the contractor liability for which the funds were withheld. In the event of a contractor's failure to pay any laborer or mechanic, including any apprentice or helper working on the site of the work (or otherwise working in construction or development of the project under a development statute) all or part of the wages required by the Contract, or upon the Contractor's failure to submit the required records as discussed in 29 CFR 5.5 paragraph (a)(3)(iv), the Federal Government may on its own initiative and after written notice to the contractor, sponsor, applicant, owner, or other entity, as the case may be, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

6. RECORDS AND CERTIFIED PAYROLLS

(a) Contractor shall keep records required by 29 CFR 5.5(a)(3), including:

- (1) The specified employee information,
- (2) The records relating to fringe benefits,
- (3) The records relating to apprenticeship,

(4) Certified payrolls (must be provided weekly to CapMetro's Procurement Department), and

(5) Contracts, subcontracts, and related documents.

(b) Contractor shall make the records required by 29 CFR 5.5(a)(3)(i) through (iii), including records maintained by Subcontractors and Sub-subcontractors, and any other documents that the Authority or the U.S. Department of Labor deems necessary to determine compliance with the labor standards provisions of any of the applicable statutes referenced by 29 CFR 5.1, available for inspection, copying, or transcription by authorized representatives of the Authority or the U.S. Department of Labor, and must permit such representatives to interview workers during working hours on the job. Contractor shall ensure that payrolls and other records required by 29 CFR 5.5 are maintained during term of Contract and preserved for a period of three (3) years after all the work on the Contract is completed for all laborers and mechanics (including guards and watchpersons), working on the Contract.

(c) In addition to the actions that the U.S. Department of Labor may take or require if Contractor retaliates against any worker or job applicant in accordance with 29 CFR 5.5(a)(11) or 5.5 (b)(5), in instances where the retaliation has been confirmed, the Authority will apply a rebuttable presumption that the Contractor is not a responsible entity for at least six months; the presumed non-responsibility may be shortened or extended based on the applicable facts.

7. DESIGNATION OF SPECIFIC WORK TO INCORPORATED WAGE DETERMINATIONS

(a) Building Construction Wage Rates shall be used for the following work: Construction – 3100 E. 5th Street Phases 1A and 2 – 1st and 2nd Floors.

8. WAGE RATES

The Labor Rates applicable to this project are in General Decision Number TX20240271, dated 01/03/2025 05/16/2025 which follow.

GENERAL DECISION NUMBER: TX20250229 05/16/2025

Superseded General Decision Number: TX20240229

State: Texas

Construction Type: Building

County: Austin County in Texas.

BUILDING CONSTRUCTION PROJECTS (does not include single family homes or apartments up to and including 4 stories).

Note: Contracts subject to the Davis-Bacon Act are generally required to pay at least the applicable minimum wage rate required under Executive Order 14026 or Executive Order 13658. Please note that these Executive Orders apply to covered contracts entered into by the federal government that are subject to the Davis-Bacon Act itself, but do not apply to contracts subject only to the Davis-Bacon Related Acts, including those set forth at 29 CFR 5.1(a)(1).

<p>If the contract is entered into on or after January 30, 2022, or the contract is renewed or extended (e.g., an option is exercised) on or after January 30, 2022:</p>	<p>. Executive Order 14026 generally applies to the contract.</p> <p>. The contractor must pay all covered workers at least \$17.75 per hour (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on the contract in 2025.</p>
<p>If the contract was awarded on or between January 1, 2015 and January 29, 2022, and the contract is not renewed or extended on or after January 30, 2022:</p>	<p>. Executive Order 13658 generally applies to the contract.</p> <p>. The contractor must pay all covered workers at least \$13.30 per hour (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on that contract in 2025.</p>

The applicable Executive Order minimum wage rate will be adjusted annually. If this contract is covered by one of the Executive Orders and a classification considered necessary for performance of work on the contract does not appear on this wage determination, the contractor must still submit a conformance request.

Additional information on contractor requirements and worker

CAPITAL METROPOLITAN TRANSPORTATION AUTHORITY

protections under the Executive Orders is available at
<http://www.dol.gov/whd/govcontracts>.

Modification Number	Publication Date
0	01/03/2025
1	03/07/2025
2	03/14/2025
3	05/16/2025

* ASBE0022-009 07/01/2024

	Rates	Fringes
ASBESTOS WORKER/HEAT & FROST INSULATOR (Duct, Pipe and Mechanical System Insulation).....	\$ 30.20	12.38

 BOIL0074-003 01/01/2025

	Rates	Fringes
BOILERMAKER.....	\$ 33.17	24.92

 CARP0551-008 04/01/2021

	Rates	Fringes
CARPENTER (Excludes Acoustical Ceiling Installation,Drywall Hanging, Form Work and Metal Stud Installation).....	\$ 25.86	9.08

 * ELEC0716-005 08/26/2024

	Rates	Fringes
ELECTRICIAN (Excludes Low Voltage Wiring and Installation of Alarms).....	\$ 36.50	10.51

 ELEV0031-003 01/01/2025

	Rates	Fringes
ELEVATOR MECHANIC.....	\$ 53.59	38.435+a+b

FOOTNOTES:

A. 6% under 5 years based on regular hourly rate for all
 hours worked. 8% over 5 years based on regular hourly rate
 for all hours worked.

B. Holidays: New Year's Day; Memorial Day; Independence Day;
 Labor Day; Thanksgiving Day; Friday after Thanksgiving Day;
 Christmas Day; and Veterans Day.

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 ENGI0450-002 04/01/2024

	Rates	Fringes
POWER EQUIPMENT OPERATOR		
Cranes.....	\$ 39.47	10.39

IRON0084-011 06/01/2024

	Rates	Fringes
IRONWORKER, ORNAMENTAL.....	\$ 28.26	8.13

PLAS0783-001 04/01/2023

	Rates	Fringes
PLASTERER.....	\$ 31.34	10.30

PLUM0068-002 10/01/2024

	Rates	Fringes
PLUMBER.....	\$ 39.98	11.61

PLUM0211-010 10/01/2024

	Rates	Fringes
PIPEFITTER (Including HVAC		
Pipe Installation).....	\$ 41.14	11.86

SHEE0054-012 04/01/2020

	Rates	Fringes
SHEET METAL WORKER		
Excludes HVAC Duct and		
Unit Installation.....	\$ 29.70	13.85
HVAC Duct Installation Only.	\$ 29.70	13.85

* SUTX2014-004 07/21/2014

	Rates	Fringes
ACOUSTICAL CEILING MECHANIC.....	\$ 16.41 **	3.98
BRICKLAYER.....	\$ 19.86	0.00
CAULKER.....	\$ 15.36 **	0.00
CEMENT MASON/CONCRETE FINISHER...	\$ 13.82 **	0.00
DRYWALL FINISHER/TAPER.....	\$ 16.30 **	3.71

DRYWALL HANGER AND METAL STUD

CAPITAL METROPOLITAN TRANSPORTATION AUTHORITY

INSTALLER.....	\$ 17.45 **	3.96
ELECTRICIAN (Alarm Installation Only).....	\$ 17.97	3.37
ELECTRICIAN (Low Voltage Wiring Only).....	\$ 18.00	1.68
FLOOR LAYER: Carpet.....	\$ 20.00	0.00
FORM WORKER.....	\$ 12.57 **	0.00
GLAZIER.....	\$ 19.12	4.41
INSULATOR - BATT.....	\$ 14.87 **	0.73
IRONWORKER, REINFORCING.....	\$ 12.10 **	0.00
IRONWORKER, STRUCTURAL.....	\$ 27.15	5.66
LABORER: Common or General.....	\$ 11.56 **	0.00
LABORER: Mason Tender - Brick...	\$ 13.37 **	0.00
LABORER: Mason Tender - Cement/Concrete.....	\$ 10.50 **	0.00
LABORER: Pipelayer.....	\$ 12.94 **	0.00
LABORER: Roof Tearoff.....	\$ 11.28 **	0.00
LABORER: Landscape and Irrigation.....	\$ 9.49 **	0.00
LATHER.....	\$ 19.73	0.00
OPERATOR: Backhoe/Excavator/Trackhoe.....	\$ 14.10 **	0.00
OPERATOR: Bobcat/Skid Steer/Skid Loader.....	\$ 13.93 **	0.00
OPERATOR: Bulldozer.....	\$ 20.77	0.00
OPERATOR: Drill.....	\$ 16.22 **	0.34
OPERATOR: Forklift.....	\$ 15.64 **	0.00
OPERATOR: Grader/Blade.....	\$ 13.37 **	0.00
OPERATOR: Loader.....	\$ 13.55 **	0.94
OPERATOR: Mechanic.....	\$ 17.52 **	3.33
OPERATOR: Paver (Asphalt, Aggregate, and Concrete).....	\$ 16.03 **	0.00

CAPITAL METROPOLITAN TRANSPORTATION AUTHORITY

OPERATOR: Roller.....	\$ 16.00 **	0.00
PAINTER (Brush, Roller and Spray), Excludes Drywall Finishing/Taping.....		
	\$ 16.77 **	4.51
ROOFER.....	\$ 15.40 **	0.00
SHEET METAL WORKER (HVAC Unit Installation Only).....		
	\$ 19.67	2.24
SPRINKLER FITTER (Fire Sprinklers).....		
	\$ 22.17	9.70
TILE FINISHER.....	\$ 12.00 **	0.00
TILE SETTER.....	\$ 16.17 **	0.00
TRUCK DRIVER: 1/Single Axle Truck.....		
	\$ 14.95 **	5.23
TRUCK DRIVER: Dump Truck.....	\$ 12.39 **	1.18
TRUCK DRIVER: Flatbed Truck.....	\$ 19.65	8.57
TRUCK DRIVER: Semi-Trailer Truck.....		
	\$ 12.50 **	0.00
TRUCK DRIVER: Water Truck.....	\$ 12.00 **	4.11
WATERPROOFER.....	\$ 14.39 **	0.00

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

=====
** Workers in this classification may be entitled to a higher minimum wage under Executive Order 14026 (\$17.75) or 13658 (\$13.30). Please see the Note at the top of the wage determination for more information. Please also note that the minimum wage requirements of Executive Order 14026 are not currently being enforced as to any contract or subcontract to which the states of Texas, Louisiana, or Mississippi, including their agencies, are a party.

Note: Executive Order (EO) 13706, Establishing Paid Sick Leave for Federal Contractors applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2017. If this contract is covered by the EO, the contractor must provide employees with 1 hour of paid sick leave for every 30 hours they work, up to 56 hours of paid sick leave each year. Employees must be permitted to use paid sick leave for their own illness, injury or other health-related needs, including preventive care; to assist a family member (or person who is

like family to the employee) who is ill, injured, or has other health-related needs, including preventive care; or for reasons resulting from, or to assist a family member (or person who is like family to the employee) who is a victim of, domestic violence, sexual assault, or stalking. Additional information on contractor requirements and worker protections under the EO is available at <https://www.dol.gov/agencies/whd/government-contracts>.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (iii)).

The body of each wage determination lists the classifications and wage rates that have been found to be prevailing for the type(s) of construction and geographic area covered by the wage determination. The classifications are listed in alphabetical order under rate identifiers indicating whether the particular rate is a union rate (current union negotiated rate), a survey rate, a weighted union average rate, a state adopted rate, or a supplemental classification rate.

Union Rate Identifiers

A four-letter identifier beginning with characters other than ""SU"", ""UAVG"", ?SA?, or ?SC? denotes that a union rate was prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2024. PLUM is an identifier of the union whose collectively bargained rate prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. The date, 07/01/2024 in the example, is the effective date of the most current negotiated rate.

Union prevailing wage rates are updated to reflect all changes over time that are reported to WHD in the rates in the collective bargaining agreement (CBA) governing the classification.

Union Average Rate Identifiers

The UAVG identifier indicates that no single rate prevailed for those classifications, but that 100% of the data reported for the classifications reflected union rates. EXAMPLE: UAVG-OH-0010 01/01/2024. UAVG indicates that the rate is a weighted union average rate. OH indicates the State of Ohio. The next number, 0010 in the example, is an internal number used in producing the wage determination. The date, 01/01/2024 in the example, indicates the date the wage determination was updated to reflect the most current union average rate.

A UAVG rate will be updated once a year, usually in January, to reflect a weighted average of the current rates in the collective bargaining agreements on which the rate is based.

Survey Rate Identifiers

The ""SU"" identifier indicates that either a single non-union rate prevailed (as defined in 29 CFR 1.2) for this classification in the survey or that the rate was derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As a weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SUFL2022-007 6/27/2024. SU indicates the rate is a single non-union prevailing rate or a weighted average of survey data for that classification. FL indicates the State of Florida. 2022 is the year of the survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. The date, 6/27/2024 in the example, indicates the survey completion date for the classifications and rates under that identifier.

?SU? wage rates typically remain in effect until a new survey is conducted. However, the Wage and Hour Division (WHD) has the discretion to update such rates under 29 CFR 1.6(c)(1).

State Adopted Rate Identifiers

The ""SA"" identifier indicates that the classifications and prevailing wage rates set by a state (or local) government were adopted under 29 C.F.R 1.3(g)-(h). Example: SAME2023-007 01/03/2024. SA reflects that the rates are state adopted. ME refers to the State of Maine. 2023 is the year during which the state completed the survey on which the listed classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. The date, 01/03/2024 in the example, reflects the date on which the classifications and rates under the ?SA? identifier took effect under state law in the state from which the rates were adopted.

WAGE DETERMINATION APPEALS PROCESS

1) Has there been an initial decision in the matter? This can be:

- a) a survey underlying a wage determination
- b) an existing published wage determination
- c) an initial WHD letter setting forth a position on a wage determination matter
- d) an initial conformance (additional classification and rate) determination

On survey related matters, initial contact, including requests

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for summaries of surveys, should be directed to the WHD Branch of Wage Surveys. Requests can be submitted via email to davisbaconinfo@dol.gov or by mail to:

Branch of Wage Surveys
Wage and Hour Division
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

Regarding any other wage determination matter such as conformance decisions, requests for initial decisions should be directed to the WHD Branch of Construction Wage Determinations. Requests can be submitted via email to BCWD-Office@dol.gov or by mail to:

Branch of Construction Wage Determinations
Wage and Hour Division
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

2) If an initial decision has been issued, then any interested party (those affected by the action) that disagrees with the decision can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Requests for review and reconsideration can be submitted via email to dba.reconsideration@dol.gov or by mail to:

Wage and Hour Administrator
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210.

=====
END OF GENERAL DECISION"

EXHIBIT D
SMALL BUSINESS ENTERPRISE (SBE) PROGRAM
FOR LOCALLY FUNDED SOLICITATIONS

1. PROGRAM BACKGROUND

The Small Business Enterprise (SBE) program is designed to work with the small business community to enhance SBE participation in locally funded procurements. The intent of the SBE program is to provide full and fair opportunities for equal participation by all small businesses at the Authority. The program provides specific thresholds to create opportunities, promote competitiveness, and assist SBEs in overcoming potential barriers to participating in contracting opportunities.

2. DEFINITION

(a) Capital Metro defines small business as any business whose annual gross income averaged over the past three (3) years does not exceed the Small Business Administration's (SBA) size standards as set forth in 13 C.F.R., Part 121. A size standard is the largest that a firm can be and still qualify as a small business.

(b) Any Small Business that is certified as a Small Business Enterprise (SBE), Minority Business Enterprise (MBE), Women Business Enterprise (WBE), Disadvantaged Business Enterprise (DBE) and Historically Underutilized Business (HUB) meeting the SBA size requirement will be accepted as meeting the Capital Metro SBE requirements

3. SUBMISSION OF SBE FORMS

Offerors shall submit with their offer a completed Schedule C of Subcontractor Participation form (listing all proposed subcontractors,) and an executed Intent to Perform as a SBE Subcontractor form for each SBE subcontractor listed on the Schedule C. As required in Section 5 of this Exhibit, complete Good Faith Effort documentation (if necessary) must be submitted at this same time. The listing of a SBE by an Offeror shall constitute a representation by the Offeror to the Authority that it believes such SBE firm to be technically and financially qualified and available to perform the work. It shall also represent a commitment by the Offeror that if it is awarded the contract it will enter into a subcontract with such SBE for the work described and at the price set forth in both the Schedule C of Subcontractor Participation and the Intent to Perform as a SBE Subcontractor forms. If the price changes after the forms have been submitted but prior to award of the contract, the Offeror will immediately notify the Authority's Procurement Department of the changed amount and the reason(s) for the change. No substitutions of SBE firms may be effected without the Authority's prior written approval. If an offeror is a SBE and wishes to count its participation on the project towards the goal, it is required to perform that portion with its own work force.

4. CREDIT TOWARDS GOALS

(a) No credit toward meeting SBE goals will be allowed unless the SBE is determined to be eligible by the Capital Metro Office of Diversity. Offerors are strongly encouraged to contact the Authority's Office of Diversity well in advance of the date set for receipt of offers in order to enable review of the proposed SBEs eligibility to participate in the Authority's SBE Program. The dollar value of work performed under a contract with a firm after it has graduated from the SBE program cannot count toward a contract goal. Participation of a SBE subcontractor cannot count toward the prime contractor's SBE achievements until the amount being counted has been paid to the SBE.

(b) Only expenditures to SBEs that perform a Commercially Useful Function may be counted towards goals. A SBE performs a commercially useful function when it is responsible for execution of the work of the contract and is carrying out its responsibilities by actually performing, managing, and supervising the work involved. To perform a commercially useful function, the SBE must also be responsible, with respect to materials and supplies used on the contract, for negotiating price, determining quality and quantity, ordering the material, and installing (where applicable) and paying for the material itself. If a SBE does not perform or exercise responsibility for at least thirty (30%) percent of the total cost of its contract with its own work force, or the SBE subcontracts a greater portion of the work of a contract than would be expected on the basis of normal industry practice for the type of work involved, it is not performing a commercially useful function.

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(c) The Contractor may count only the value of the work actually performed by the SBE toward SBE goals. Count the entire amount of that portion of a construction contract that is performed by a SBE's own forces. Include the cost of supplies and materials obtained by the SBE for the work of the contract, including supplies purchased or equipment leased by the SBE (except supplies and equipment the SBE subcontractor purchases or leases from the prime contractor or its affiliate). Count the entire amount of fees or commissions charged by a SBE firm for providing a bona fide service, such as professional, technical, consultant, or managerial services, or for providing bonds or insurance specifically required for the performance of a contract, toward SBE goals, provided the fee is reasonable and not excessive as compared with fees customarily allowed for similar services. When a SBE subcontracts part of the work of its contract to another firm, the value of the subcontracted work may be counted toward SBE goals only if the SBE's subcontractor is itself a SBE. Work that a SBE subcontracts to a non-SBE firm does not count toward SBE goals.

(d) The Contractor may credit towards the SBE goal only sixty (60%) percent of the total dollar cost for material and supplies purchased from SBEs that are regular dealers and not manufacturers. A regular dealer is an established firm that owns, operates, or maintains a store, warehouse, or other establishment in which the materials, supplies, articles or equipment of the general character described by the specifications and required under the contract are bought, kept in stock, and regularly sold or leased to the public in the usual course of business. A person may be a regular dealer in such bulk items as petroleum products, steel, cement, gravel, stone, or asphalt without owning, operating, or maintaining a place of business if the person both owns and operates distribution equipment for the products. Packagers, brokers, manufacturers' representatives, or other persons who arrange or expedite transactions are not regular dealers.

(e) A Contractor may count toward its SBE goals the following expenditures to SBE firms that are not manufacturers or regular dealers.

(1) The fees or commissions charged for bona fide services such as professional, technical, consultant, or managerial services and assistance in the procurement of essential personnel, facilities, equipment materials or supplies required for performance of the contract, provided that the fee is determined to be reasonable and not excessive as compared with fees customarily allowed for similar services.

(2) The fees charges for delivery of materials and supplies required on a job site (but not the cost of the materials and supplies themselves) when the hauler, trucker, or delivery service is not also the manufacturer of or a regular dealer in, the materials and supplies.

(3) The fees charged for providing any bonds or insurance specifically required for the performance of the contract.

(4) The fees charged for assistance in the procurement of the materials and supplies provided that the fees are reasonable and not excessive as compared with fees customarily allowed for similar services.

5. DEMONSTRATION OF GOOD FAITH EFFORT

(a) If an Offeror does not meet the SBE goals, it shall nevertheless be eligible for award of the contract if it can demonstrate to the satisfaction of the Authority that it has made a good faith effort to meet the SBE goals. In evaluating an Offeror's good faith effort submission, the Authority will only consider those documented efforts that occurred prior to receipt of competitive sealed proposals (SOQ).

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- (1) Possible subcontracting opportunities include, but are not limited to:

Industry	NAIC Code
Commercial building construction general contractors	236220
Addition, alteration and renovation, general contractors, commercial and industrial building	236220
Audio visual and IT(Information Technology) systems integration design services	541512
Closed-circuit television(CCTV) services	517111
Security Consulting Services	541690
Alarm System sales combined with installation, repair or monitoring services	561621

- (2) The SBE goal for this solicitation is **9%**.

(b) In making a determination that the Offeror has made a good faith effort to meet the SBE goals, the Authority shall consider among other things it deems relevant, the criteria set forth below. Additionally, in determining whether a bidder has made good faith efforts, the Authority will take into account the performance of other bidders in meeting the contract goal. The Offeror shall furnish as part of its SBE utilization information provided under Section 5 such specific documentation concerning the steps it has taken to obtain SBE participation, with a consideration of, by way of illustration and not limitation the following:

(1) Whether the Offeror solicited through all reasonable and available means (e.g. attendance at pre-bid meetings, advertising and/or written notices) the interest of all certified SBEs who have the capability to perform the work of the contract. The bidder must solicit this interest within sufficient time to allow the SBEs to respond to the solicitation. The bidder must determine with certainty if the SBEs are interested by taking appropriate steps to follow up initial solicitations.

(2) Whether the Offeror provided interested SBEs with adequate information about the plans, specifications, and requirements of the contract in a timely manner to assist them in responding to a solicitation.

(3) Whether the Offeror negotiated in good faith with interested SBEs. It is the bidder's responsibility to make a portion of the work available to SBE subcontractors and suppliers and to select those portions of the work or material needs consistent with the available SBE subcontractors and suppliers, so as to facilitate SBE participation. Evidence of such negotiation includes the names, addresses, and telephone numbers of SBEs that were considered; a description of the information provided regarding the plans and specifications for the work selected for subcontracting; and evidence as to why additional agreements could not be reached for SBEs to perform the work. A bidder using good business judgment would consider a number of factors in negotiating with subcontractors, including SBE subcontractors, and would take a firm's price and capabilities as well as contract goals into consideration. However, the fact that there may be some additional costs involved in finding and using SBEs is not in itself sufficient reason for a bidder's failure to meet the contract SBE goal, as long as such costs are reasonable. Also, the ability or desire of a prime contractor to perform the work of a contract with its own organization does not relieve the bidder of the responsibility to make good faith efforts. Prime contractors are not, however, required to accept higher quotes from SBEs if the price difference is excessive or unreasonable.

(4) Whether the Offeror rejected SBEs as being unqualified without sound reasons based on a thorough investigation of their capabilities. The contractor's standing within its industry, membership in specific groups, organizations, or associations and political or social affiliations (for example union vs. non-union employee status) are not legitimate causes for the rejection or non-solicitation of bids in the contractor's efforts to meet the project goal.

(5) Whether the Offeror made efforts to assist interested SBEs in obtaining bonding, lines of credit, or insurance as required by the recipient or contractor.

(6) Whether the Offeror made efforts to assist interested SBEs in obtaining necessary equipment, supplies, materials, or related assistance or services.

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(c) In determining whether an Offeror has demonstrated good faith, the Authority will look not only at the different kinds of efforts that the Offeror has made, but also the quantity and intensity of those efforts. Efforts that are mere pro forma are not good faith efforts to meet the goals (even if they are sincerely motivated) if, given all relevant circumstances, the Offeror's efforts could not reasonably be expected to produce a level of SBE participation sufficient to meet the goals.

6. CERTIFICATION OF SBEs

(a) The City of Austin will serve as the certifying agency for the Austin region, which includes the counties of Bastrop, Caldwell, Hays, Travis and Williamson County. All prospective SBEs must submit appropriate forms, available through the City of Austin Certification Department, to prove actual ownership and control by SBEs. All such firms shall cooperate in supplying additional information as requested by the City of Austin DSMBR Certification Department, which will determine the certification of eligible SBEs. Blank forms may be obtained by contacting the City of Austin Certification Department, 4201 Ed Bluestein Blvd., (512) 974-7645, fax: (512) 974-7609. Vendor may also contact Capital Metro at (512) 389-7512 to obtain information.

(b) In the event the Authority determines that a firm identified by the Offeror as a potential SBE does not qualify as a SBE, the Offeror shall be informed and will be provided with an opportunity to substitute firms meeting the certifying agency's SBE eligibility criteria for the Authority's consideration.

(c) Capital Metro will accept Small Business Certification from any government agency that certifies Small Business Enterprises.

(d) Information concerning SBEs currently certified can be obtained by contacting the Office of Diversity Department at the address in subparagraph (a) above. Offerors may access the SBE directory at <http://www.austintexas.gov/departments/certification>.

(e) Offerors are reminded that only SBEs may participate in Authority contracts in such capacities. If an offeror proposes using a SBE from another state, the firm must produce evidence that it is SBE certified in the state in which the business is headquartered.

7. SBE MODIFICATION OR SUBSTITUTION

In the event that an Offeror wishes to modify its Schedule C of Subcontractor Participation after its offer is submitted and/or a contract awarded, the Offeror/Contractor must notify the Authority in writing and request approval of the modification. This will include any changes to items of work, material, services or SBE firms which differ from those identified on the Schedule C of Subcontractor Participation on file. The Offeror/Contractor must cooperate in supplying the Authority with additional information with respect to the requested modification. If the modification involves a substitution and if it is approved by the Authority, the Offeror/Contractor must make every good faith effort to replace the SBE with another SBE. In the event that the Offeror/Contractor is unable to contract with another SBE firm, such good faith efforts must be documented to the Office of Diversity Department. The substitute SBE firm must be certified by the Authority in order for the Offeror/Contractor to receive credit towards fulfilling its SBE participation goals for the contract.

8. PAYMENT DOCUMENTATION

Concurrently with the submission of the invoice or each request for a progress payment under this contract, the Contractor shall provide on the Vendor Payment Report Form a breakdown of the amounts paid to date to SBEs identified by the Contractor to participate on this contract. As provided elsewhere in this contract, the Authority may withhold all or part of any progress payment otherwise due the Contractor if the Contractor fails to submit the Vendor Payment Report Form and make prompt payment to its subcontractors, suppliers and laborers.

9. SANCTIONS FOR NONCOMPLIANCE WITH THE AUTHORITY'S SBE PROGRAM PROVISIONS

Failure of the Contractor to carry out the Authority's SBE Program provision shall constitute a breach of contract and may result in termination of the contract for default or such remedy as the Authority may deem appropriate. The willful making of false statements or providing incorrect information will be referred for appropriate legal action.

CAPITAL METROPOLITAN TRANSPORTATION AUTHORITY

REQUIRED SUBMITTAL IF SUBCONTRACTORS ARE UTILIZED CAPITAL METRO Schedule C, Subcontractor Participation (Local Funds)

Instructions: The Offeror shall complete this form by listing 1) Names of all proposed subcontractors, 2) Contact information, 3) Description of work to be performed/product to be provided, 4) Age of the firm, 5) Number of employees, 6) % or \$ amount of Total Contract.

NOTE: AS DEFINED BY THE SMALL BUSINESS ADMINISTRATION; A SMALL BUSINESS IS ANY BUSINESS WHOSE ANNUAL GROSS INCOME AVERAGED OVER THE PAST THREE (3) YEARS DOES NOT EXCEED THE SMALL BUSINESS ADMINISTRATION'S (SBA) SIZE STANDARDS AS SET FORTH IN 13 C.F.R., PART 121.

Size Standards for principal NAICS Sectors: Construction General building and heavy construction contractors: \$33.5 million Special trade construction contractors: \$14 million Land subdivision: \$20 million Services Most common: \$7 million Computer programming, data processing and systems design: \$25.5 million The highest annual-receipts size standard in any service industry: \$35.5 million **Manufacturing** About 75 percent of the manufacturing industries: 500 employees A small number of industries: 1,500 employees The balance: either 750 or 1,000 employees **All Other Types of Small Business** Less than 500 employees or three years of gross receipts under \$10 Million.

Name of Prime Contractor (Offeror):
Project Name: CONSTRUCTION - 3100 E. 5TH STREET
PHASES 1A AND 2 - 1ST AND 2ND FLOORS
RFP Number: 808343

1) Name of Subcontractor	2) Address, Telephone # of Sub Firm (Including name of contact person)	3) Description of Work, Services Provided. Where applicable, specify "supply" or "install" or both.	4) SBE or non-SBE	5) Age of Firm	6) Number of employees	7) Sub % or \$ amount of Total Contract
TCI	12800 N. Lamar Blvd. Austin, TX 78753 (512) 832-1979, Larry Puckett	Supply & install frames, doors, hardware, drywall, acoustic ceiling tiles.	Non-SBE	41	45	20%
FBS Appliance	7816 Burnet Rd, Austin TX 78757 (512) 834-1442, Jared Bernethy	Supply appliances	Non-SBE	40	172	0.5%
Nufflo Plumbing	PO Box 2109, Round Rock, TX 78680 (512) 970-0626, Ismael Maldonado	Commercial Plumbing Services	Non-SBE	13	11	3%
ProFab Custom Fabrication and Welding	7127 FM 2338, Georgetown, TX 78633 (512) 755-5569, Eric Stifflemire	Supply & install structural steel members	Non-SBE	22	18	2%

*SBE under Texas comptroller
*% in the progress of getting certified by TxDOT

This form must be completed as instructed above and include every subcontractor proposed on this project.

The undersigned will enter into a formal agreement with Subcontractors for work listed in this form upon execution of a contract with Capital Metro.

Signature of Authorized Representative of Offeror

03/12/2025

Date Signed

CAPITAL METROPOLITAN TRANSPORTATION AUTHORITY

REQUIRED SUBMITTAL IF SUBCONTRACTORS ARE UTILIZED CAPITAL METRO Schedule C, Subcontractor Participation (Local Funds)

Instructions: The Offeror shall complete this form by listing 1) Names of all proposed subcontractors. 2) Contact information, 3) Description of work to be performed/product to be provided, 4) Age of the firm, 5) Number of employees, 6) % or \$ amount of Total Contract.

NOTE: AS DEFINED BY THE SMALL BUSINESS ADMINISTRATION; A SMALL BUSINESS IS ANY BUSINESS WHOSE ANNUAL GROSS INCOME AVERAGED OVER THE PAST THREE (3) YEARS DOES NOT EXCEED THE SMALL BUSINESS ADMINISTRATION'S (SBA) SIZE STANDARDS AS SET FORTH IN 13 C.F.R., PART 121.

Size Standards for principal NAICS Sectors: Construction General building and heavy construction contractors: \$33.5 million Special trade construction contractors: \$14 million Land subdivision: \$7 million Dredging: \$20 million Services Most common: \$7 million Computer programming, data processing and systems design: \$25.5 million The highest annual receipts size standard in any service industry: \$35.5 million **Manufacturing** About 75 percent of the manufacturing industries: 500 employees A small number of industries: 1,500 employees The balance: either 750 or 1,000 employees **All Other Types of Small Business** Less than 500 employees or three years of gross receipts under \$10 Million.

Name of Prime Contractor (Offeror):

Project Name: CONSTRUCTION - 3100 E. 5TH STREET
PHASES 1A AND 2 - 1ST AND 2ND FLOORS

RFP Number: 808343

1) Name of Subcontractor	2) Address, Telephone # of Sub Firm (including name of contact person)	3) Description of Work, Services Provided. Where applicable, specify "supply" or "install" or both.	4) SBE or non-SBE	5) Age of Firm	6) Number of employees	7) Sub % or \$ amount of Total Contract
Navejas Electric, LLC.	902 Old Austin Hutto Rd, Ste. 204 (512) 666-5301, Jesse Navejas	Supply & Install Lighting Fixtures/Panelboards/Transformers	MBE/HUB	14	60	18%
HR Mark Concrete	PO Box 279 Hutto, TX 78634 (512) 846-2701, Misti Killingsworth	Supply & installation of site concrete	HUB	27	40	0.5%
Fireproof Contractors	4255 Clay Business Dr., Katy, TX 77494 (713) 690-7600, Jesus Estrada	Supply & install fire retardant materials i.e. insulation, flashings, sealant	Non-SBE	33	244	2.5%
NEIHI	21303 Martin Ln, Pflugerville, TX 78660 (972) 677-3125, Ashley Hale	Glazing, glass, and storefront door installation	Non-SBE	13	21	9%
Sun Tint	1700 W Koenig Lane, Austin, TX 78652 (512) 467-6767, Brook Greenberg	Supply & install window film	Non-SBE	40	13	0.5%
WRG Build	10030 Bent Oak Dr., Houston, TX 77040 (512) 568 6586, Shelley Hall	Supply & install of wall systems	HUB	32	92	1%

This form must be completed as instructed above and include every subcontractor proposed on this project.

The undersigned will enter into a formal agreement with Subcontractors for work listed in this form upon execution of a contract with Capital Metro.

*SBE under Texas
Comptroller

03/12/2025

Date Signed

Signature of Authorized Representative of Offeror

CMBL/HUB Vendor Detail	
Vendor ID / Vendor Number	1274604909200 / 471500
Vendor Name	WRG, LLC
Vendor Address	10030 BENT OAK DR HOUSTON, TX 77040-3267 USA
County	HARRIS
Contact	Aubrey Wagner
Phone/Fax	713-595-0574 /
Email Address	awagner@wrgtexas.com
Website	http://www.wrgtexas.com
Business Description	Design services, sales, warranty service, and install products for customer at customers location. Offer move and relocation services.
Business Category	<u>Commodities Wholesaler/Reseller (07)</u>
Small Business	<u>Y</u>
Service Disabled Veteran	<u>No</u>
CMBL Status	<u>Active Bidder</u>
CMBL Expires	18-FEB-2026
HUB Status	<u>Active Bidder (A-Approved; Active Texas certified HUB)</u>
HUB Expires	01-AUG-2025
HUB Eligibility	<u>WO (American Woman)</u>
HUB Gender	<u>F</u>
	Commodity items shown above are available for district(s) 1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,17,18,19,20,21,22,23,24,25

CMBL/HUB Vendor Detail	
Vendor ID / Vendor Number	1800731507200 / 469913
Vendor Name	NAVEJAS ELECTRIC, LLC
Vendor Address	900 Old Austin Hutto Rd Ste 300 Pflugerville, TX 78660 USA
County	TRAVIS
Contact	Jose Navejas III
Phone/Fax	512-666-5301 / 512-861-2491
Email Address	oralia@navejaselectric.com
Website	
Business Description	New and remodel installation of electrical equipment and wiring
Business Category	<u>Special Trade Construction (03)</u>
Small Business	<u>Y</u>
Service Disabled Veteran	<u>No</u>
CMBL Status	<u>Inactive (F -Deleted for non-payment of annual fee)</u>
Date Removed From CMBL	02-SEP-2016
HUB Status	<u>Active Bidder (A-Approved; Active Texas certified HUB)</u>
HUB Expires	08-NOV-2028
HUB Eligibility	<u>HI (Hispanic American)</u>
HUB Gender	<u>M</u>
	Commodity items shown above are available for district(s) 14

CMBL/HUB Vendor Detail	
Vendor ID / Vendor Number	1742899639500 / 524923
Vendor Name	H.R. MARC COMPANY, INC.
Vendor Address	PO Box 279 Hutto, TX 78634-0279 USA
County	TRAVIS
Contact	Misti Killingsworth
Phone/Fax	512-846-2701 / 512-846-2742
Email Address	kaleb@hrmarc.com
Website	http://www.hrmarc.com
Business Description	Construction, Sidewalk and Driveway, Including Pedestrian and Handicap Ramps; Construction, Concrete, Pour-In-Place, Form, Place, Finish
Business Category	<u>Special Trade Construction (03)</u>
Small Business	<u>Y</u>
Service Disabled Veteran	<u>No</u>
CMBL Status	<u>Active Bidder</u>
CMBL Expires	15-AUG-2025
HUB Status	<u>Active Bidder (A-Approved; Active Texas certified HUB)</u>
HUB Expires	01-NOV-2028
HUB Eligibility	<u>WO (American Woman)</u>
HUB Gender	<u>E</u>
	Commodity items shown above are available for district(s) 14,15


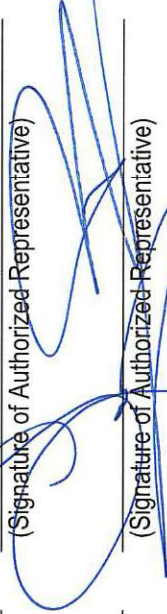
CAPITAL METROPOLITAN TRANSPORTATION AUTHORITY

REQUIRED SUBMITTAL

CAPITAL METRO
(Local) Intent to Perform as a SBE Contractor/SBE Subcontractor
RFP # 808343

1. TO: (name of Offeror/Prime Contractor) Trimbuilt Construction, Inc.
2. The undersigned is either currently certified as a SBE or will be at the time this solicitation is due.
The undersigned is prepared to perform the following described work with their own workforce and/or supply the material listed in connection with the above project (where applicable specify "supply" or "install" or both) Supply and Install

and at the following price \$224,474.00 and/or 1.0 % of the total contract amount (should be the same \$ or % found on Schedule C).
With respect to the proposed subcontract described above, the undersigned SBE anticipates that _____ % of the dollar value of this subcontract will be sublet and/or awarded to other contractors. Any and all subcontractors that a SBE subcontractor uses must be listed in Schedule C and must also be SBE certified. (The SBE subcontractor should complete this section only if the SBE is subcontracting any portion of its subcontract.)

WRG, LLC		512-568-6586	03/06/2025
(Name of SBE Firm)	(Signature of Authorized Representative)	(Phone Number)	(Date Signed)
Trimbuilt Construction, Inc.		512-832-1979	03/06/2025
(Name of Offeror/Prime Contractor)	(Signature of Authorized Representative)	(Phone Number)	(Date Signed)

Texas Historically Underutilized Business (HUB) Certificate



Certificate/VID Number: 1274604909200
Approval Date: August 9, 2024
Scheduled Expiration Date: August 1, 2025

In accordance with the Memorandum of Agreement between the
WOMEN'S BUSINESS COUNCIL - SOUTHWEST (WBCS)
and the Texas Comptroller of Public Accounts (CPA), the CPA hereby certifies that

WRG, LLC DBA Workplace Resource Group

has successfully met the established requirements of the State of Texas Historically Underutilized Business (HUB) Program to be recognized as a HUB. This certificate printed **August 9, 2024**, supersedes any registration and certificate previously issued by the HUB Program. If there are any changes regarding the information (i.e., business structure, ownership, day-to-day management, operational control, addresses, phone and fax numbers or authorized signatures) provided in the submission of the business; application for registration/certification into WBCS's program, you must immediately (within 30 days of such changes) notify WBCS's program in writing. The CPA reserves the right to conduct a compliance review at any time to confirm HUB eligibility. HUB certification may be suspended or revoked upon findings of ineligibility. If your firm ceases to remain certified in the WBCS's program, you must apply and become certified through the State of Texas HUB program to maintain your HUB certification.

Statewide HUB Program
Statewide Procurement Division

Note: In order for State agencies and institutions of higher education (universities) to be credited for utilizing this business as a HUB, they must award payment under the Certificate/VID Number identified above. Agencies, universities and prime contractors are encouraged to verify the company's HUB certification prior to issuing a notice of award by accessing the Internet (<https://mycpa.cpa.state.tx.us/passcmbsearch/index.jsp>) or by contacting the HUB Program at **512-463-5872** or toll-free in Texas at **1-888-863-5881**.



CAPITAL METROPOLITAN TRANSPORTATION AUTHORITY

REQUIRED SUBMITTAL

CAPITAL METRO
(Local) Intent to Perform as a SBE Contractor/SBE Subcontractor
RFP # 808343

1. TO: (name of Offeror/Prime Contractor) Trimbuilt Construction, Inc.
2. The undersigned is either currently certified as a SBE or will be at the time this solicitation is due.
The undersigned is prepared to perform the following described work with their own workforce and/or supply the material listed in connection with the above project (where applicable specify "supply" or "install" or both) Supply and Install

and at the following price \$ _____ and/or 0.5 % of the total contract amount (should be the same \$ or % found on Schedule C).
With respect to the proposed subcontract described above, the undersigned SBE anticipates that 0 % of the dollar value of this subcontract will be sublet and/or awarded to other contractors. Any and all subcontractors that a SBE subcontractor uses must be listed in Schedule C and must also be SBE certified. (The SBE subcontractor should complete this section only if the SBE is subcontracting any portion of its subcontract.)

H.R. Marc Company, Inc. (Name of SBE Firm)	 (Signature of Authorized Representative)	512-846-2701 (Phone Number)	03/06/2025 (Date Signed)
Trimbuilt Construction, Inc. (Name of Offeror/Prime Contractor)	 (Signature of Authorized Representative)	512-832-1979 (Phone Number)	03/06/2025 (Date Signed)

Texas Historically Underutilized Business (HUB) Certificate



Certificate/VID Number: 1742899639500
Approval Date: November 1, 2024
Scheduled Expiration Date: November 1, 2028

The Texas Comptroller of Public Accounts (CPA), hereby certifies that

H.R. MARC COMPANY, INC.

has successfully met the established requirements of the State of Texas Historically Underutilized Business (HUB) Program to be recognized as a HUB. This certificate printed **November 1, 2024**, supersedes any registration and certificate previously issued by the HUB Program. If there are any changes regarding the information (i.e., business structure, ownership, day to day management, operational control, business location) provided in the submission of the business; application for registration/certification as a HUB, you must immediately (within 30 days of such changes) notify the HUB Program in writing. The CPA reserves the right to conduct a compliance review at any time to confirm HUB eligibility. HUB certification may be suspended or revoked upon findings of ineligibility.

*Statewide HUB Program
Statewide Procurement Division*

Note: In order for State agencies and institutions of higher education (universities) to be credited for utilizing this business as a HUB, they must award payment under the Certificate/VID Number identified above. Agencies, universities and prime contractors are encouraged to verify the company's HUB certification prior to issuing a notice of award by accessing the Internet (<https://mycpa.cpa.state.tx.us/tpasscmblsearch/index.jsp>) or by contacting the HUB Program at **512-463-5872** or toll-free in Texas at **1-888-863-5881**.


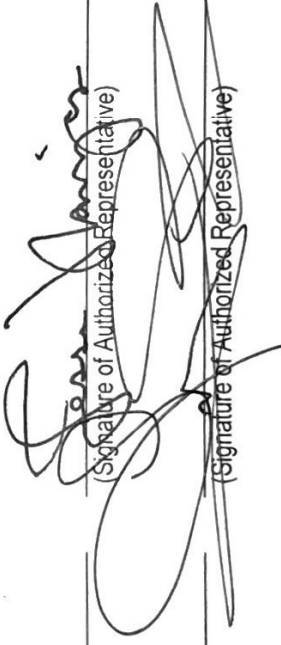
CAPITAL METROPOLITAN TRANSPORTATION AUTHORITY

REQUIRED SUBMITTAL

CAPITAL METRO
(Local) Intent to Perform as a SBE Contractor/SBE Subcontractor
RFP # 808343

1. TO: (name of Offeror/Prime Contractor) Trimbuilt Construction, Inc.
2. The undersigned is either currently certified as a SBE or will be at the time this solicitation is due.
The undersigned is prepared to perform the following described work with their own workforce and/or supply the material listed in connection with the above project (where applicable specify "supply" or "install" or both) Supply and Install

and at the following price \$ _____ and/or 18 % of the total contract amount (should be the same \$ or % found on Schedule C).
With respect to the proposed subcontract described above, the undersigned SBE anticipates that 0 % of the dollar value of this subcontract will be sublet and/or awarded to other contractors. Any and all subcontractors that a SBE subcontractor uses must be listed in Schedule C and must also be SBE certified. (The SBE subcontractor should complete this section only if the SBE is subcontracting any portion of its subcontract.)

Navejas Electric, LLC. (Name of SBE Firm)	 (Signature of Authorized Representative)	512-666-5301 (Phone Number)	03/06/2025 (Date Signed)
Trimbuilt Construction, Inc. (Name of Offeror/Prime Contractor)	 (Signature of Authorized Representative)	512-832-1979 (Phone Number)	03/06/2025 (Date Signed)

Texas Historically Underutilized Business (HUB) Certificate



Certificate/VID Number: 1800731507200
Approval Date: November 8, 2024
Scheduled Expiration Date: November 8, 2028

The Texas Comptroller of Public Accounts (CPA), hereby certifies that

NAVEJAS ELECTRIC, LLC

has successfully met the established requirements of the State of Texas Historically Underutilized Business (HUB) Program to be recognized as a HUB. This certificate printed **November 8, 2024**, supersedes any registration and certificate previously issued by the HUB Program. If there are any changes regarding the information (i.e., business structure, ownership, day to day management, operational control, business location) provided in the submission of the business; application for registration/certification as a HUB, you must immediately (within 30 days of such changes) notify the HUB Program in writing. The CPA reserves the right to conduct a compliance review at any time to confirm HUB eligibility. HUB certification may be suspended or revoked upon findings of ineligibility.

*Statewide HUB Program
Statewide Procurement Division*

Note: In order for State agencies and institutions of higher education (universities) to be credited for utilizing this business as a HUB, they must award payment under the Certificate/VID Number identified above. Agencies, universities and prime contractors are encouraged to verify the company's HUB certification prior to issuing a notice of award by accessing the Internet (<https://mycpa.cpa.state.tx.us/tpasscmblsearch/index.jsp>) or by contacting the HUB Program at **512-463-5872** or toll-free in Texas at **1-888-863-5881**.

EXHIBIT E-REVISED-3

**CONTRACTUAL TERMS AND CONDITIONS
(CONSTRUCTION, ALTERATION OR REPAIR CONTRACT)**

1. DEFINITIONS

As used throughout this Contract, the following terms shall have the meaning set forth below:

- (a) “Applicable Anti-Corruption and Bribery Laws” means international, federal, state, provincial and local laws, rules, regulations, directives and governmental requirements currently in effect and as they become effective relating in any way to the Contractor’s provision of goods and/or services to Authority, including without limitation “FCPA” or any applicable laws and regulations, including in the jurisdiction in which Contractor operates and/or manufactures goods for the Authority, relating to anti-corruption and bribery.
- (b) “Architect/Engineer” (A/E) means a person registered as an Architect pursuant to Tex. Occ. Code § 1051.001 et seq, as a Landscape Architect pursuant to Tex. Occ. Code § 1052.003 et seq, and/or a person licensed as a Professional Engineer pursuant to Tex. Occ. Code § 1001.001, et seq or firm employed to provide professional architectural or engineering services and having overall responsibility for the design of a Project or a significant portion thereof, if applicable.
- (c) “As-Built Drawings” means drawings that show Construction of a particular structure or Work as actually completed under the Contract.
- (d) “Authority,” “Capital Metro,” “CapMetro,” “CMTA” means Capital Metropolitan Transportation Authority.
- (e) “Authority Data” means all data, content and information (i) submitted by or on behalf of the Authority or its customers to the Contractor or loaded into the System, (ii) obtained, developed, produced or processed by the Contractor or by the Application or System in connection with the Contract, or (iii) to which the Contractor has access in connection with the Contract, and all derivative versions of such data, content and information, and any derivative versions thereof, in any form or format.
- (f) “Authority Electronic Property” means (i) any websites controlled by the Authority, (ii) any Authority mobile device apps, (iii) any application programming interfaces (API) to the Authority’s information technology systems, (iv) any other kiosks, devices or properties for consumer interaction that are created, owned, or controlled by the Authority, and (v) versions and successors of the foregoing, any form or format now known or later developed, that may be used by customers obtaining products or services from the Authority.
- (g) “Bid” means the offer of the bidder, submitted on the prescribed form, stating prices for performing the Work described in the Plans and Specifications.
- (h) “Bid Guarantee” or “Bid Bond” means a form of security assuring that the bidder will not withdraw a Bid within the bid acceptance period and will execute a contract and furnish required bonds and insurance within the time specified in the bid.
- (i) “Change Order” means a written order to the Contractor signed by the Contracting Officer and the Architect/Engineer, if an Architect/Engineer is assigned to the Project, issued after execution of the Contract, authorizing a change in the term or scope of the Contract.
- (j) “Construction” means the building, alteration, or repair (including dredging, excavating and painting) of structures, or other real property improvement.

- (k) "Contract" or "Contract Documents" means this written agreement between the parties comprised of all the documents listed in the Table of Contents and any emergency field orders, Change Orders and/or Contract Modifications that may be entered into by the parties.
- (l) "Contract Award Date" means the date of the Contract award notice, which may take the form of a purchase order, signed Contract or Notice of Award, issued by the Authority.
- (m) "Contract Modification" means any changes in the terms or provisions of the Contract which are reduced to writing and fully executed by both parties.
- (n) "Contract Sum" means the total compensation payable to the Contractor for performing the Work as originally contracted for or as subsequently adjusted by Contract Modification.
- (o) "Contract Term" means period of performance set forth in the paragraph entitled "Period of Performance" contained in **Exhibit E-Revised-3**.
- (p) "Contracting Officer" means a person with the authority to enter into, administer, and/or terminate contracts and make related determinations and finding on behalf of the Authority. The term includes certain authorized representatives of the Contracting Officer acting within the limits of their authority as delegated by the Contracting Officer.
- (q) "Contractor" or "Prime Contractor" means the entity that has assumed the legal obligation to perform the Work as identified in the Contract.
- (r) "Days" means calendar days. In computing any period of time established under this Contract, the day of the event from which the designated period of time begins to run shall not be included, but the last day shall be included unless it is a Saturday, Sunday, or Federal or State of Texas holiday, in which event the period shall run to the end of the next business day.
- (s) "FAR" means the Federal Acquisition Regulations codified in 48 C.F.R. Title 48.
- (t) "FCPA" means the United States Foreign Corrupt Practices Act, 15 U.S.C. §§ 78dd-1, et seq., as amended.
- (u) "Force Majeure Event" means strikes, lockouts, or other industrial disputes; explosions, epidemics, civil disturbances, acts of domestic or foreign terrorism, wars within the continental United States, riots or insurrections; embargos, natural disasters, including but not limited to landslides, earthquakes, floods or washouts; interruptions by government or court orders; declarations of emergencies by applicable federal, state or local authorities; **tariffs (tariffs subject to review and approval of CapMetro)** and present or future orders of any regulatory body having proper jurisdiction.
- (v) "FTA" means the Federal Transit Administration.
- (w) "Fully Burdened Hourly Labor Rate" means an hourly rate that includes all salary, overhead costs, general and administrative expenses, and profit.
- (x) "Good Industry Practice" means the exercise of the degree of skill, diligence, prudence and foresight which would reasonably and ordinarily be expected from time to time from a skilled and experienced designer, engineer, constructor, operator or maintenance provider seeking in good faith to comply with its contractual obligations, complying with all applicable laws and engaged in the same type of undertaking in the United States under similar circumstances and conditions.
- (y) "Intellectual Property Rights" means the worldwide legal rights or interests evidenced by or embodied in: (i) any idea, software, design, concept, personality right, method, process, technique, apparatus, invention, discovery, or improvement, including any patents, trade secrets, and know-how; (ii) any work of

authorship, including any copyrights, moral rights or neighboring rights, and any derivative works thereto; (iii) any trademark, service mark, trade dress, trade name, or other indicia of source or origin; (iv) domain name registrations; and (v) any other proprietary or similar rights. The Intellectual Property Rights of a party include all worldwide legal rights or interests that the party may have acquired by assignment or license with the right to grant sublicenses.

(z) "Manufacturing Materials" mean any completed or partially completed supplies and materials, parts, dies, jigs, fixtures, Plans, drawings, information, and contract rights specifically produced or specially acquired by the Contractor for the performance of the Work.

(aa) "Minor Informality" or "Minor Irregularity" means immaterial defects or variations from the exact requirements of a solicitation that can be corrected or waived without prejudice to other bidders.

(bb) "Notice of Award" means formal notice of award of the Contract to Contractor issued by the Contracting Officer.

(cc) "Notice to Proceed" means written authorization for the Contractor to start the Work.

(dd) "Plans" and "Specifications" mean drawings, specifications, and other formal data that describes the Work to be performed if Plans and Specifications are required for the Work.

(ee) "Project" means the Work as defined by the Contract Documents.

(ff) "Project Manager" means the designated individual to act on behalf of CapMetro, to monitor and certify the technical progress of the Contractor's Work under the terms of this Contract.

(gg) "Shop Drawings" means drawings describing in detail (1) the proposed fabrication and assembly of structural elements, (2) the installation (i.e., form, fit, and attachment details) of materials or equipment, (3) both, including drawings, diagrams, layouts, schematics, descriptive literature, illustrations, schedules, performance and test data, and similar materials furnished by the Contractor to explain in detail specific portions of the Work required by the Contract.

(hh) "Statement of Work" means the detailed scope of work set forth in "Exhibit F-Revised-4" of this Contract, and which may or not reference Plans and Specifications.

(ii) "Subcontract" means the contract between the Contractor and its Subcontractors.

(jj) "Subcontractor" means subcontractors of any tier.

(kk) "Substantial Completion" means that the Work is sufficiently complete, in accordance with the terms of this Contract, so that the Authority may use or occupy the Work, or Contractor's designated portion of the overall Project, for the intended purpose, as determined by the Authority in its sole discretion.

(ll) "Work" means all labor, plant, materials, manufacture and fabrication of components, facilities, and all other things, which are required by the Statement of Work and/or the Plans and Specifications to be performed by the Contractor under this Contract.

(mm) "Work Site" means the location of the premises where the Work is being performed.

(nn) "Works" means any tangible or intangible items or things that have been or will be specifically, generated, prepared, created, or developed by the Contractor (or such third parties as the Contractor may be permitted to engage) at any time following the effective date of the Contract, for the exclusive use of, and ownership by, Authority under the Contract, including but not limited to any (i) works of authorship (such as literary works, musical works, dramatic works, choreographic works, pictorial, graphic and sculptural works, motion pictures and other audiovisual works, sound recordings and architectural works,

which includes but is not limited to manuals, instructions, printed material, graphics, artwork, images, illustrations, photographs, computer software, scripts, object code, source code or other programming code, HTML code, data, information, multimedia files, text web pages or web sites, other written or machine readable expression of such works fixed in any tangible media, and all other copyrightable works), (ii) trademarks, service marks, trade dress, trade names, logos, or other indicia of source or origin, (iii) ideas, designs, concepts, personality rights, methods, processes, techniques, apparatuses, inventions, formulas, discoveries, or improvements, including any patents, trade secrets and know-how, (iv) domain names, (v) any copies, and similar or derivative works to any of the foregoing, and (vi) all documentation and materials related to any of the foregoing.

2. TYPE OF CONTRACT

This is a fixed unit price/lump sum Contract

3. PERIOD OF PERFORMANCE

The total term of the contract shall not exceed 300 calendar days. The notice-to-proceed for both phases 1A and 2 will be issued at the same time. The Contractor shall achieve Substantial Completion as described below:

- **Phase 2: No later than 240 Calendar Days from the date of the Notice to Proceed.**
- **Phase 1A: No later than 270 Calendar Days from the date of the Notice to Proceed.**
- **Audio/Visual system for Board Room (Under Phase 1A). The schedule will be determined by CapMetro if Allowance is exercised.**
- **Phase 0: The schedule will be determined by CapMetro if Allowance is exercised.**

The Contractor is not authorized to proceed with any Work under this Contract without a written Notice to Proceed issued by the Authority. All Work performed or expenses incurred by the Contractor prior to the Contractor's receipt of the Notice to Proceed shall be at the Contractor's sole risk.

4. PERFORMANCE AND PAYMENT BONDS

(a) **Performance Bond.** If the Contract Sum exceeds \$100,000.00, the Contractor shall provide a Performance Bond to ensure the faithful performance of all Contractor's obligations under the Contract Documents in an amount equal to the Contract Sum.

(b) **Payment Bond.** If the Contract Sum exceeds \$25,000.00, the Contractor shall provide a Payment Bond to guarantee the Subcontractors and material suppliers on the Project will be paid in an amount of the Contract Sum.

(c) The Contractor shall be required to submit all required bonds to the Contracting Officer within ten (10) days from the date of Contract Award Date. Upon receipt of the payment and/or performance bond, the Bid Guarantee, if applicable, shall be returned to the Contractor.

(d) Performance and Payment Bonds shall be issued in an amount of one hundred percent (100%) of the Contract Sum by a surety company listed in the latest United States Treasury Department Circular 570, be authorized to do business in Texas and have an underwriting limitation equal to or greater than the penal sum of the bond. If any surety upon any bond furnished in connection with the Contract becomes insolvent, or otherwise not authorized to do business in the State, the Contractor shall promptly furnish equivalent security to protect the interest of the Authority and of persons supplying labor, materials and/or equipment in the prosecution of the Work.

(e) Each bond shall be accompanied by a valid Power-of-Attorney, issued by the surety company and attached, signed and sealed, with the corporate embossed seal, to the bond, authorizing the agent who signs the bond to commit the surety company to the terms of the bond, and stating on the face of the Power-

of-Attorney the limit, if any, in the total amount for which he/she is empowered to issue a single bond.

(f) A surety bond rider increasing the dollar amount of any payment and performance bond will be required for any Change Order that increases the Contract Sum.

(g) In addition, the Authority may request a surety bond increasing the dollar amount if:

- (1) any surety upon any bond furnished with this Contract becomes unacceptable to the Authority;
or
- (2) any surety fails to furnish reports on its financial condition as required by the Authority.

5. **INSURANCE**

(a) The Contractor shall furnish proof of CapMetro-stipulated insurance requirements specified below. All insurance policies shall be primary and non-contributing with any other valid and collectible insurance or self-insurance available to the Authority and shall contain a contract waiver of subrogation in favor of the Authority. The Contractor shall furnish to the Authority certificate(s) of insurance evidencing the required coverage and endorsement(s) and, upon request, a certified duplicate original of any of those policies. Prior to the expiration of a certificate of insurance, a new certificate of insurance shall be furnished to the Authority showing continued coverage. Each policy shall be endorsed to provide thirty (30) days written notice of cancellation or non-renewal to the Authority and the Authority shall be named as an Additional Insured under each policy, except Professional Liability insurance if required by this Contract. All insurance policies shall be written by reputable insurance company or companies acceptable to the Authority with a current Best's Insurance Guide Rating of A+ and Class XIII or better. All insurance companies shall be authorized to transact business in the State of Texas. The Contractor shall notify the Authority in writing of any material alteration of such policies, including any change in the retroactive date in any "claims-made" policy or substantial reduction of aggregate limits, if such limits apply or cancellation thereof at least thirty (30) days prior thereto. The below requirements only represent the minimum coverage acceptable to the Authority and these requirements are not intended to represent the maximum risk or the maximum liability of the Contractor. The Contractor shall be responsible for setting its own insurance requirements, if any, for the kind and amounts of insurance to be carried by its Subcontractors in excess of the insurance required by the Authority.

The Contractor shall carry and pay the premiums for insurance of the types and in the amounts stated below.

CAPMETRO MINIMUM COVERAGE REQUIREMENTS

(1) **Comprehensive General Liability Insurance** Coverage with limits of not less than One Million Dollars and No/100 Dollars (\$1,000,000) with an aggregate of Two Million Dollars_and No/100 Dollars (\$2,000,000) with coverage that includes:

- (i) Products and Completed Operations Liability
- (ii) Independent Contractors
- (iii) Personal Injury Liability extended to claims arising from employees of Contractor and the Authority.
- (iv) Contractual Liability pertaining to the liabilities assumed in the agreement.

(2) **Automobile Liability Insurance** covering all owned, hired and non-owned automobiles used in connection with work with limits not less than One Million_and No/100 Dollars (\$1,000,000) Combined Single Limit of Liability for Bodily Injury and Property Damage.

(3) **Statutory Workers' Compensation** coverage in the State of Texas. Employers Liability Insurance with minimum limits of liability of One Million Dollars_ and No/100 Dollars (\$1,000,000)

(4) **Builders Risk/Equipment Installation insurance** covering the full value of the construction values at risk. Insurance should provide coverage for all perils and provide Replacement Cost for the equipment and construction materials in the event of a loss.

(5) All policies should include **Terrorism Coverage**

(a) The limits of liability as required above may be provided by a single policy of insurance or by a combination of primary, excess or umbrella policies but in no event shall the total limits of liability available for any one occurrence or accident be less than the amount required above.

(b) The Contractor, and all of its insurers shall, in regard to the above stated insurance, agree to waive all rights of recovery or subrogation against the Authority, its directors, officers, employees, agents, successors and assigns, and the Authority's insurance companies arising out of any claims for injury(ies) or damages resulting from the work performed by or on behalf of the Contractor under this Contract and/or use of any Authority premises or equipment under this Contract.

(c) Each insurance policy shall contain the following endorsements: PRIMARY AND NON-CONTIBUTORY INSURANCE and WAIVER OF TRANSFER OF RIGHTS OF RECOVERY AGAINST OTHERS, which shall be evidenced on the Certificate of Insurance. The General Liability insurance shall include contractual endorsement(s) which acknowledge all indemnification requirements under the Agreement. All required endorsements shall be evidenced on the Certificate of Insurance. Proof that insurance coverage exists shall be furnished to the Authority by way of a Certificate of Insurance before any part of the Contract work is started.

(d) If any insurance coverage required to be provided by the Contractor is canceled, terminated, or modified so that the required insurance coverages are no longer in full force and effect, the Authority may terminate this Contract or obtain insurance coverages equal to the required coverage, the full cost of which will be the responsibility of the Contractor and shall be deducted from any payment due the Contractor.

(e) If any part of the Contract is sublet, Contractor shall be liable for its Subcontractor's insurance coverages of the types and in the amounts stated above, and shall furnish the Authority with copies of such Certificates of Insurance. No delay in the work caused by the Contractor's enforcement of its Subcontractor's insurance requirements shall be excusable delay in the contract. In the event a Subcontractor is unable to furnish insurance in the limits required under the Contract, the Contractor shall endorse the Subcontractor as an ADDITIONAL INSURED on the Contractor's policies.

(f) All insurance required to be maintained or provided by the Contractor shall be with companies and through policies approved by the Authority. The Authority reserves the right to inspect in person, prior to the commencement of the Contract work, all of the Contractor's insurance policies required under this Contract.

(g) If the Contractor has procured insurance at the time of the Contractor's submission of the Contractor's bid, proof of the required insurance should be submitted with the Contractor's bid or proposal. Alternatively, the Contractor is requested to submit evidence of a commitment from an insurance company or companies, or a duly licensed agent, that the Contractor has made arrangements for the required insurance. If the bid or proposal is considered for award, and the Contractor has not previously furnished either the proof of insurance or evidence of commitment, the Contractor will be required to provide proof of the insurance or evidence of a commitment within five (5) days of request. If the Contractor is awarded the bid, and has submitted evidence of commitment rather than proof of the required insurance, the Contractor must furnish proof of the required insurance within five (5) days of the award of the contract. The Certificate of Insurance must indicate the Contract number and description. The insurance certificate should be furnished to the attention of the Contracting Officer.

(h) The Contractor and its lower tier Subcontractors are required to cooperate with the Authority and report all potential claims (workers' compensation, general liability and automobile liability) pertaining to this Contract to the Authority's Risk Management Department at (512) 389-7549 within two (2) days of the incident.

6. PLANS AND SPECIFICATIONS

(a) The Contractor shall perform the Work in accordance to the Plans and Specifications. The Contractor shall keep on the Work Site a copy of the building permit and Plans and Specifications and shall at all times give the Contracting Officer access thereto. Anything mentioned in the Specifications and not shown on the drawings, or shown on the drawings and not mentioned in the Specifications, shall be of like effect as if shown or mentioned in both. In case of difference between drawings and Specifications, the Specifications shall govern. In case of a discrepancy in the figures, in the drawings, or in the Specifications, the matter shall be promptly submitted to the Contracting Officer, who shall promptly make a determination in writing. Absent such submission, the most restrictive, greatest quantity, or highest standard shall govern. Any action or adjustment by the Contractor without such a determination shall be at its own risk and expense. The Contracting Officer shall furnish from time to time such detailed drawings and other information as considered necessary, unless otherwise provided.

(b) Wherever in the Specifications or upon the drawings the words "directed," "required," "ordered," "designated," "prescribed," or words of like import are used, it shall be understood that the "direction," "requirement," "order," "designation," or "prescription," of the Contracting Officer is intended; and similarly the words "approved," "acceptable," "satisfactory," or words of like import shall mean "approved by," or "acceptable to," or "satisfactory to" the Contracting Officer, unless otherwise expressly stated.

(c) Where "as shown," "as indicated," "as detailed," or words of similar import are used, it shall be understood that the reference is made to the drawings accompanying this Contract unless stated otherwise. The word "provided" as used herein shall be understood to mean, "provide complete in place" (that is, "furnished and installed").

(d) If this Contract requires Shop Drawings, the Contractor shall coordinate all such drawings and review them for accuracy, completeness, and compliance with Contract requirements and shall indicate its approval thereon as evidence of such coordination and review. Shop Drawings submitted to the Authority without evidence of the Contractor's approval may be returned for re-submission. The Authority will indicate an approval or disapproval of the Shop Drawings and, if not approved as submitted, shall indicate the Authority's reasons therefore. Any Work done before such approval shall be at the Contractor's risk. Approval by the Authority shall not relieve the Contractor from responsibility for any errors or omissions in such drawings or from responsibility for complying with the requirements of this Contract, except with respect to variations described and approved in accordance with subparagraph (i) below.

(e) If Shop Drawings show variations from the Contract requirements, the Contractor shall describe such variations in writing, separate from the drawings, at the time of submission. If the Authority approves any such variation, the Contracting Officer shall issue an appropriate Contract Modification, except that, if the variation is minor or does not involve a change in price or in time of performance, a modification need not be issued.

(f) The Contractor shall submit to the Authority for approval four (4) copies and an electronic copy (unless otherwise indicated) of all Shop Drawings as called for under the various headings of the Specifications. Three (3) sets (unless otherwise indicated) of all Shop Drawings will be retained by the Authority, and one set will be returned to the Contractor.

(g) The Contractor will be furnished, free of charge, five (5) complete sets of the Contract Drawings and Specifications. The Authority may duplicate, use, and disclose in any manner and for any purpose Shop Drawings delivered under this Contract.

(h) All Plans and/or Specifications and copies thereof furnished by the Authority are, and shall remain, the Authority's property.

(i) Should the Shop Drawings disagree one with another, or with the Specifications, the better quality or greater quantity of Work or materials shall be performed or furnished. Figures given on Shop Drawings govern small scale drawings.

(j) The "Scope of Work," where applicable, placed in the front part of each paragraph of the Specifications, is intended to designate the scope and locations of all items of the Work included therein, either generally or specifically. It is not intended to limit the Scope of Work should Plans, Schedules or notes indicate an increased scope. Inadvertent omission of an item from its proper paragraph of the Specifications and its inclusion in another paragraph shall not relieve the Contractor of responsibilities for the item specified.

(k) The provisions of this Paragraph "Plans and Specifications" shall be included in all Subcontracts at any tier.

7. PERFORMANCE OF WORK BY THE CONTRACTOR

(a) Except as otherwise provided herein, the Contractor shall perform no less than thirty percent (30%) of the Work with its own organization. The on-site production of materials produced by other than the Contractor's forces shall be considered as being subcontracted. If, during the progress of Work hereunder, the Contractor requests a reduction in such performance percentage and the Authority determines that it would be to the Authority's advantage, the percentage of the Work required to be performed by the Contractor may be reduced; provided, written approval of such reduction is obtained by the Contractor from the Authority.

(b) The organization of the Specifications into divisions, paragraphs, and articles, and the arrangement and titles of Project drawings shall not control the Contractor in dividing the Work among Subcontractors or in establishing the extent of work to be performed by any trade.

8. INDEPENDENT CONTRACTOR

The Contractor's relationship to the Authority in the performance of this Contract is that of an independent contractor. The personnel performing Work under this Contract shall at all times be under the Contractor's exclusive direction and control and shall be employees of the Contractor and not employees of the Authority. The Contractor shall be fully liable for all acts and omissions of its employees, Subcontractors, and their suppliers and shall be specifically responsible for sufficient supervision and inspection to assure compliance in every respect with Contract requirements. There shall be no contractual relationship between any Subcontractor or supplier of the Contractor and the Authority by virtue of this Contract. The Contractor shall pay wages, salaries and other amounts due its employees in connection with this Contract and shall be responsible for all reports and obligations respecting them, such as Social Security, income tax withholding, unemployment compensation, workers' compensation and similar matters.

9. COMPOSITION OF CONTRACTOR

If the Contractor hereunder is comprised of more than one legal entity, each such entity shall be jointly and severally liable hereunder.

10. SUBCONTRACTORS AND OUTSIDE CONSULTANTS

Any Subcontractors and outside associates or consultants required by the Contractor in connection with the Work covered by the Contract will be limited to such individuals or firms as were specifically identified and agreed to by the Authority in connection with the award of this Contract. Any substitution in such Subcontractors, associates, or consultants will be subject to the prior approval of the Authority.

11. INTELLECTUAL PROPERTY; DATA PRIVACY PROVISIONS

(a) As between the Contractor and the Authority, the Works and Intellectual Property Rights therein are and shall be owned exclusively by CapMetro, and not the Contractor. The Contractor specifically agrees that all Works shall be considered "works made for hire" and that the Works shall, upon creation, be owned exclusively by the Authority. To the extent that the Works, under applicable law, may not be considered works made for hire, the Contractor hereby agrees that this Contract effectively transfers, grants, conveys, assigns, and relinquishes exclusively to the Authority all right, title and interest in and to all worldwide ownership rights in the Works, and all Intellectual Property Rights in the Works, without the necessity of any further consideration, and the Authority shall be entitled to obtain and hold in its own name all Intellectual Property Rights in and to the Works.

(b) The Contractor, upon request and without further consideration, shall perform any acts that may be deemed necessary or desirable by the Authority to evidence more fully the transfer of ownership of all Works to the Authority to the fullest extent possible, including but not limited to the execution, acknowledgement and delivery of such further documents in a form determined by the Authority. In the event the Authority shall be unable for any reason to obtain the Contractor's signature on any document necessary for any purpose set forth in the foregoing sentence, the Contractor hereby irrevocably designates and appoints the Authority and its duly authorized officers and agents as the Contractor's agent and the Contractor's attorney-in-fact to act for and in the Contractor's behalf and stead to execute and file any such document and to do all other lawfully permitted acts to further any such purpose with the same force and effect as if executed and delivered by the Contractor.

(c) To the extent that any pre-existing rights and/or third-party rights or limitations are embodied, contained, reserved or reflected in the Works, the Contractor shall either:

(1) grant to the Authority the irrevocable, perpetual, non-exclusive, worldwide, royalty-free right and license to:

(i) use, execute, reproduce, display, perform, distribute copies of, and prepare derivative works based upon such pre-existing rights and any derivative works thereof in connection with the sale, offering for sale, marketing, advertising, and promotion of the Authority's goods and services, and in all forms of media, media channels and/or publicity that may now exist or hereafter be created or developed, including but not limited to television, radio, print, Internet, and social media (e.g., Facebook, Twitter, YouTube, etc.) and

(ii) authorize others to do any or all of the foregoing, or

(2) where the obtaining of worldwide rights is not reasonably practical or feasible, provide written notice to the Authority of such pre-existing or third party rights or limitations, request the Authority's approval of such pre-existing or third party rights, obtain a limited right and license to use such pre-existing or third-party rights on such terms as may be reasonably negotiated, and obtain the Authority's written approval of such pre-existing or third-party rights and the limited use of same. The Contractor shall provide the Authority with documentation indicating a third party's written approval for the Contractor to use any pre-existing or third-party rights that may be embodied, contained, reserved or reflected in the Works. The Contractor shall indemnify, defend and hold the Authority harmless from and against any and all claims, demands, regulatory proceedings and/or causes of action, and all losses, damages, and costs (including attorneys' fees and settlement costs) arising from or relating to, directly or indirectly, any claim or assertion by any third party that the Works infringe any third-party rights. The foregoing indemnity obligation shall not apply to instances in which the Authority either:

(i) exceeded the scope of the limited license that was previously obtained by the Contractor and agreed to by the Authority, or

(ii) obtained information or materials, independent of the Contractor's involvement or creation, and provided such information or materials to the Contractor for inclusion in the Works, and such information or materials were included by the Contractor, in an unaltered and unmodified fashion, in the Works.

(d) The Contractor hereby warrants and represents to the Authority that individuals or characters appearing or depicted in any advertisement, marketing, promotion, publicity or media, of any type or form that may now exist or hereafter be created or developed by or on behalf of the Contractor for the use by or benefit of the Authority, have provided their written consent for the use, reproduction, display, performance, and distribution of, and/or preparation of derivative works to, their persona or personality rights, including name, biographical information, picture, portrait, likeness, performance, voice and/or identity ("Personality Rights"), and have been compensated for such Personality Rights, if appropriate. If such permission has been obtained for a limited time, the Contractor shall be responsible for any costs associated with claims resulting from such use, etc., of the Personality Rights after the expiration of those time limits. The Contractor agrees to defend, indemnify and hold the Authority harmless from any claims, including but not limited to claims for invasion of privacy, infringement of the right of publicity, libel, unfair competition, false advertising, intentional or negligent infliction of emotional distress, copyright or trademark infringement, and/or claims for attorney's fees, resulting from such use, etc., of the Personality Rights.

(e) The Contractor hereby irrevocably and forever waives, and agrees never to assert, any Moral Rights in or to the Works which the Contractor may now have or which may accrue to the Contractor's benefit under U.S. or foreign copyright laws and any and all other residual rights and benefits which arise under any other applicable law now in force or hereafter enacted. The term "Moral Rights" shall mean any and all rights of paternity or integrity of the Works and the right to object to any modification, translation or use of the Works, and any similar rights existing under the judicial or statutory law of any country in the world or under any treaty, regardless of whether or not such right is denominated or referred to as a Moral Right.

(f) The Contract is intended to protect the Authority's proprietary rights pertaining to the Works, and the Intellectual Property Rights therein, and any misuse of such rights would cause substantial and irreparable harm to the Authority's business. Therefore, the Contractor acknowledges and stipulates that a court of competent jurisdiction should immediately enjoin any material breach of the intellectual property and confidentiality provisions of this Contract, upon a request by the Authority, without requiring proof of irreparable injury as same should be presumed.

(g) Upon the request of the Authority, but in any event upon termination of this Contract, the Contractor shall surrender to the Authority all documents and things pertaining to the Works, including but not limited to drafts, memoranda, notes, records, drawings, manuals, computer software, reports, data, and all other documents or materials (and copies of same) generated or developed by the Contractor or furnished by the Authority to the Contractor, including all materials embodying the Works, any Authority confidential information, or Intellectual Property Rights, regardless of whether complete or incomplete. This paragraph is intended to apply to all Works made or compiled by the Contractor, as well as to all documents and things furnished to the Contractor by the Authority or by anyone else that pertains to the Works.

(h) The Contractor and its subcontractors and their respective employees and personnel may have access to the Authority Data (including without limitation, personally identifiable information ("PII")) in connection with the performance of the Contract. PII shall be any information that identifies or describes a person or can be directly linked to a specific individual, including ridership and usage data. Examples of PII include, but are not limited to, name, address, phone or fax number, signature, date of birth, e-mail address, method of payment, ridership and travel pattern data. Customer Personally Identifiable Information, or Customer PII, means any PII relating to the Authority's customers. To the extent any Authority Data (including PII) is made available to the Contractor under the Contract, the Contractor shall take reasonable steps to maintain the confidentiality, security, safety, and integrity of all PII and other Authority Data in accordance with the Authority's Proprietary Rights and Data Security Addendum, which will be attached as an addendum to the Contract, as applicable.

(i) The Contractor and its subcontractors, employees and consultants may require access to the Authority Electronic Property and related Authority Data in connection with the performance of services under the Contract. In such event, the Contractor agrees that it will, and it will cause its subcontractors and any of their respective employees and personnel to, execute the Authority's Access and Use Agreement, which will be attached as an addendum to the Contract, as applicable.

(j) This Section 11 will survive termination or expiration of this Agreement for any reason.

12. STANDARD OF PERFORMANCE

(a) The Contractor shall supervise and direct the Work using its best skills and attention in accordance with Good Industry Practice.

(b) The Contractor shall be solely responsible for all Construction means, methods, techniques, safety, sequences and procedures, and for coordinating all portions of the Work under the Contract.

13. CONTRACTOR AND SUBCONTRACTOR ANNUAL AUDITED FINANCIAL STATEMENTS AND ABILITY TO PERFORM

The Contractor must provide evidence of its financial resources and its ability to perform the services for which Contractor is submitting a response. This includes information Contractor or believes is pertinent that demonstrates its financial capability, financial solvency, and capability to fulfill the requirements of this contract.

The Contractor shall provide to the Authority a copy of Contractors' and Subcontractors' latest audited financial statements, which may include Contractor's balance sheet, statements of income, retained earnings, cash flows, and the notes to the financial statements, as well as Contractor's most current 10-K, if applicable, throughout the term of the Contract. The audited financial statements shall be provided annually. The financial statements shall be provided to the Authority within ninety (90) calendar days from the end of Contractor's fiscal period. For instance, if Contractor's fiscal period ends each December 31st, then the financial statements shall be provided to the Authority no later than March 31st of the following year. The Authority, at its' discretion, may accept unaudited financial reports.

14. PERSONNEL ASSIGNMENTS

(a) The Contractor shall perform the Work in an orderly and workmanlike manner, and shall utilize persons skilled and qualified for the performance of the Work. The Authority will have the right to review the experience of each person assigned to perform the Work and approve personnel assignments, including those to be performed by Subcontractors.

(b) The Contractor certifies that the Contractor, and each Subcontractor, have established a criminal history background policy that complies with guidance issued by the U.S. Equal Employment Opportunity Commission and that the Contractor and each Subcontractor conducts criminal history checks on its assigned personnel in accordance with such policy to identify, hire and assign personnel to work on this Contract whose criminal backgrounds are appropriate for the Work being performed, considering the risk and liability to the Contractor and the Authority. The Authority reserves the right to require the Contractor and any Subcontractor to disclose any criminal or military criminal convictions of assigned personnel and the right to disapprove the use of assigned personnel with criminal or military convictions.

(c) At the commencement of the Contract, the Contractor shall provide a list of candidates to be used to provide the services and shall certify that a criminal history background check has been completed on each candidate within the preceding 6-month period. Thereafter during the Term, the Contractor shall submit quarterly report containing a list of all persons (including Subcontractors) assigned to perform services under the Contract and a certification that each named person has undergone a criminal background check.

as required by this Contract. The Authority shall have the right to audit the Contractor's records for compliance with the provisions of this Section. Criminal background checks shall include the following:

(1) State Criminal History: The Contractor shall research criminal history, including driving records (where applicable), covering all jurisdictions within the state, including local counties and municipalities.

(2) Out of State Criminal History: The Contractor shall research criminal history, including state driving records (where applicable), for all 50 states.

(3) National Sex Offender Registry.

(4) Military Discharge: For any candidates that have served in the military, the Contractor shall review the DD Form 214 "Certificate of Release or Discharge from Active Duty" (Long Form).

*Matters identified on the Long Form as military discipline will be considered in accordance with the corresponding crime listed below with respect to classification, severity and time elapsed.

The Contractor shall disclose to the Authority the type of arrests with pending dispositions and convictions for crimes according to the classification of offense and the timetable below:

Offense Type	Action Required
Crimes Against the Person (other than sex crimes)	
Felony	Submit to CapMetro for review if less than 10 years from date of release from confinement
Class A or B Misdemeanor	Submit to CapMetro for review if less than 7 years from date of conviction
Class C Misdemeanor	Submit to CapMetro for review if less than 5 years from date of conviction
Crimes Against the Person - Sex Crimes/Registered Sex Offenders	
ALL	Submit to CapMetro for review
Crimes Against Property	
Felony	Submit to CapMetro for review if less than 10 years from date of release from confinement
Moral Crimes, including, but not limited to: Drug Crimes, Prostitution, Bigamy, Illegal Gambling, Child Pornography	
Felony	Submit to CapMetro for review if less than 10 years from date of release from confinement
Class A or B Misdemeanor	Submit to CapMetro for review if less than 7 years from date of conviction
Class C Misdemeanor	Submit to CapMetro for review if less than 5 years from date of conviction
Driving Offenses	
Class A or B Misdemeanor, DWI/DUI or other "serious driving offense"	Disqualified if less than 7 years from date of conviction or deferred adjudication. Submit to CapMetro for review if between 7-10 years since conviction or deferred adjudication or more than 2 convictions in a lifetime

Class C Misdemeanor Moving Violations	Disqualified from driving if more than 2 moving violations in the past 5 years (Any more than one driving safety course taken for a moving violation that appears on a five (5) year record will be treated as a moving violation and will count against the employee)
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The Contractor may not assign an employee to provide services if the employee has any conviction in the applicable categories listed above, unless an exception is granted by the Authority in accordance with subparagraph (d).

(d) The Contractor may request the Authority perform an individual assessment of a candidate with a criminal conviction meeting one of the above categories. In conducting an individual assessment, the Authority's review will include, but not be limited to, the following factors:

- (1) The nature and gravity of the offense or conduct;
- (2) The degree of harm caused by the offense or conduct;
- (3) The time that has elapsed since the conviction or completion of probation or jail time;
- (4) The nature of the job sought, including the job duties, environment and level of supervision;
- (5) Any incorrect criminal history;
- (6) Wrongful identification of the person;
- (7) The facts and circumstances surrounding the offense or conduct;
- (8) The number of offenses for which the candidate was convicted;
- (9) The subsequent conviction for another relevant offense;
- (10) The age of the person at the time of conviction or completion of probation or jail time;
- (11) Evidence that the person performed the same type of work, post-conviction, with the same or different employer, with no known incidents of criminal conduct;
- (12) The length and consistency of employment history before and after the conviction in a similar field as the current position sought;
- (13) Rehabilitation efforts, e.g., education, treatment, training;
- (14) Employment or character references and any other information regarding fitness for the particular position;
- (15) Whether the person is bonded or licensed under any federal, state or local program or any licensing authority;
- (16) The person's statement of the circumstances surrounding the offense and conviction and relevant factors is consistent with publicly available record related to the crime and conviction; and
- (17) Any other factors deemed relevant in the consideration of a particular assessment.

At the time a request is made for an individual assessment, the Contractor must include the following documentation:

- the candidate's application/resume;

- a copy of the criminal conviction history, including those tried in a military tribunal;
- available court information related to the conviction;
- any publicly available information related to the offense and conviction;
- a statement from the candidate addressing any/all factors set forth above and explaining why the person is qualified for the assignment notwithstanding the conviction; and
- a statement from the candidate explaining why the person is an acceptable risk for the work to be performed by the candidate.

The Authority will provide a written decision to the Contractor within five (5) working days of receipt of all required documentation from the Contractor.

(e) The Contractor will conduct new criminal history background checks on all assigned personnel every two (2) years during the Contract to ensure the preceding criterion are still met by the assigned personnel and notify the Authority if an employee has a subsequent arrest with pending disposition or conviction (or change in driving record, as applicable) that requires further review by the Authority using the criterion set forth above. The Authority reserves the right to request that the assigned individual be removed from performing work under this Contract.

15. REMOVAL OF ASSIGNED PERSONNEL

The Authority may require, in writing, that the Contractor remove from the Work any employee or Subcontractor of Contractor that the Authority deems inappropriate for the assignment.

16. BADGES AND ACCESS CONTROL DEVICES

(a) Contractor and each of Contractor's employees, as well as each Subcontractor, of any tier, and any workers working on behalf of Subcontractor shall be required to wear a CapMetro Contractor Photo Identification Badge ("badge") at all times while on the Authority's premises, including the Work Site. The badge will be provided by CapMetro. If any badge holder loses or misplaces his or her badge, the Contractor shall immediately notify the Project Manager upon discovery. Contractor will be charged a \$50.00 replacement fee for each lost or misplaced badge, which fee shall be deducted any amounts due and owing to the Contractor or if the Contract is terminated upon demand by the Authority. Contractor shall return all badges provided when any badge holder is no longer working on the Project, and all badges shall be returned upon completion of the Contract. In the event the Contractor fails to do so, Contractor will pay a \$50.00 per badge fee deducted from any amounts due and owing to the Contractor or if the Contract is terminated upon demand by the Authority. All badges should be returned to the Project Manager. All requests for new and replacement badges must be submitted in writing to the Project Manager. The misuse of a badge may result in termination of the Contract.

(b) Access Control Devices will be issued to employees of Contractor and to each Subcontractor of any tier and any worker working on behalf of Subcontractor as necessary to perform the Work. Access Control Devices are not transferable between Contractor employees or workers working on behalf of the Subcontractor. Contractor employees and workers on behalf of the Subcontractor are prohibited from loaning Access Control Devices or providing access to an unauthorized person into restricted areas without prior arrangements with the Project Manager. All requests for new and replacement Access Control Devices must be submitted in writing to the Project Manager. Lost Access Control Devices must be reported to the Project Manager immediately upon discovery. All Access Control Devices should be returned to the Project Manager. All requests for new and replacement Access Control Devices must be submitted in writing to the Project Manager. The misuse of an Access Control Device(s) may result in termination of the Contract. Contractor shall return all Access Control Devices once an assigned employee

or worker is no longer working on the Project or upon termination of the Contract. In the event the Contractor fails to do so, then Contractor shall be responsible for the replacement cost of an Access Control Device which shall be deducted from any amounts due and owing to the Contractor or payable on demand if the Contract has terminated. The replacement cost will be calculated at current market value to include labor and materials. The misuse of an Access Control Device(s) may result in termination of the Contract.

(c) The provisions of this paragraph survive termination of the Contract.

17. SUBCONTRACTORS

(a) In addition to any other requirements under this Contract for the submission of any Subcontract agreement, the Contractor shall provide upon request to the Contracting Officer one (1) copy of all executed Subcontracts associated with this Contract, including any changes or modifications to Subcontracts, within three (3) days of their execution.

(b) No Subcontractor shall be permitted to perform work associated with the Subcontract until the Subcontractor (or the Contractor on the Subcontractor's behalf) is in compliance with the insurance requirements specified elsewhere in this Contract, and has furnished satisfactory evidence of insurance to the Authority.

(c) It is expressly understood and agreed that Contractor shall be liable for any default or failure to perform any provision of this Contract by any Subcontractor engaged by Contractor except for Force Majeure Events.

18. ADMINISTRATIVE CONTROL OF DOCUMENTS

All correspondence related to this Contract shall refer to the Contract number identified on the cover sheet of this Contract. Correspondence shall be addressed to the appropriate Authority representative, and if it is addressed to any other individual other than the Contracting Officer, the Contractor shall also provide a copy of the correspondence to the Contracting Officer.

19. WORK SITE INVESTIGATION AND CONDITIONS AFFECTING THE WORK

(a) By submission of a bid, the Contractor acknowledges that it has taken steps reasonably necessary to ascertain the nature and location of the Work Site and that it has investigated and satisfied itself as to the general and local conditions which can affect the Work or its cost, including, but not limited to,

- (1) conditions bearing upon transportation, disposal, handling, and storage of materials;
- (2) the availability of labor, water, electric power, and roads;
- (3) uncertainties of weather, flooding patterns and water drainage, or similar physical conditions at the site;
- (4) the conformation and conditions of the ground; and
- (5) the character of equipment and facilities needed preliminary to and during work performance.

(b) The Contractor acknowledges that its undertaking to complete the Contract within the Contract Term includes an allowance for the number of days in which Contract work may be partially or totally delayed because of weather and at the location the Contract will be performed and that the Contractor shall not be entitled to excusable delays or compensation for such delays. The Contractor also acknowledges that it has satisfied itself as to the character, quality, and quantity of surface and subsurface materials or obstacles to be encountered insofar as this information is reasonably ascertainable from an inspection of the Work Site, access to the Work Site, and territory surrounding the Work Site, including any exploratory work done by the Authority as well as from the drawings and Specifications made a part of this Contract. Any failure

of the Contractor to take the actions described and acknowledged in this paragraph will not relieve the Contractor from responsibility for estimating properly the difficulty and cost of successfully performing the Work or for proceeding to perform the Work successfully without additional expense to the Authority.

(c) The Authority assumes no responsibility for any conclusions or interpretations made by the Contractor based on the information made available by the Authority, nor does the Authority assume responsibility for any understanding reached or representation made concerning conditions, which can affect the Work by any of its officers or agents before the execution of this Contract, unless that understanding or representation is expressly stated in this Contract.

(d) Weather conditions shall be considered and included in the planning and scheduling of all work influenced by high and low ambient temperatures, precipitation and/or saturates soil ensure completion of all Work within the Contract Time based on the average climatic conditions for the preceding ten (10) years as published by the National Oceanographic and Atmospheric Administration ("NOAA") and entitled, "Local Climatological Data – Austin/Central, Texas."

20. LAYOUT OF WORK

The Contractor shall lay out its work from Authority-established base lines and benchmarks indicated on the Plans and Specifications and shall be responsible for all measurements in connection with the layout. The Contractor shall furnish, at its own expense, all stakes, templates, platforms, equipment, tools, materials, and labor required to lay out any part of the Work. The Contractor shall be responsible for executing the Work to the lines and grades that may be established or indicated by the Project Manager. The Contractor shall also be responsible for maintaining and preserving all stakes and other marks established by the Project Manager until authorized to remove them. If such marks are destroyed by the Contractor or through its negligence before their removal is authorized, the Authority may replace them and deduct the expense of the replacement from any amounts due or to become due to the Contractor.

21. PROTECTION OF AUTHORITY PROPERTY

(a) The Contractor shall use reasonable care to avoid damaging existing buildings, equipment, and vegetation on or about premises owned by, or under the control of, the Authority. If the Contractor causes damage to any of this property, the Contractor shall replace or repair the damage at no expense to the Authority as the Contracting Officer directs. If the Authority directs that the Contractor make the repair and Contractor fails or refuses to promptly make such repair or replacement, the Contractor shall be liable to the Authority for the cost, plus an administrative fee of ten percent (10%), which may be deducted from any invoice due and owing Contractor. If the Authority elects to make such repair or replacement, then the Contractor shall be liable for the cost, plus an administrative fee of ten percent (10%), which may be deducted from any invoice due and owing to the Contractor or on demand if the Contract is terminated. This provision shall survive termination of the Contract.

(b) The Contractor also shall be responsible for all materials delivered and work performed until completion and acceptance of the entire Work, except by any completed unit of Work that may have been accepted by the Authority under the Contract.

22. PROTECTION OF EXISTING SITE CONDITIONS

(a) The Contractor shall preserve and protect all structures, equipment, and vegetation (such as trees, shrubs, and grass) on or adjacent to the Work Site which are not to be removed and which do not unreasonably interfere with the Work required under this Contract. The Contractor shall promptly remove trees only when specifically authorized to do so and shall avoid damaging vegetation that will remain in place. If any limbs or branches of trees are broken during Contract performance, or by the careless operation of equipment, or by workmen, the Contractor shall trim those limbs or branches with a clean cut and paint the cut with a tree-pruning compound as directed by the Contracting Officer.

(b) The Contractor shall protect from damage all existing improvements and utilities (1) at or near the

Work Site and (2) on adjacent property of a third party.

(c) If Contractor damages any such existing improvements or utilities, the Contractor shall promptly repair the damage at no expense to the Authority as the Contracting Officer directs. If the Authority directs that the Contractor make the repair and the Contractor fails or refuses to promptly make such repair, the Authority may make the repair and the Contractor shall be liable to the Authority for the cost, plus an administrative fee of ten (10%) percent, which may be deducted from any amounts due and owing Contractor or on demand if the Contract is terminated. If the Authority elects to make such repair or replacement, then the Contractor shall be liable to the Authority for the cost of such repair(s), plus an administrative fee of ten (10%) percent, which may be deducted from any invoice due and owing Contractor or on demand if the Contract is terminated.

(d) This provision shall survive the termination of the Contract.

23. WORK BY THE AUTHORITY; OTHER CONTRACTS

(a) The Authority may undertake, or award other contracts for, additional work at or near the Work Site. The Contractor shall fully cooperate with the other contractors and with employees of the Authority and shall carefully adapt scheduling and performing the Work under this Contract to accommodate the additional work, heeding any direction that may be provided by the Authority.

(b) The Contractor shall afford the Authority and separate contractors reasonable opportunity for the introduction and storage of their materials and equipment and performance of their activities and shall connect and coordinate the Contractor's construction and operations with their as required by the Contract Documents.

(c) If part of the Contractor's Work depends for proper execution or results upon the design, construction or operations by the Authority or a separate contractor, the Contractor shall, prior to proceeding with that portion of the Work promptly report to the Authority apparent discrepancies or defects in such other construction that would render it unsuitable for such proper execution and results. Failure of the Contractor to so report shall constitute an acknowledgement that the Authority's or separate Contractor's completed or partially completed construction is fit and proper to receive the Contractor's Work, except as to defects not reasonably discoverable.

(d) The Authority shall be reimbursed by the Contractor for costs incurred by the Authority which are payable to a separate contractor because of delays, improperly timed activities or defective construction of the Contractor. The Authority shall be responsible to the Contractor for costs incurred by the Contractor because of delays, improperly timed activities, damage to the work or defective construction of a separate contractor.

(e) The Contractor shall promptly remedy damage caused by the Contractor to completed or partially completed construction or to property of the Authority or separate contractors.

24. CONSTRUCTION SCHEDULE

(a) Promptly after Contract award, the Contractor shall meet with the Contracting Officer to discuss Project scheduling and, at that meeting, shall submit a schedule showing the order in which the Contractor proposes to perform the Work and the dates on which the Contractor contemplates starting and completing the several milestone tasks of the Work (including acquiring materials, plant, and equipment). The schedule shall be in the form of a network analysis of suitable scale to indicate appropriately the percentage of the Contractor's work breakdown schedule which will be completed by any given date during the period. If the Contractor fails to submit a schedule within the time prescribed, the Contracting Officer may withhold approval of progress payments until the Contractor submits the required schedule.

(b) The Contractor shall enter the actual progress on the schedule as directed by the Contracting Officer

and, upon doing so, immediately shall deliver a copy of the annotated schedule to the Contracting Officer. If, in the opinion of the Contracting Officer, the Contractor falls behind the approved schedule, the Contractor shall take steps necessary to improve its progress, including those that may be required by the Contracting Officer, without additional cost to the Authority. In this circumstance, the Contracting Officer may require the Contractor to increase the number of shifts, overtime operations, days of work, and/or the amount of construction plant, and to submit for approval any supplementary schedule or schedules in chart form as the Contracting Officer deems necessary to demonstrate how the approved rate of progress will be regained.

(c) Failure of the Contractor to comply with the requirements of the Contracting Officer under this paragraph shall be grounds for a determination by the Contracting Officer that the Contractor is not performing the Work with sufficient diligence to ensure completion within the time specified in the Contract. Upon making this determination, the Contracting Officer ~~may terminate the Contractor's right to proceed with the Work, or any separable part thereof and/or pursue default remedies under this Contract~~ **declare Contractor in default under the contract.**

25. OPERATIONS AND STORAGE AREAS

(a) The Contractor shall confine all operations (including storage of materials) on the Worksite to areas authorized or approved by the Contracting Officer.

(b) Temporary buildings (e.g., storage sheds, shops, offices) and utilities may be erected by the Contractor only with the approval of the Contracting Officer and shall be built with labor and materials furnished by the Contractor without expense to the Authority. The temporary buildings and utilities shall remain the property of the Contractor and shall be promptly removed by the Contractor at its expense upon completion of the Work. With the written consent of the Contracting Officer, the buildings and utilities may be abandoned and need not be removed.

(c) The Contractor shall, under regulations prescribed by the Contracting Officer, use only established roadways or use temporary roadways constructed by the Contractor when and as authorized by the Contracting Officer. When materials are transported in performing the Work, vehicles shall not be loaded beyond the loading capacity recommended by the manufacturer of the vehicle or prescribed by any federal, state, or local law or regulation.

(d) When it is necessary to cross curbs or sidewalks, the Contractor shall protect the materials from damage. The Contractor shall promptly repair any damaged curbs, sidewalks, or roads at its sole cost, as the Contractor Officer directs or the Authority may elect to make the repairs, at Contractor's expense. If the Contractor fails to promptly make such repair or replacement or if the Authority elects to make such repair or replacement, then the Contractor shall be liable to the Authority for the cost of such repair(s), plus an administrative fee of ten percent (10%), which may be deducted from any invoice due and owing Contractor or on demand if the Contract is terminated. This provision shall survive termination of the Contract.

26. DIFFERING WORK SITE CONDITIONS

(a) Pursuant to Paragraph entitled "Work Site Investigation and Conditions Affecting the Work," the Contractor acknowledge that it had inspected the Work Site and satisfied itself as to the character, quality, and quantity of surface and subsurface materials or obstacles to be encountered while performing the Work insofar as this information is reasonably ascertainable from an inspection of the Work Site. If the Contractor encounters differing Work Site conditions from those identified under Paragraph entitled "Work Site Investigation and Conditions Affecting the Work," the Contractor shall within five (5) days, and before the conditions are disturbed, give written notice to the Contracting Officer of one (1) subsurface or latent physical conditions at the Work Site, which differ materially from those ascertainable from an inspection of the Work Site under Paragraph entitled "Work Site Investigations and Conditions Affecting the Work," or (2) unknown physical conditions at the Work Site, of an unusual nature, which differ materially from those ordinarily encountered and generally recognized as inhering in work of the character provided for in the Contract.

(b) The Project Manager shall investigate the Work Site conditions promptly after receiving the notice. If the conditions do materially so differ and cause an increase or decrease in the Contractor's cost of, or the time required for, performing any part of the Work under this Contract, whether or not changed as a result of the conditions, an equitable adjustment shall be made and the Contract modified in writing provided the Contractor complies with Paragraph entitled "Equitable Adjustments."

(c) No request by the Contractor for an equitable adjustment to the Contract under this subparagraph shall be allowed unless the Contractor has given the written notice required; provided, that the time prescribed in (a), above, for giving written notice may be extended by the Contracting Officer in his or her discretion

(d) No request by the Contractor for an equitable adjustment to the Contract for differing site conditions shall be allowed if made after final payment under this Contract.

27. PERMITS

The Contractor shall, without additional expense to the Authority, be responsible for obtaining any and all necessary licenses and permits and for complying with any federal, state, county, and municipal laws, codes, and regulations applicable to the performance of the Work, including, but not limited to, any laws or regulations requiring the use of licensed contractors to perform parts of the Work.

28. SUPERINTENDENCE BY CONTRACTOR

At all times during performance of this Contract and until the Work is completed and accepted, the Contractor shall directly superintend the Work or assign and have on the Work a competent superintendent. The superintendent shall be in attendance at the Project site during the progress of the Work. The superintendent shall be competent and satisfactory to the Authority, and shall not be changed except with the written approval of the Authority unless he or she leaves the employment of the Contractor. The superintendent shall represent the Contractor and shall have full authority to act on Contractor's behalf. All communications given to the superintendent shall be as binding as if given to the Contractor. All oral communications affecting Contract time, cost, and interpretation will be confirmed in writing.

29. CONTRACTOR CONTACT/AUTHORITY DESIGNEE

The Contractor shall provide the Authority with a telephone number to ensure immediate communication with a person (not a recording) anytime during Contract performance. Similarly, the Authority shall designate an Authority representative who shall be similarly available to the Contractor.

30. MATERIAL AND WORKMANSHIP

(a) All equipment, material, and articles incorporated into the Work covered by this Contract shall be new and of the most suitable grade for the purpose intended unless otherwise specifically provided in this Contract. References in the Specifications to equipment, material, articles, or patented processes by trade name, make, or catalog number shall be regarded as establishing a standard of quality and shall not be construed as limiting competition. The Contractor may, at its option, use any equipment, material, article, or process that, in the judgment of the Contracting Officer is equal to that named in the Specifications, unless otherwise specifically provided in this Contract.

(b) The Contractor shall obtain the Contracting Officer's approval of the machinery and mechanical and other equipment to be incorporated into the Work. When requesting approval, the Contractor shall furnish to the Contracting Officer the name of the manufacturer, the model number, and other information concerning the performance, capacity, nature, and rating of the machinery and mechanical and other equipment. When required by this Contract or by the Contracting Officer, the Contractor also shall obtain the Contracting Officer's approval of the material or articles, which the Contractor contemplates incorporating into the Work. When requesting approval, the Contractor shall provide full information concerning the material or articles. When directed to do so, the Contractor shall submit samples for

approval at the Contractor's expense, with all shipping charges prepaid. Machinery, equipment, material, and articles that do not have the required approval shall be installed or used at the risk of subsequent rejection.

(c) All work under this Contract shall be performed in a skillful and workmanlike manner. The Contracting Officer may require, in writing, that the Contractor remove from the Work any employee the Contracting Officer deems incompetent, careless, or otherwise objectionable.

31. USE AND POSSESSION PRIOR TO COMPLETION

(a) The Authority shall have the right to take possession of or use any completed or partially completed part of the Work. Before taking possession of or using any completed or partially completed Work, the Contracting Officer shall furnish the Contractor a list of items of Work remaining to be performed or corrected on those portions of the Work that the Authority intends to take possession of or use. However, failure of the Contracting Officer to list any item of Work shall not relieve the Contractor of responsibility for complying with the terms of the Contract. The Authority's possession or use shall not be deemed an acceptance of any Work under the Contract.

(b) While the Authority has such possession or use, the Contractor shall be relieved of the responsibility for the loss of or damage to the Work resulting from the Authority's possession or use, notwithstanding the terms of **Exhibit E-Revised-3**, Paragraph entitled "Permits." If prior possession or use by the Authority delays the progress of the Work or causes additional expense to the Contractor, an equitable adjustment shall be made in the Contract Sum or the time of completion, and the Contract shall be modified in writing accordingly.

32. CONDITION OF THE WORK SITE

The Contractor shall at all times keep the Work Site, including storage areas, free from accumulations of waste materials. Before completing the Work, the Contractor shall remove from the Work any rubbish, tools, scaffolding, equipment, and materials that are not the property of the Authority. Upon completing the Work, the Contractor shall leave the Work Site in a clean, neat, and orderly condition satisfactory to the Contracting Officer. If Contractor fails to do so, the Authority may withhold payment of the final invoice until such Work is completed.

33. TITLE TO SUBMITTALS

All information, drawings, or other submittals required to be furnished by the Contractor to the Authority under this Contract shall become the property of the Authority.

34. ACCIDENT PREVENTION

(a) In performing this Contract, the Contractor shall provide for protecting the lives and health of employees and other persons; preventing damage to property, materials, supplies, and equipment; and avoiding work interruptions. For these purposes, the Contractor shall: (1) provide appropriate safety barricades, signs, and signal lights; (2) comply with all safety standards required by federal, state, or local law and any additional standards customarily employed in connection with the type of work being performed or the conditions at the Work Site.

(b) The Contracting Officer shall notify the Contractor of any noncompliance with these requirements and of the corrective action required. This notice, when delivered to the Contractor or the Contractor's representative at the Work Site, shall be deemed sufficient notice of the noncompliance and corrective action required. After receiving the notice, the Contractor shall immediately take corrective action. If the Contractor fails or refuses to take corrective action promptly, the Contracting Officer may issue an order stopping all or part of the Work until satisfactory corrective action has been taken. The Contractor shall not base any claim or request for equitable adjustment for additional time or money on any stop order issued under these circumstances.

(c) The Contractor shall maintain an accurate record of exposure data on all accidents incident to Work performed under this Contract resulting in death, traumatic/acute injury, occupational disease, or damage to property, materials, supplies or equipment. Such data shall be submitted to the Authority; provided, however, in the event of accidents resulting a death or multiple severe injuries, any such accident shall be reported to the Contractor Officer within twenty-four (24) hours of such accident.

(d) The Contractor shall be responsible for its Subcontractors' compliance with this paragraph.

35. SUSPENSION OF WORK

(a) The Contracting Officer may order the Contractor in writing to suspend all or any part of the Work for such period of time as it may determine to be appropriate for the convenience of the Authority.

(b) If the performance of all or any part of the Work is, for an unreasonable period of time, suspended or delayed by an act of the Contracting Officer in the administration of this Contract, or by its failure to act within the time specified in this Contract (or, if no time is specified, within a reasonable time), an adjustment shall be made for any increase in cost of performance of this Contract (excluding profit) necessarily caused by such unreasonable suspension or delay, and the Contract modified in writing accordingly. Any adjustment shall be made in accordance with paragraph entitled "Equitable Adjustments." However, no adjustment shall be made under this paragraph for any suspension or delay to the extent (1) that performance would have been suspended or delayed by any other cause, including the fault or negligence of the Contractor, or (2) for which an equitable adjustment is provided for or excluded under any other provision of this Contract.

(c) No claim under this paragraph shall be allowed (1) for any costs incurred more than twenty (20) days before the Contractor shall have notified the Contracting Officer in writing of the act or failure to act involved (but this requirement shall not apply to a claim resulting from a suspension order), and (2) unless the claim, in an amount stated, is asserted in writing as soon as practicable after the termination of such suspension or delay, but not later than the date of final payment. No part of any claim based on the provisions of this paragraph shall be allowed if not supported by adequate evidence showing that the cost would not have been incurred but for a delay within the provisions of this paragraph.

36. AUTHORITY'S RIGHT TO CARRY OUT WORK

(a) If Contractor defaults or neglects to carry out the Work in accordance with the Contract Documents and fails within a seven-day period after receipt of written notice from the Authority to commence and continue correction of such default or neglect with diligence and promptness, the Authority may, without prejudice to other remedies the Authority may have, correct such deficiencies. In such case, an appropriate adjustment shall be issued deducting from payments then or thereafter due the Contractor the reasonable cost of correcting such deficiencies, including the Authority's expenses and compensation for the A/E additional services made necessary by such default. If payments due the Contractor are not sufficient to cover such amounts, the Contractor shall pay the difference to the Authority.

(b) After the Work is completed, the Authority may make emergency repairs to the Work if necessary to prevent further damage of, if the Contractor does not promptly respond to a notice of a condition requiring repairs. The Contractor shall be responsible to the Authority for this cost if the reason for the repairs is defects in the Contractor's Work. If payments then or thereafter due the Contractor are not sufficient to cover such costs, the Contractor shall pay the difference to the Authority.

37. CHANGE ORDER ACCOUNTING

In the event that an equitable adjustment under the Paragraph entitled "Changes" cannot be agreed to in a timely manner, the Contracting Officer may issue a Change Order and require Change Order accounting. The Contractor, for each change or series of related changes, shall maintain separate accounts, by job order or other suitable accounting procedure, of all incurred, segregable, direct costs (less allocable credits)

of Work, both changed and not changed, allocable to the change. The Contractor shall maintain such accounts until the parties agree to an adjustment for the changes ordered by the Contracting Officer or the matter is conclusively disposed of in accordance with Paragraph entitled "Interpretation of Contract – Disputes."

38. WARRANTY

(a) Contractor warrants that the Work shall be free of patent and latent defects in **design**, materials, equipment, and workmanship, as measured from the requirements, criteria, standards and Specifications set forth in the Contract. A defect shall be considered latent only if it is not known or disclosed to the Authority prior to Substantial Completion, of the overall Work, and such defect would not normally be discovered upon reasonable inspection and investigation in accordance with Good Industry Practice during the course of design and Construction and prior to the completion of the Work. This limited warranty also does not apply to and the Contractor shall not be responsible for, repair or replacement Work needed as a result of: (a) normal wear and tear; (b) defect caused by damage; or (c) failure by Authority to maintain, repair, or operate the Work Site in accordance with Good Industry Practice, to the extent the defect is caused by same.

(b) Contractor shall correct all Construction and material defects and deficiencies (other than latent defects and deficiencies which are discussed below) which may develop within the applicable of (i) a period of one (1) year from the date when all of the Work is completed and accepted by the Authority, or (ii) such longer period for any component of the Work which may be specified in the Contract Documents, or (iii) any warranty period which may be longer than the periods specified in (i) and (ii) above as provided in any specific warranty for such item. Nothing set forth above or otherwise in this Contract shall restrict in any way or operate as any limitation on the right of Authority to seek damages or other remedies against Contractor for any other period under any legal or equitable theory with respect to any defects and deficiencies which are latent in nature and not readily ascertainable in the ordinary course of observation, subject, however, to any limitations imposed under governing law.

(c) Contractor shall, within fifteen (15) days after receipt of written notice hereof, commence to correct, repair and make good any defects in the Work for which said materials, equipment and workmanship are warranted, and also make good any damage to other work caused by the repairing of such defects. Contractor warrants to the Authority that such Work will be performed in a safe and careful manner and will conform to the requirements of the Contract Documents. None of the work performed in correcting such defects shall be the basis of a claim for additional compensation or damages.

(d) The Contractor shall issue in writing to the Authority as a condition precedent to final payment a "General Warranty" reflecting terms and conditions of this paragraph for all work under the Contract. This warranty shall specifically provide that all defects in materials and workmanship appearing during the warranty period shall be remedied to the satisfaction of the Authority at no additional cost to the Authority.

(e) The provisions of this paragraph shall survive termination of the Contract.

39. INSPECTION AND TESTING OF WORK

(a) The Contractor shall maintain an adequate inspection system and perform such inspections as will ensure that the Work conforms to Contract requirements. The Contractor shall maintain complete inspection records and make them available to the Authority Immediately upon request. All Work is subject to inspection and testing by the Authority at all places and at all reasonable times before acceptance to ensure strict compliance with the terms of the Contract.

(b) Inspections and tests by the Authority are for the sole benefit of the Authority and do not:

- (1) relieve the Contractor of responsibility for providing adequate quality control measures;

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- (1) relieve the Contractor of responsibility for damage to or loss of the material before acceptance;
 - (2) constitute or imply acceptance; or
 - (3) affect the continuing rights of the Authority after acceptance of the completed Work.
- (c) The presence or absence of an inspector from the Authority does not relieve the Contractor from any Contract requirement, nor is the inspector authorized to change any term or condition of the Specifications without the Contracting Officer's written authorization.
- (d) The Contractor shall promptly furnish, without additional charge, all facilities, labor, and material reasonably needed for performing such safe and convenient inspections and tests as may be required by the Contracting Officer. The Authority may charge to the Contractor any additional cost of inspection or test when Work is not ready at the time specified by the Contractor for inspection or test, or when prior rejection makes re-inspection or retest necessary. The Authority may perform any inspections or tests in a manner that will not unnecessarily delay the Work.
- (e) The Contractor shall, without charge, replace or correct Work found by the Authority not to conform to Contract requirements, unless in the public interest the Authority consents to accept the Work with an appropriate downward adjustment in Contract Sum. The Contractor shall promptly segregate and remove rejected material from the Work Site.
- (f) If the Contractor does not promptly replace or correct rejected Work, the Authority may:
- (1) by Contract or otherwise, replace or correct the Work and charge the cost to the Contractor, plus an administrative fee of ten percent (10%), or
 - (2) terminate for default the Contractor's right to proceed.
- (g) If, before acceptance of the entire Work, the Authority decides to examine already completed Work by removing it or tearing it out, the Contractor, on request, shall promptly furnish all necessary facilities, labor, and material. If the Work is found to be defective or nonconforming in any material respect due to the fault of the Contractor or its Subcontractors, the Contractor shall defray the expenses of the examination and of satisfactory reconstruction. However, if the Work is found to meet Contract requirements, the Contracting Officer shall make an equitable adjustment for the additional services involved in the examination and reconstruction in accordance with Paragraph entitled "Equitable Adjustments" contained in this **Exhibit E-Revised-3**, including, if completion of the Work was thereby delayed, an extension of time.
- (h) Unless otherwise specified in the Contract, the Authority shall accept, as promptly as practicable after completion and inspection, all Work required by the Contract or that portion of the Work the Contracting Officer determines can be accepted separately. Subject to the provisions of Paragraph entitled "Warranty of Construction," acceptance by the Authority shall be final and conclusive except for latent defects, fraud, gross mistakes amounting to fraud, or the Authority's rights under any warranty or guarantee.

40. PRICING OF ADJUSTMENTS

When costs are a factor in any determination of an adjustment to the Contract Sum pursuant to Paragraph entitled "Changes" or any other provision of this Contract, such costs shall be in accordance with Cost Principles of FAR.

41. CHANGES

- (a) The Contracting Officer may, at any time, without notice to the sureties, if any, by written order designated or indicated to be a Change Order, make changes in the Work within the general scope of the Contract, including changes:
- (1) in the Specifications (including drawings and designs);

- (2) in the method or manner of performance of the Work;
- (3) in the facilities, equipment, materials, services, or site to be furnished by the Authority; or
- (4) directing acceleration in the performance of the Work.

(b) Any other written or oral order (which, as used in this paragraph (b), includes direction, instruction, interpretation, or determination) from the Contracting Officer that causes a change in the Contractor's obligations shall be treated as a Change Order under this paragraph; provided that the Contractor gives the Contracting Officer written notice stating (1) the date, circumstances, and source of the order and (2) that the Contractor regards the order as a Change Order.

(c) Except as provided in this clause, no order, statement, or conduct of the Contracting Officer shall be treated as a change under this paragraph or entitle the Contractor to an equitable adjustment.

(d) If any change under this paragraph causes an increase or decrease in the Contractor's cost of, or the time required for, the performance of any part of the Work under this Contract, whether or not changed by any such order, the Contracting Officer shall make an equitable adjustment and modify the Contract in writing in accordance with the provisions in paragraph entitled, "Equitable Adjustments" contained in **Exhibit E-Revised-3**. However, except for a "proposal for adjustment" (hereafter referred to as "proposal") based on defective Specifications, no proposal for any change under paragraph (b), above, shall be allowed for any costs incurred more than twenty (20) days before the Contractor gives written notice as required. In the case of defective Specifications for which the Authority is responsible, the equitable adjustment shall include the increased cost reasonably incurred by the Contractor in attempting to comply with the defective Specifications.

(e) The Contractor must submit any proposal under this paragraph within thirty (30) days after: (1) receipt of a written Change Order under paragraph (a), above, or (2) the furnishing of a written notice under paragraph (b), above, by submitting to the Contracting Officer a written statement describing the general nature and amount of the proposal, unless this period is extended by the Authority. The proposal may be included in the notice under paragraph (b), above.

(f) No proposal by the Contractor for an equitable adjustment shall be allowed if asserted after final payment under this Contract.

42. EQUITABLE ADJUSTMENTS

Any requests for equitable adjustments under any provision shall be governed by the following provisions:

(a) Upon written request, the Contractor shall submit a proposal, in accordance with the requirements and limitations set forth in this Paragraph, for Work involving contemplated changes covered by the request. The proposal shall be submitted within the time limit indicated in the request for any extension of such time limit as may be subsequently granted. The Contractor's written statement of the monetary extent of a claim for equitable adjustment shall be submitted in the following form:

(1) Proposals totaling \$5,000 or less shall be submitted in the form of a lump sum proposal with supporting information to clearly relate elements of cost with specific items of Work involved to the satisfaction of the Contracting Officer, or his/her authorized representative.

(2) For proposals in excess of \$5,000, the claim for equitable adjustment shall be submitted in the form of a lump sum proposal supported with an itemized breakdown of all increases and decreases in the Contract. The itemized breakdown shall, at the least, include the items specified in subparagraph (b), below.

(b) Calculation of Direct Costs

(1) Material quantities by trades and unit costs. Manufacturing burden associated with material fabrication performed will be considered to be part of the material costs of the fabricated item delivered to the Work Site.

(2) Labor breakdown by trades and unit costs, identified with specific item of material to be placed or operation to be performed.

(3) Construction equipment exclusively necessary for the change.

(4) Costs of preparation and/or revision to Shop Drawings resulting from the change.

(5) Employment taxes under FICA and FUTA.

(6) Bond Costs - when size of change warrants revision.

43. CALCULATION OF OVERHEAD, PROFIT AND COMMISSION

(a) The allowable overhead shall be determined in accordance with the contract cost principles and procedures in the FAR, § 31.201 et seq., but in no case shall exceed the following. The percentages for profit and commission shall be negotiated and may vary according to the nature, extent and complexity of the Work involved.

(b) The Contractor or any Subcontractor shall not be allowed any commission on the allowable profit or commission of any lower-tiered Subcontractor. Equitable adjustments for deleted Work shall include credits for overhead, profit and commission. On proposals covering both increases and decreases in the amount of the Contract, the application of overhead and profit shall be on the net change in direct costs for the Contractor or Subcontractor performing the Work.

44. TIME EXTENSIONS

(a) The time extensions for changes in the Work will depend upon the extent, if any, by which the changes cause delay in the completion of the various elements of construction. Any Contract Modification granting the time extension may provide that the Contract completion date will be extended only for those specific elements so delayed and that the remaining Contract completion dates for all other portions of the Work will not be altered and may further provide for an equitable readjustment of liquidated damages under the new completion schedule.

(b) The Contractor may be granted an extension of time because of changes ordered in the Contract or because of any Force Majeure Event.

(c) Claims for extensions of time must be made in writing within twenty (20) calendar days after the occurrence of the delay. All-time extension claims shall be supported by sufficient written evidence to justify the claim. In the case of a continuing cause of delay, only one (1) claim is necessary. Claims for extensions of time shall be stated in numbers of whole or half calendar days.

(d) In cases of claims for extension of time because of weather, such claims will only be granted if the actual lost time exceeds the average historical climatic conditions for the preceding ten (10) years are published by the National Oceanographic and Atmospheric Administration ("NOAA") and entitled, "Local Climatological Data – Austin/Central, Texas" and only because such weather prevented the execution of **major critical times of Work. work on the critical path of the schedule.** Time extensions granted under this subparagraph for weather are not compensable.

(e) Although the Authority may extend Contract Time for justifiable reasons, the Contractor shall bear all responsibility for financial risks, which may accrue, from various causes of delay in Construction progress.

However, for delays caused by failure of the Architect/Engineer or the Authority to make timely decisions or to take timely action, a claim for additional costs will be considered.

(f) The Contract Term for the completion of Work is an essential element of the Contract. Contractor's failure to complete the Work within such time will cause damage to the Authority.

(g) Should the Contractor fail to complete the Work within the Contract Term, including all officially approved extensions thereto, the Authority may collect from the Contractor or deduct from any funds owed Contractor an amount not to exceed the actual damages caused by such delay.

(h) **NOTWITHSTANDING ANYTHING HEREIN TO THE CONTRARY, THE CONTRACTOR'S SOLE REMEDY FOR DELAY IS AN EXTENSION OF TIME TO ACHIEVE SUBSTANTIAL COMPLETION.** The Contractor will not receive any compensation or damages for delay, unless such delay is caused by acts constituting intentional interference by the Authority with the Contractor's performance of the Work and to the extent that such acts of the Authority continue after the Contractor's notice to the Authority of such interference. The Authority's exercise of any of its rights under the Contract, regardless of the extent or number of such changes or the Authority's exercise of any of its remedies of suspension of the Work or requirement of correction of any defective Work, shall not under any circumstances be construed as intentional interference with Contractor's performance of the Work.

45. LIQUIDATED DAMAGES

(a) If the Contractor fails to perform the Work within the time specified in this Contract, or any extension, the Contractor shall in place of actual damages, pay to the Authority the sum of **\$1,230.00 (see below)** for each calendar day of delay as liquidated damages and not as a penalty. It is agreed that such liquidated damages represent an estimate of actual damages and are not intended as a penalty; and that such delay will cause the Authority to incur substantial economic damage in amounts which are difficult or impossible to ascertain with certainty. Liquidated damages do not limit the Authority's right to terminate this Contract for default or otherwise as provided elsewhere in this Contract. Liquidated damages do not limit the Authority's right to terminate this Contract for default or pursue other remedies available to the Authority elsewhere in this Contract. Liquidated damages may be deducted from any amounts due and owing Contractor under this Contract.

(b) In the event the Authority terminates this Contract for Default under **Exhibit E-Revised-3**, Paragraph entitled "Termination for Default," Contractor shall be liable for liquidated damages accruing until such time as the Authority may reasonably obtain delivery of performance of similar services. The liquidated damages shall be in addition to excess cost under **Exhibit E-Revised-3**, Paragraph entitled "Termination for Default."

(c) Contractor may not be charged with liquidated damages when the delay in performance is caused by a Force Majeure Event.

(d) The Contractor shall insert the substance of this paragraph, including this subparagraph (d), altered to reflect the proper identification of the contracting parties in all Subcontracts issued pursuant to this Contract.

(e) In the event the Authority terminates this Contract for Default under this **Exhibit E-Revised-3**, the Contractor shall be liable for liquidated damages accruing until such time as the Authority may reasonably obtain delivery of performance of similar services. The liquidated damages shall be in addition to excess cost under this **Exhibit E-Revised-3** paragraph entitled "Termination for Default."

(f) If the Contractor fails to perform the Work within the time specified in this Contract, or any extension, the Contractor shall in place of actual damages, pay to the Authority the amount designated below for each calendar day of delay as liquidated damages and not as a penalty. It is agreed that such liquidated damages represent an estimate of actual damages and are not intended as a penalty; and that such delay will cause the Authority to incur substantial economic damage in amounts which are difficult or impossible to ascertain

with certainty. Liquidated damages do not limit the Authority's right to terminate this Contract for default or otherwise as provided elsewhere in this Contract. Liquidated damages do not limit the Authority's right to terminate this Contract for default or pursue other remedies available to the Authority elsewhere in this Contract. Liquidated damages may be deducted from any amounts due and owing Contractor under this Contract.

1. The cost of **\$530.00** per day will be assessed representing the Authority's daily costs of consultant fees for construction administration necessitated by delays in contract completion.
2. The cost of **\$700.00** per day will be assessed representing the Authority's daily cost of senior observer or observer as a result of delays in contract completion.

46. FORCE MAJEURE

(a) If the Contractor is rendered unable to carry out, in whole or in part, its obligations hereunder, the Contractor shall give notice to the Authority and the full details of such Force Majeure Event. The Contractor will use commercially reasonable efforts to remedy the force majeure condition with all reasonable dispatch and will resume performance of any suspended obligation promptly after termination of such Force Majeure Event.

(b) Any Force Majeure Event that affects the Contractor's time for performance under this Contract shall be governed by **Exhibit E-Revised-3**, paragraph entitled "Time Extensions."

(c) The failure of the Authority to perform its obligations under this Contract shall be excused to the extent, and for the period of time, such failure is caused by the occurrence of any Force Majeure Event.

47. EXAMINATION OF BID DOCUMENTS

The Authority shall have the right to examine and review the Contractor's original Bid and estimating documents used in preparing its Bid as a reference to aid in the Authority's evaluation of the Contractor's scheduling and Construction progress. A certified copy of such documents shall be submitted to the Authority if requested by the Contracting Officer. The Authority shall maintain in confidence all information contained in such Bid and estimating documents. It is the Authority's position that the Contractor's estimating documents in support of its original Bid are exempt from mandatory release prior to award of Contract under the Texas Public Information Act ("Act"). After award, the documents will require individual review to determine whether or not an exemption from release under the Act is available.

48. TERMINATION FOR DEFAULT

(a) The Authority may, subject to the provisions of paragraph (c) below, by written notice of default to the Contractor, terminate the whole or any part of this Contract in either one of the following circumstances:

(1) if the Contractor fails to make delivery of the supplies or to perform the Work within the time specified herein or any extension thereof; or

(2) if the Contractor fails to perform any of the other provisions of this Contract, or so fails to make progress as to endanger performance of this Contract in accordance with its terms, and in either of these two circumstances does not cure such failure within a period of ten (10) days (or such longer period as the Authority may authorize in writing) after receipt of notice from the Authority specifying such failure.

(b) In the event the Authority terminates this Contract in whole or in part as provided in paragraph (a) of this clause, the Authority may procure, upon such terms and in such manner as the Authority may deem appropriate, supplies or services similar to those so terminated, and the Contractor shall be liable to the Authority for any excess costs for such similar supplies or services; provided, that the Contractor shall

continue the performance of this Contract to the extent, if any, it has not been terminated under the provisions of this paragraph.

(c) Except with respect to the defaults of Subcontractors, the Contractor shall not be liable for any excess costs if the failure to perform the Contract arises out of causes beyond the control and without the fault or negligence of the Contractor. Such causes may include, but are not restricted to Force Majeure Events provided, however, in every case the failure to must be beyond the control and without the fault or negligence of the Contractor. If the failure to perform is caused by the default of a Subcontractor and if such default arises out of causes beyond the control of both the Contractor and Subcontractor and without the fault or negligence of either of them, the Contractor shall not be liable for any excess costs for failure to perform, unless the supplies or services to be furnished by the Subcontractor were obtainable from other sources in sufficient time to permit the Contractor to meet the required delivery schedule.

(d) If this Contract is terminated as provided in subparagraph (a), the Authority, in addition to any other rights provided in this paragraph, may require the Contractor to transfer title and deliver to the Authority in the manner and to the extent directed by the Authority any Manufacturing Materials as the Contractor has specifically produced or specifically acquired for the performance of such part of this Contract as has been terminated; and the Contractor shall, upon direction of the Authority, protect and preserve property in possession of the Contractor in which the Authority has an interest. Payment for completed Manufacturing Materials delivered to and accepted by the Authority shall be at the Contract price. The Authority may withhold from amounts otherwise due the Contractor for such completed Manufacturing Materials such sum as the Authority determines to be necessary to protect the Authority against loss because of outstanding liens or claims of former lien holders.

(e) If, after notice of termination of this Contract under the provisions of this paragraph, it is determined by the Authority that the Contractor was not in default or that the default was excusable under the provisions of this paragraph, the rights and obligations of the parties shall be those provided in the paragraph entitled "Termination for Convenience" contained in this **Exhibit E-Revised-3**.

(f) The Authority shall withhold from any payment sufficient funds to remedy any back-wage liability resulting from the failure to incorporate the correct wage determination or as may be directed by the U.S. Department of Labor.

(f) The rights and remedies of the Authority provided in this paragraph shall not be exclusive and are in addition to any other rights and remedies provided by law or under this Contract.

49. TERMINATION FOR CONVENIENCE

(a) The Authority may, whenever the interests of the Authority so require, terminate this Contract, in whole or in part, for the convenience of the Authority. The Authority shall give written notice of the termination to the Contractor specifying the part of the Contract terminated and when termination becomes effective.

(b) The Contractor shall incur no further obligations in connection with the terminated Work, and, on the date set forth in the notice of termination, the Contractor will stop Work to the extent specified. The Contractor also shall terminate outstanding orders and Subcontracts as they relate to the terminated Work. The Contractor shall settle the liabilities and claims arising out of the termination of Subcontracts and orders connected with the terminated Work. The Authority may direct the Contractor to assign the Contractor's right, title, and interest under terminated orders or Subcontracts to the Authority. The Contractor must still complete the Work not terminated by the notice of termination and may incur such obligations as are necessary to do so.

(c) The Authority may require the Contractor to transfer title and deliver to the Authority in the manner and to the extent directed by the Authority: (1) any completed supplies; and (2) such partially completed supplies and materials, parts, tools, dies, jigs, fixtures, plans, drawings, information and contract rights (hereinafter called "Manufacturing Materials") as the Contractor has specifically produced or specially

acquired for the performance of the terminated part of this Contract. The Contractor shall, upon direction of the Authority, protect and preserve property in the possession of the Contractor in which the Authority has an interest. If the Authority does not exercise this right, the Contractor shall use its best efforts to sell such supplies and Manufacturing Materials.

(d) The Authority shall pay the Contractor the following amounts:

(1) Contract prices for supplies or services accepted under the Contract;

(2) costs incurred in preparing to perform and performing the terminated portion of the Work plus a fair and reasonable profit on such portion of the Work (such profit shall not include anticipatory profit or consequential damages), less amounts paid or to be paid for accepted supplies or services; provided, however, that if it appears that the Contractor would have sustained a loss if the entire Contract would have been completed, no profit shall be allowed or included, and the amount of compensation shall be reduced to reflect the anticipated rate of loss;

(3) costs of settling and paying claims arising out of the termination of Subcontracts (these costs must not include costs paid in accordance with subparagraph (2) of this paragraph);

(4) the reasonable settlement costs of the Contractor and other expenses reasonably necessary for the preparation of settlement claims and supporting data with respect to the terminated portion of the Contract and for the termination and settlement of Subcontracts thereunder, together with reasonable storage, transportation, and other costs incurred in connection with the protection or disposition of property allocable to the terminated portion of this Contract; and

(5) the total sum to be paid the Contractor under this paragraph shall not exceed the total Contract price plus the reasonable settlement costs of the Contractor reduced by the amount of payments otherwise made, the proceeds of any sales of supplies and Manufacturing Materials under this paragraph, and the Contract price of Work not terminated.

(e) The Authority shall withhold from any payment sufficient funds to remedy any back-wage liability resulting from the failure to incorporate the correct wage determination or as may be directed by the U.S. Department of Labor.

50. NO DAMAGES FOR DELAY

Unless otherwise specifically provided for by the Contract, the Contractor shall not be entitled to damages of any type resulting from hindrances, delays, or any other cause under this Contract except when the Work is stopped or suspended by a written order signed by the Contracting Officer or by intentional interference by the Authority.

51. NOTICE OF LABOR DISPUTES

(a) If the Contractor has knowledge that any actual or potential labor dispute is delaying or threatens to delay the timely performance of this Contract, the Contractor immediately shall give notice, including all relevant information, to the Contracting Officer.

(b) The Contractor agrees to insert the substance of this subparagraph, including this subparagraph (b), in any Subcontract under which a labor dispute may delay the timely performance of this Contract; except that each Subcontract shall provide that in the event its timely performance is delayed or threatened by delay by any actual or potential labor dispute, the Subcontractor shall immediately notify the next higher tier Subcontractor or the Contractor, as the case may be, of all relevant information concerning the dispute.

52. INTERPRETATION OF CONTRACT – DISPUTES

All questions concerning interpretation or clarification of this Contract or the acceptable fulfillment of this Contract by the Contractor shall be immediately submitted in writing to the Authority's Contracting Officer for determination. All determinations, instructions, and clarifications of the Contracting Officer shall be final and conclusive unless the Contractor files with the CapMetro President/CEO within two (2) weeks after the Authority notifies the Contractor of any such determination, instruction or clarification, a written protest, stating in detail the basis of the protest. The President/CEO shall consider the protest and notify the Contractor within two (2) weeks of the protest filing of his final decision. The President/CEO's decisions shall be conclusive subject to judicial review. Notwithstanding any disagreement the Contractor may have with the decisions of the President/CEO, the Contractor shall proceed with the Work in accordance with the determinations, instructions, and clarifications of the President/CEO. The Contractor shall be solely responsible for requesting instructions or interpretations and liable for any cost or expenses arising from its failure to do so. The Contractor's failure to protest the Contracting Officer's determinations, instructions, or clarifications within the two-week period shall constitute a waiver by the Contractor of all of its rights to further protest.

53. INVOICING AND PAYMENTS

(a) **Schedule of Values.** In the case of a Lump Sum Contract, within ten (10) days of the Notice to Proceed, the Contractor shall submit to the Authority for Authority's approval an initial schedule of values allocated to various portions of the Work prepared in such a form and supported by such data to substantiate its accuracy as the Authority may require. This schedule, unless objected to by the Authority, shall be used as a basis for reviewing the Contractor's applications for payment. The schedule of values may be updated periodically to reflect changes in the allocation of the Contract Sum.

(b) Invoices may be submitted once per month for work completed and accepted by the Authority, and marked "Original" to:

Accounts Payable
Capital Metropolitan Transportation Authority
P.O. Box 6308
Austin, Texas 78762-6308

Or via e-mail to ap_invoices@capmetro.org
and shall conform to policies or regulations adopted from time to time by the Authority. Invoices shall be legible and shall contain, as a minimum, the following information:

- (1) the Contract and order number (if any);
- (2) a complete itemization of all costs including quantities ordered and delivery order numbers (if any);
- (3) any discounts offered to the Authority under the terms of the Contract;
- (4) evidence of the acceptance of the supplies or services by the Authority; and
- (5) any other information necessary to demonstrate entitlement to payment under the terms of the Contract.

(6) **Note: Certified Payrolls must be submitted to the Contracting Officer each week using the Authority's Certified Payroll Reporting Software.**

Subject to the withholding regarding retainage as provided herein, all undisputed invoices shall be paid within the time period allowed by law through the Texas Prompt Payment Act, Tex. Gov't Code § 2251.021(b).

(c) Contractor should submit an invoice to the Authority on a monthly basis, preferably no later than the 5th business day of each calendar month, for all work that was accepted during the preceding month. Each invoice must reference the applicable acceptance documentation and clearly identify the dates and scope of work performed. Failure to submit timely invoices may result in a delay of payment.

(d) The Authority shall make progress payments monthly as the Work proceeds, or at more frequent intervals as determined by the Contracting Officer, on estimates approved by the Contracting Officer. If requested by the Contracting Officer, the Contractor shall furnish a breakdown of the total Contract price showing the amount included therein for each principal category of the Work, in such detail as requested, to provide a basis for determining progress payments. In the preparation of estimates, the Contracting Officer may authorize material delivered on the site and preparatory work done to be taken into consideration. Material delivered to the Contractor at locations other than the Work Site may also be taken into consideration if consideration is specifically authorized by this Contract and the Contractor furnishes satisfactory evidence that it has acquired title to such material and that the material will be used to perform this Contract.

(e) In making these progress payments, there shall be retained five percent (5%) of the estimated amount until final completion and acceptance of the Work by the Authority. However, if the Contracting Officer finds that satisfactory progress was achieved during any period for which a progress payment is to be made, the Contracting Officer may authorize payment to be made in full without retention of a percentage. When the Work is Substantially Complete, the Contracting Officer shall retain an amount that the Contracting Officer considers adequate protection of the Authority and may release to the Contractor all or a portion of any excess amount, in his or her sole discretion. Also, on completion and acceptance of each separate building, public work, or other division of the Work for which the price is stated separately in the Contract, payment may be made for the completed Work without retention of a percentage.

(f) All Mechanical Materials and Work covered by progress payments made shall, at the time of the payment, become the sole property of the Authority, but this shall not be construed as relieving the Contractor from the sole responsibility for all material and work upon which payments have been made or the restoration of any damaged work, or waiving the right of the Authority to require the fulfillment of all of the terms of the Contract.

(g) The Authority shall, upon request, reimburse the Contractor for the entire amount of premiums paid for performance and payment bonds (including coinsurance and reinsurance agreements, when applicable) after the Contractor furnishes evidence of full payment to the surety. Such reimbursement shall be part of, and not in addition to, the Contract Price.

(h) The Contractor shall be responsible for all costs/expenses not otherwise specified in this Contract, including by way of example, all costs of equipment provided by the Contractor or Subcontractor(s), all fees, fines, licenses, bonds, or taxes required or imposed against the Contractor and Subcontractor(s), travel related expenses, and all other Contractor's costs of doing business.

(h) Final payment to the Contractor shall be paid by the Authority after:

- (1) completion and acceptance of all of the Work by the Authority;
- (2) presentation of an undisputed invoice; and
- (3) presentation of a properly executed "AFFIDAVIT OF TOTAL RELEASE AND CERTIFICATION OF ALL BILLS PAID", in the form required by the Authority.

(i) In the event an overpayment is made to the Contractor under this Contract or the Authority discovers that the Authority has paid any invoices or charges not authorized under this Contract, the Authority may offset the amount of such overpayment or unauthorized charges against any indebtedness owed by the Authority to the Contractor, whether arising under this Contract or otherwise, including withholding payment

of an invoice, in whole or in part, or the Authority may deduct such amounts from future invoices. In the event an overpayment is made to the Contractor under this Contract, the Contractor shall remit the full overpayment amount to the Authority within thirty (30) calendar days of the date of the written notice of such overpayment or such other period as the Authority may agree. The Authority reserves the right to withhold payment of an invoice, in whole or in part, or deduct the overpayment from future invoices to recoup the overpayment.

(i) **Release of Payment Claims by Contractor.** The final invoice submitted by Contractor shall be accompanied by a complete and legally effective release of the Authority from all known and unknown payment claims relating to the Contract on a form provided by the Authority. Contractor's acceptance of final payment constitutes a waiver of all known or unknown payment claims against the Authority related to the Contract, other than those specifically excepted in the General Release of Claims Form.

54. PAYMENT TO SUBCONTRACTORS

(a) Payments by Contractors to subcontracts associated with Authority contracts are subject to the time periods established in the Texas Prompt Payment Act, Tex. Gov't Code § 2251.

(b) A false certification to the Authority under the provisions of the paragraph entitled, "Invoicing and Payments" hereof may be a criminal offense in violation of Tex. Penal Code § 10.

55. FEDERAL, STATE, AND LOCAL TAXES

(a) ~~The Contract Sum includes all applicable federal, state, and local taxes and duties.~~ The Authority is a tax-exempt organization as defined by Chapter 11 of the Property Tax Code. The Authority is exempt from taxes, and any such taxes included ~~in the Contract Sum and/or~~ on any invoice received by the Authority shall be deducted from the ~~Contract Sum and/or~~ the amount of the invoice for purposes of payment.

(b) The Authority qualifies for exemption from State and Local Sales and Use Taxes pursuant to the provisions of the ~~Texas Tax Code Sections 151.309 and 151.311. Bid prices/the Contract Sum shall not include sales tax on materials, supplies, or equipment that are incorporated into the real property interest of the Authority or are otherwise completely used and consumed in the performance of the Contract. The Authority will furnish the Contractor with a Sales Tax Exemption Certificate to be issued to suppliers in lieu of the tax. The Contractor and its subcontractors must claim exemption from payment of applicable State taxes by~~ complying with such procedures as may be prescribed by the State Comptroller of Public Accounts. The Contractor bears sole and total responsibility for obtaining information pertaining to such exemption ~~from the Comptroller. For purposes of complying with the Texas Tax Code, during the solicitation process, the Contractor must provide the Authority with a statement showing the total cost of materials incorporated into the Project.~~

56. WAGE RATES

All persons employed in the performance of the Work under this Contract, or any subcontracts hereunder, shall be paid not less than the general rates of per diem, holiday, and overtime wages prevailing in the locality for Work of a similar character (which wages are specified in an attachment to this Contract). Failure to comply with this provision shall subject the Contractor to the penalties prescribed in Tex. Gov't Code § 2258.

57. FEDERAL, STATE AND LOCAL LAWS GOVERNING CONSTRUCTION

(a) The Contractor must comply with all applicable State and Federal laws, including but not limited to laws concerned with labor, equal employment opportunity, safety and minimum wages. The Contractor shall make itself familiar with and at all times shall observe and comply with all current Federal, State and local laws, ordinances and regulations which in any manner affect the conduct of the Work, and shall indemnify and save harmless the Authority, its officers, directors, employees, agents, representatives,

successors and assigns, against any claim arising from violation of any such law, ordinance or regulation by Contractor or Subcontractor.

(b) The Contractor shall cooperate with applicable city or other governmental officials at all times where their jurisdiction prevails. The Contractor shall make application for any permits and permanent utilities, which are required for the execution of the Contract. The Authority **is not** exempt from building permits, inspections, and related City requirements. The Authority does have a General Permit from the City of Austin but such permit applies only to transit support facilities that may be located in the public right-of-way. It is the Contractor's sole responsibility to obtain all building and related permits that may be required for the execution of this Contract, at Contractor's sole expense.

(c) The Contractor is required to pay not less than the wage scale of the various classes of labor as shown on the "Prevailing Wage Rate Schedule" provided by the Authority. The specified wage rates are minimum rates only, and the Authority will not consider any claims for additional compensation made by any Contractor because of payment by the Contractor of any wage rates in excess of the applicable minimum rate contained in the Contract.

(d) Certain Public Works require under the Prevailing Wage Rate Schedule to list not only "Building Construction" wage rates but also "Incidental Paving and Utilities" wage rates. The Contractor's attention is called to the fact that all classes of Work within the area of the building shall be paid "Building Construction" wage rates.

(e) The Prevailing Wage Rate Schedule shall be made available, upon request, to any employee of the Contractor and to any employee of its Subcontractors.

(f) Pursuant to the provisions of the Texas Prompt Payment Act, the Contractor shall forfeit as a penalty to the Authority, sixty dollars (\$60.00) for each laborer, workman or mechanic employed, for each calendar day, or portion thereof, such laborer, workman or mechanic is paid less than the said stipulated minimum rates for any Work done under said Contract, by him or her, or by any Subcontractor under him or her. The Contractor and each Subcontractor shall keep, or cause to be kept, an accurate record showing the names and occupations of all laborers, workmen and mechanics employed in connection with the Work, and showing also the actual per diem wages paid to such workers, which record shall be open at all reasonable hours for the inspection by the Authority.

(g) The Contractor shall assign to the Authority any and all claims for overcharges associated with this Contract which arise under the antitrust laws of the United States, 15 U.S.C. § 1 et seq.

58. INDEMNIFICATION

(a) **CONTRACTOR HEREBY AGREES TO INDEMNIFY, HOLD HARMLESS, AND DEFEND THE AUTHORITY AND EACH OF ITS AFFILIATES AND EACH OF THE AUTHORITY'S AND SUCH AFFILIATES' OFFICERS, DIRECTORS, EMPLOYEES, AGENTS, REPRESENTATIVES, SUCCESSORS, ASSIGNS, CUSTOMERS, INVITEES AND GUESTS (THE AUTHORITY AND EACH SUCH PERSON OR ENTITY IS AN "INDEMNIFIED PARTY") AGAINST ANY AND ALL DAMAGES DIRECTLY OR INDIRECTLY RESULTING FROM, RELATED TO, ARISING OUT OF OR ATTRIBUTABLE TO ANY ACTION, INACTION, BREACH, INACCURACY, FAILURE TO PERFORM, FAILURE TO COMPLY, DEFAULT, VIOLATION, INTERFERENCE WITH, TERMINATION OR CANCELLATION BY OR THROUGH THE CONTRACTOR OR ANY SUBCONTRACTOR, OFFICER, DIRECTOR, EMPLOYEE, AGENT, REPRESENTATIVE, SUCCESSOR, ASSIGNEE, CUSTOMER, INVITEE, OR GUEST OF THE CONTRACTOR OR ANY SUBCONTRACTOR OF THE CONTRACTOR.**

(a) **FOR PURPOSES OF THIS CONTRACT:**

(1) **"DAMAGES" MEANS ANY AND ALL DIRECT OR INDIRECT LOSSES, DAMAGES (INCLUDING WITHOUT LIMITATION, INCIDENTAL, CONSEQUENTIAL, LOST PROFITS, INDIRECT,**

PUNITIVE OR EXEMPLARY DAMAGES), LIABILITIES, PAYMENTS, OBLIGATIONS, DEFICIENCIES, CLAIMS, ACTIONS, JUDGMENTS, SETTLEMENTS, INTEREST, AWARDS, PENALTIES, FINES, COSTS OR EXPENSES OF WHATEVER KIND, INCLUDING, WITHOUT LIMITATION, FEES AND EXPENSES OF ATTORNEYS, ACCOUNTANTS, AND OTHER PROFESSIONAL ADVISORS AND OF EXPERT WITNESSES AND OTHER COSTS (INCLUDING, WITHOUT LIMITATION, THE ALLOCABLE PORTION OF ANY INDEMNIFIED PARTY'S INTERNAL COSTS) RESULTING FROM, RELATED TO, ARISING OUT OF, ATTRIBUTABLE TO WITH ANY ACTION OR THREATENED ACTION OF ANY KIND OR NATURE WHATSOEVER;

(2) "LIABILITIES" MEANS ANY AND ALL LIABILITIES OR OBLIGATIONS, WHETHER KNOWN OR UNKNOWN, ASSERTED OR UNASSERTED, ABSOLUTE OR CONTINGENT, MATURED OR UNMATURED, CONDITIONAL OR UNCONDITIONAL, LATENT OR PATENT, ACCRUED OR UNACCRUED, LIQUIDATED OR UNLIQUIDATED, OR DUE OR TO BECOME DUE;

(3) "ACTION" MEANS ANY ACTION, APPEAL, PETITION, PLEAS, CHARGE, COMPLAINT, CLAIM, SUIT, DEMAND, LITIGATION, ARBITRATION, MEDIATION, HEARING, INQUIRY, INVESTIGATION OR SIMILAR EVENT, OCCURRENCE OR PROCEEDING;

(4) "THREATENED" MEANS A DEMAND OR STATEMENT HAS BEEN MADE (ORALLY OR IN WRITING) OR A NOTICE HAS BEEN GIVEN (ORALLY OR IN WRITING), OR ANY OTHER EVENT HAS OCCURRED OR ANY OTHER CIRCUMSTANCES EXIST THAT WOULD LEAD A PRUDENT PERSON OR ENTITY TO CONCLUDE THAT AN ACTION OR OTHER MATTER IS LIKELY TO BE ASSERTED, COMMENCED, TAKEN OR OTHERWISE PURSUED IN THE FUTURE;

(5) "LAW" MEANS ANY LAW (STATUTORY, COMMON, OR OTHERWISE), CONSTITUTION, TREATY, CONVENTION, ORDINANCE, EQUITABLE PRINCIPLE, CODE, RULE, REGULATION, EXECUTIVE ORDER, OR OTHER SIMILAR AUTHORITY ENACTED, ADOPTED, PROMULGATED, OR APPLIED BY ANY GOVERNMENTAL BODY, EACH AS AMENDED AND NOW AND HEREINAFTER IN EFFECT;

(6) "GOVERNMENTAL BODY" MEANS ANY LEGISLATURE, AGENCY, BUREAU, BRANCH, DEPARTMENT, DIVISION, COMMISSION, COURT, TRIBUNAL, MAGISTRATE, JUSTICE, MULTI-NATIONAL ORGANIZATION, QUASI-GOVERNMENTAL BODY, OR OTHER SIMILAR RECOGNIZED ORGANIZATION OR BODY EXERCISING SIMILAR POWERS OR AUTHORITY;

(7) "ORDER" MEANS ANY ORDER, RULING, DECISION, VERDICT, DECREE, WRIT, SUBPOENA, MANDATE, PRECEPT, COMMAND, DIRECTIVE, CONSENT, APPROVAL, AWARD, JUDGMENT, INJUNCTION, OR OTHER SIMILAR DETERMINATION OR FINDING BY, BEFORE, OR UNDER THE SUPERVISION OF ANY GOVERNMENTAL AUTHORITY, ARBITRATOR, OR MEDIATOR;

(8) "PERMIT" MEANS ANY PERMIT, LICENSE, CERTIFICATE, APPROVAL, CONSENT, NOTICE, WAIVER, FRANCHISE, REGISTRATION, FILING, ACCREDITATION, OR OTHER SIMILAR AUTHORIZATION REQUIRED BY ANY LAW, GOVERNMENTAL BODY, OR CONTRACT;

(9) ANY "PERSON" MEANS ANY INDIVIDUAL, PARTNERSHIP, LIMITED LIABILITY COMPANY, CORPORATION, ASSOCIATION, JOINT STOCK COMPANY, TRUST, ENTITY, JOINT VENTURE, LABOR ORGANIZATION, UNINCORPORATED ORGANIZATION, OR GOVERNMENTAL BODY.

(b) IF ANY ACTION IS COMMENCED THAT MAY GIVE RISE TO A CLAIM FOR INDEMNIFICATION (AN "INDEMNIFICATION CLAIM") BY ANY INDEMNIFIED PARTY AGAINST CONTRACTOR, THEN SUCH INDEMNIFIED PARTY WILL PROMPTLY GIVE NOTICE TO CONTRACTOR AFTER SUCH INDEMNIFIED PARTY RECEIVES NOTICE OF SUCH ACTION. FAILURE TO NOTIFY CONTRACTOR WILL NOT RELIEVE CONTRACTOR OF ANY LIABILITY THAT IT MAY HAVE TO ANY INDEMNIFIED PARTY, EXCEPT TO THE EXTENT THE DEFENSE OF SUCH ACTION IS MATERIALLY AND

IRREVOCABLY PREJUDICED BY THE INDEMNIFIED PARTY'S FAILURE TO GIVE SUCH NOTICE.

(c) CONTRACTOR WILL HAVE THE RIGHT TO DEFEND AGAINST AN INDEMNIFICATION CLAIM, WITH COUNSEL OF ITS CHOICE THAT IS SATISFACTORY TO THE INDEMNIFIED PARTY, IF (I) WITHIN 10 DAYS FOLLOWING THE RECEIPT OF NOTICE OF THE INDEMNIFICATION CLAIM, THE CONTRACTOR NOTIFIES THE INDEMNIFIED PARTY IN WRITING THAT THE CONTRACTOR WILL INDEMNIFY THE INDEMNIFIED PARTY IN WRITING THAT THE CONTRACTOR WILL INDEMNIFY THE INDEMNIFIED PARTY FROM AND AGAINST THE ENTIRETY OF ANY DAMAGES THE INDEMNIFIED PARTY MAY SUFFER RESULTING FROM, RELATING TO, ARISING OUT OF, OR ATTRIBUTABLE TO THE INDEMNIFICATION CLAIM, (II) THE CONTRACTOR PROVIDES THE INDEMNIFIED PARTY WITH EVIDENCE REASONABLY ACCEPTABLE TO THE INDEMNIFIED PARTY THAT THE CONTRACTOR WILL HAVE THE FINANCIAL RESOURCES TO DEFEND AGAINST THE INDEMNIFICATION CLAIM AND PAY, IN CASE, ALL DAMAGES THE INDEMNIFIED PARTY MAY SUFFER RESULTING FROM, RELATING TO, ARISING OUT OF, OR ATTRIBUTABLE TO THE INDEMNIFICATION CLAIM, (III) THE INDEMNIFICATION CLAIM INVOLVES ONLY MONEY DAMAGES AND DOES NOT SEEK AN INJUNCTION OR OTHER EQUITABLE RELIEF, (IV) SETTLEMENT OF, OR AN ADVERSE JUDGMENT WITH RESPECT TO, THE INDEMNIFICATION CLAIM IS NOT IN THE GOOD FAITH JUDGMENT OF THE INDEMNIFIED PARTY LIKELY TO ESTABLISH A PRECEDENTIAL CUSTOM OR PRACTICE MATERIALLY ADVERSE TO THE CONTINUING BUSINESS INTERESTS OF THE INDEMNIFIED PARTY, AND (V) THE CONTRACTOR CONTINUOUSLY CONDUCTS THE DEFENSE OF THE INDEMNIFICATION CLAIM ACTIVELY AND DILIGENTLY.

(d) SO LONG AS THE CONTRACTOR IS CONDUCTING THE DEFENSE OF THE INDEMNIFICATION CLAIM IN ACCORDANCE WITH THE IMMEDIATELY PRECEDING PARAGRAPH, (I) THE INDEMNIFIED PARTY MAY RETAIN SEPARATE CO-COUNSEL AT ITS SOLE COST AND EXPENSE AND PARTICIPATE IN THE DEFENSE OF THE INDEMNIFICATION CLAIM, (II) THE INDEMNIFIED PARTY WILL NOT CONSENT TO THE ENTRY OF ANY ORDER WITH RESPECT TO THE INDEMNIFICATION CLAIM WITHOUT THE PRIOR WRITTEN CONSENT OF THE CONTRACTOR (NOT TO BE WITHHELD UNREASONABLY), AND (III) THE CONTRACTOR WILL NOT CONSENT TO THE ENTRY OF ANY ORDER WITH RESPECT TO THE INDEMNIFICATION CLAIM WITHOUT THE PRIOR WRITTEN CONSENT OF THE INDEMNIFIED PARTY (NOT TO BE WITHHELD UNREASONABLY, PROVIDED THAT IT WILL NOT BE DEEMED TO BE UNREASONABLE FOR AN INDEMNIFIED PARTY TO WITHHOLD ITS CONSENT (A) WITH RESPECT TO ANY FINDING OF OR ADMISSION (1) OF ANY BREACH OR VIOLATION OF ANY LAW, ORDER OR PERMIT, (2) OF ANY VIOLATION OF THE RIGHTS OF ANY PERSON, OR (3) WHICH INDEMNIFIED PARTY BELIEVES COULD HAVE AN ADVERSE EFFECT ON ANY OTHER ACTIONS TO WHICH THE INDEMNIFIED PARTY OR ITS AFFILIATES ARE PARTY OR TO WHICH INDEMNIFIED PARTY HAS A GOOD FAITH BELIEF IT OR ANY OF ITS AFFILIATES MAY BECOME PARTY, OR (B) IF ANY PORTION OF SUCH ORDER WOULD NOT REMAIN SEALED). IF ANY CONDITION IN THE IMMEDIATELY PRECEDING PARAGRAPH IS OR BECOMES UNSATISFIED, (I) THE INDEMNIFIED PARTY MAY DEFEND AGAINST, AND CONSENT TO THE ENTRY OF, ANY ORDER WITH RESPECT TO AN INDEMNIFICATION CLAIM IN ANY MANNER IT MAY DEEM APPROPRIATE (AND THE INDEMNIFIED PARTY NEED NOT CONSULT WITH, OR OBTAIN ANY CONSENT FROM, THE CONTRACTOR IN CONNECTION THEREWITH), (II) THE CONTRACTOR WILL JOINTLY AND SEVERALLY BE OBLIGATED TO REIMBURSE THE INDEMNIFIED PARTY PROMPTLY AND PERIODICALLY FOR THE DAMAGES RELATING TO DEFENDING AGAINST THE INDEMNIFICATION CLAIM, AND (III) THE CONTRACTOR WILL REMAIN JOINTLY AND SEVERALLY LIABLE FOR ANY DAMAGES THE INDEMNIFIED PARTY MAY SUFFER RELATING TO THE INDEMNIFICATION CLAIM TO THE FULLEST EXTENT PROVIDED IN THIS INDEMNIFICATION.

(e) THE CONTRACTOR HEREBY CONSENTS TO THE NON-EXCLUSIVE JURISDICTION OF ANY GOVERNMENTAL BODY, ARBITRATOR, OR MEDIATOR IN WHICH AN ACTION IS BROUGHT AGAINST ANY INDEMNIFIED PARTY FOR PURPOSES OF ANY INDEMNIFICATION CLAIM THAT AN INDEMNIFIED PARTY MAY HAVE UNDER THIS AGREEMENT WITH RESPECT TO SUCH ACTION OR THE MATTERS ALLEGED THEREIN, AND AGREES THAT PROCESS MAY BE SERVED ON THE

CONTRACTOR WITH RESPECT TO SUCH CLAIM ANYWHERE IN THE WORLD.

(f) THE INDEMNIFICATION OBLIGATIONS AND RIGHTS PROVIDED FOR IN THIS CONTRACT WILL BE AND ARE APPLICABLE WITH RESPECT TO ANY ACTION OR CLAIM (OR THREATENED ACTION OR CLAIM) AGAINST ANY INDEMNIFIED PARTY FOR THE BODILY INJURY OR DEATH OF AN EMPLOYEE OF THE CONTRACTOR, ITS AGENTS, AND/OR ITS SUBCONTRACTORS OF ANY TIER, WHETHER OR NOT THE SOLE, JOINT OR CONTRIBUTORY NEGLIGENCE OF ANY INDEMNIFIED PARTY IS ALLEGED OR PROVEN. THE CONTRACTOR AND THE AUTHORITY AGREE THAT ALL OF THE PROVISIONS OF THE IMMEDIATELY PRECEDING SENTENCE ARE CONSPICUOUS.

(g) EXCEPT AS PROVIDED IN SUBPARAGRAPH (g) ABOVE, THE INDEMNIFICATION OBLIGATIONS AND RIGHTS PROVIDED FOR IN THIS CONTRACT DO NOT REQUIRE (AND SHALL NOT BE CONSTRUED AS REQUIRING) CONTRACTOR TO INDEMNIFY, HOLD HARMLESS, OR DEFEND ANY INDEMNIFIED PARTY (OR ANY THIRD PARTY) AGAINST ANY ACTION OR CLAIM (OR THREATENED ACTION OR CLAIM) CAUSED BY THE NEGLIGENCE OR FAULT, THE BREACH OR VIOLATION OF A STATUTE, ORDINANCE, GOVERNMENTAL REGULATION, STANDARD, OR RULE, OR THE BREACH OF CONTRACT OF ANY INDEMNIFIED PARTY, ITS AGENTS OR EMPLOYEES, OR ANY THIRD PARTY UNDER THE CONTROL OR SUPERVISION OF ANY INDEMNIFIED PARTY, OTHER THAN THE CONTRACTOR OR ITS AGENTS, EMPLOYEES, OR SUBCONTRACTORS OF ANY TIER.

(h) THE PROVISIONS SET FORTH IN THIS PARAGRAPH WILL SURVIVE ANY TERMINATION OR EXPIRATION OF THIS CONTRACT.

59. LIMITATION OF LIABILITY

In no event shall the Authority or its officers, directors, agents or employees be liable in contract or tort, to the Contractor or its Subcontractors for special, indirect, incidental or consequential damages, resulting from the Authority's performance, nonperformance, or delay in performance of its obligations under this Contract, or the Authority's termination of the Contract with or without cause, or the Authority's suspension of the Work. This limitation of liability shall not apply to intentional tort or fraud. The Contractor shall include similar liability provisions in all its Subcontracts.

60. LAWS, STATUTES AND OTHER GOVERNMENTAL REQUIREMENTS

The Contractor agrees that it shall be in compliance with all laws, statutes, and other governmental requirements, regulations or standards prevailing during the term of this Contract.

61. PUBLICITY RELEASES

All publicity releases or releases of reports, papers, articles, maps, or other documents in any way concerning this Contract or the Work hereunder which the Contractor or any of its Subcontractors desires to make for purposes of publication in whole or in part, shall be subject to written approval by the Contracting Officer prior to release.

62. REQUEST FOR INFORMATION

(a) The Contractor shall not provide information generated or otherwise obtained in the performance of its responsibilities under this Contract to any party other than the Authority and its authorized agents except as otherwise provided by this Contract or after obtaining the prior written permission of the Authority.

(b) This Contract, all data and other information developed pursuant to this Contract shall be subject to the Texas Public Information Act. The Authority shall comply with all aspects of the Texas Public Information Act.

(c) The Contractor is instructed that any requests for information regarding this Contract and any deliverables shall be referred to the Authority.

(d) The requirements of Subchapter J, Chapter 552, Government Code, may apply to this bid/contract and the contractor or vendor agrees that the contract can be terminated if the contractor or vendor knowingly or intentionally fails to comply with a requirement of that subchapter.

(1) The requirements of Subchapter J, Chapter 552, Government Code as amended currently applies to expenditures of at least \$1 million in public funds for the purchase of goods or services.

63. INTEREST OF PUBLIC OFFICIALS

The Contractor represents and warrants that no employee, official, or member of the Board of the Authority is or will be pecuniarily interested or benefited directly or indirectly in this Contract. The Contractor further represents and warrants that it has not offered or given gratuities (in the form of entertainment, gifts or otherwise) to any employee, official, or member of the Board of the Authority with a view toward securing favorable treatment in the awarding, amending, or evaluating the performance of this Contract. For breach of any representation or warranty in this paragraph, the Authority shall have the right to terminate this Contract without liability and/or have recourse to any other remedy it may have at law or in equity.

64. GRATUITIES

The Authority may cancel this Contract, without liability to the Contractor, if it is found that gratuities in the form of entertainment, gifts, or otherwise were offered or given by the Contractor or any agent or representative to any Authority official or employee with a view toward securing favorable treatment with respect to the performance of this Contract. In the event this Contract is canceled by the Authority pursuant to this provision, the Authority shall be entitled, in addition to any other rights and remedies, to recover from the Contractor a sum equal in amount to the cost incurred by the Contractor in providing such gratuities.

65. DRUG-FREE WORKPLACE PROGRAM

(a) Definitions. As used in this paragraph:

(1) "Controlled Substance Act" means the Comprehensive Drug Abuse Prevention and Control Act of 1970 (21 C.F.R. Paragraph 801 et seq.) or its successor statute.

(2) "Controlled Substance" means a controlled substance listed in Schedules I through V of Section 202 of the Controlled Substances Act (21 U.S.C. § 812) and as further defined in regulation at 21 C.F.R. §§ 1308.11 - 1308.15, or listed in Schedules I through V of Subchapter AB of the Texas Controlled Substances Act, Tex Health & Safety Code, Ch. 481, which include, but are not limited to, marijuana, heroin, LSD, concentrated cannabis or cannabinoids, hashish or hash oil, morphine or its derivatives, mescaline, peyote, phencyclidine (PCP, Angel Dust), opium, opiates, methadone, cocaine, Quaaludes, amphetamines, methamphetamines, depressants, "exotic/designer" drugs, benzodiazepines, Seconal, codeine, barbiturates, Phenobarbital, or Valium.

(3) "Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes.

(4) "Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, possession, or use of any controlled substance.

(5) "Drug-free workplace" means the site(s) for the performance of work done by Contractor in connection with this Contract where employees of the Contractor are prohibited from engaging in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance.

(6) "Employee" means an employee of Contractor directly engaged in the performance of Work under this Contract, and shall include all direct cost employees and any other Contractor employee who has other than a minimal impact or involvement in Contract performance.

(7) "Individual" means a Contractor that has no more than one employee including the Contractor.

(b) The Contractor, if other than an individual, shall, within thirty (30) days after award of the Contract (unless a longer period is agreed to in writing for contracts of thirty (30) days or more performance duration), or as soon as possible for contracts of less than thirty (30) days performance duration:

(1) Publish a statement notifying its employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Contractor's workplace and specifying the actions that will be taken against employees for violations of such prohibition;

(2) Establish an ongoing drug-free awareness program to inform such employees about:

(i) The dangers of drug abuse in the workplace;

(ii) The Contractor's policy of maintaining a drug-free workplace;

(iii) Any available drug counseling, rehabilitation, and employee assistance programs; and

(iv) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

(3) Provide all employees engaged in performance of this Contract with a copy of the statement required by paragraph (b)(1) of this paragraph;

(4) Notify such employees in writing in the statement required by paragraph (b)(1) of this clause that, as a condition of continued employment on this Contract, the employee will:

(i) Abide by the terms of the statement; and

(ii) Notify the employer in writing of the employee's conviction under a criminal drug statute for a violation occurring in the workplace no later than five (5) days after such conviction.

(5) Notify the Contracting Officer in writing within ten (10) days after receiving notice under subdivision (b)(4)(ii) of this paragraph, from an employee or otherwise receiving actual notice of such conviction. The notice shall include the position title of the employee;

(6) Within thirty (30) days after receiving notice under subdivision (b)(4)(ii) of this paragraph of a conviction, take one (1) of the following actions with respect to any employee who is convicted of a drug abuse violation occurring in the workplace:

(i) Taking appropriate personnel action against such employee, up to and including termination; or

(ii) Require such employee to satisfactorily participate in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency; and

(7) Make a good faith effort to maintain a drug-free workplace through implementation of paragraphs (b)(1) through (b)(6) of this paragraph.

(c) The Contractor, if an individual, agrees not to engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance while performing this Contract.

(d) In addition to other remedies available to the Authority, the Contractor's failure to comply with the requirements of paragraph (b) or (c) of this paragraph shall constitute a default under this Contract, and if federal funds are involved, may render the Contractor subject to suspension or debarment in accordance with FAR, part 9.4.

66. TOBACCO FREE WORKPLACE

Tobacco products: Tobacco products include cigarettes, cigars, pipes, snuff, snus, chewing tobacco, smokeless tobacco, dipping tobacco and any other non-FDA approved nicotine delivery device.

CapMetro Property: The tobacco free workplace policy refers to all CapMetro owned or leased property. Note that this includes all buildings, facilities, work areas, maintenance facilities, parking areas and all Authority owned vehicles.

(a) Tobacco use is not permitted at any time on the Work Site or CapMetro owned or leased property, including personal vehicles parked in CapMetro parking lots.

(b) Littering of tobacco-related products on the grounds or parking lots is also prohibited.

67. ORDER OF PRECEDENCE

In the event of inconsistency between the provisions of this Contract, unless otherwise provided herein, the inconsistency shall be resolved by giving precedence in the following order, as revised:

1. **Exhibit A-Revised-3** – Pricing Schedule
2. **Exhibit E-Revised-3** – Contractual Terms and Conditions
3. Exhibit I – Authorization of Work Product
4. Exhibit B – Representations and Certifications
5. Exhibit D – Small Business Enterprise Program/SBE
6. **Exhibit F-Revised-4** – Scope of Services and/or Specifications
7. **Exhibit G-Revised-2** – Wage Rates
8. Other provisions or attachments to the Contract

68. HAZARDOUS MATERIALS

(a) "Hazardous Materials" means any element, chemical, compound, material or substance, whether solid, liquid or gaseous, which at any time is defined, listed, classified or otherwise regulated in any way under any Environmental Laws, or any other such substances or conditions (including mold and other mycotoxins or fungi) which may create any unsafe or hazardous condition or pose any threat to human health and safety. "Hazardous Materials" includes the following:

(1) Hazardous wastes, hazardous material, hazardous substances, hazardous constituents, and toxic substances or related materials, whether solid, liquid or gas, including substances defined as or included in the definition of "hazardous substance," "hazardous waste," "hazardous material," "extremely hazardous waste," "acutely hazardous waste," "radioactive waste," "radioactive materials," bio-hazardous

waste," "pollutant," "toxic pollutant," "contaminant," "restricted hazardous waste," "infectious waste," "toxic substance," "toxic waste," "toxic material," or any other term or expression intended to define, list or classify substances by reason of properties harmful to health, safety or the indoor or outdoor environment (including harmful properties such as ignitability, corrosivity, reactivity, carcinogenicity, toxicity, reproductive toxicity, "TCLP toxicity" or "EP toxicity" or words of similar import under any applicable Environmental Laws);

(2) Any petroleum, including crude oil and any fraction thereof, and including any refined petroleum product or any additive thereto or fraction thereof or other petroleum derived substance; and any waste oil or waste petroleum byproduct or fraction thereof or additive thereto;

(3) Any drilling fluids, produced waters and other wastes associated with the exploration, development or production of crude oil, natural gas or geothermal resources;

(4) Any flammable substances or explosives;

(5) Any radioactive materials;

(6) Any asbestos or asbestos-containing materials;

(7) Any lead and lead-based paint;

(8) Any radon or radon gas;

(9) Any methane gas or similar gaseous materials;

(10) Any urea formaldehyde foam insulation;

(11) Electrical equipment which contains any oil or dielectric fluid containing regulated levels of polychlorinated biphenyls;

(12) Pesticides;

(13) Any other chemical, material or substance, exposure to which is prohibited, limited or regulated by any governmental entity or which may or could pose a hazard to the health and safety of the owners, operators, users or any persons in the vicinity of the Premises or to the indoor or outdoor environment;

(14) Those substances listed in the United States Department of Transportation Table (49 C.F.R. 172.101, as amended); and

(15) Soil, or surface water or ground water, contaminated with Hazardous Materials as defined above.

(b) "Release of Hazardous Materials" means any spill, leak, emission, release, discharge, injection, escape, leaching, dumping or disposal of Hazardous Materials into the soil, air, water, groundwater or environment, including any exacerbation of an existing release or condition of Hazardous Materials contamination.

(c) "Best Management Practices" has the meaning set forth in Storm Water Management for Construction Activities: Developing Pollution Prevention Plans and Best Management Practices (EPA Document 832 R 92-005).

(d) Neither the Contractor, nor any of the Contractor's agents, Subcontractors, employees, Contractors or invitees, shall at any time handle, use, manufacture, store or dispose of in or about the Work Site ("Premises") any Hazardous Materials. Contractor shall notify the Authority of any Release of Hazardous Materials within six (6) hours of discovering any such Release of Hazardous Materials. Contractor agrees to abide by Best Management Practices. Contractor shall protect, defend, indemnify and hold the Authority harmless from and against any and all loss, claims, liability or costs (including court costs and attorneys' fees) incurred by reason of any actual or asserted failure of Contractor to fully comply with all applicable Environmental Laws, or the presence, handling, use or disposition in or from the Premises of any

Hazardous Materials, or by reason of any actual or asserted failure of Contractor to keep, observe, or perform any provision of this paragraph. The provisions of this paragraph shall survive termination of this Contract.

(e) No Hazardous Materials shall be kept, stored, used or discharged on the Premises. Licensee shall comply strictly with all applicable Federal, State and local laws, ordinances, rules and regulations regarding Hazardous Materials, and shall indemnify, defend and hold Licensor harmless from and against any and all liability arising from Licensee's use, storage, or discharge of Hazardous Materials on the Premises or Release of Hazardous Materials. The provisions of this paragraph shall survive termination of this Contract.

(f) Neither the Contractor, nor any of the Contractor's agents, Subcontractors, employees, or invitees shall at any time handle, use, manufacture, store or dispose of in or about the Work Site any flammables, explosives, radioactive materials, hazardous wastes or materials, toxic wastes or materials, or other similar substances, petroleum products or derivatives, or any substance (collectively, "Hazardous Material") subject to regulation by or under any federal, state and local laws and ordinances relating to the protection of the environment or the keeping, use or disposition of environmentally hazardous materials, substances, or wastes, presently in effect or hereafter adopted, all amendments to any of them, and all rules and regulations issued pursuant to any of such laws or ordinances (collectively "Environmental Laws"). The Contractor shall indemnify and hold the Authority harmless from and against any and all loss, claims, liability or costs (including court costs and attorneys' fees) incurred by reason of any actual or asserted failure of the Contractor to fully comply with all applicable Environmental Laws, or the presence, handling, use or disposition in or from the Premises of any Hazardous Materials, or by reason of any actual or asserted failure of Contractor to keep, observe, or perform any provision of this paragraph. The provisions of this paragraph shall survive termination of this Contract.

69. ENVIRONMENTAL LAW

"Environmental Law" means any Law applicable to Contractor or the Work regulating or imposing liability or standards of conduct that pertains to the environment. Hazardous Materials, contamination or any type whatsoever, or environmental health and safety matters, and any lawful requirements and standards that pertain to the environment. Hazardous Materials, contamination or any type whatsoever, or environmental health and safety matters set forth in any permits, licenses, approvals, plans, rules, regulations or ordinances adopted, or other criteria and guidelines promulgated, pursuant to laws applicable to Contractor or the Work, as such have been or are amended, modified, or supplemented from time to time (including any present and future amendments thereto and reauthorizations thereof) including those related to:

- (a) The manufacture, processing, use, distribution, existence, treatment, storage, disposal, generation and transportation of Hazardous Materials;
- (b) Air, soil, surface and subsurface strata, stream sediments, surface water, and groundwater;
- (c) Releases of Hazardous Materials;
- (d) Protection of wildlife, Threatened or Endangered Species, sensitive species, wetlands, water courses and water bodies, historical, archeological, and paleontological resources, and natural resources;
- (e) The operation and closure of underground storage tanks;
- (f) Safety of employees and other persons; and
- (g) Notification, documentation and record keeping requirements relating to the foregoing.
- (h) Without limiting the above, the term "Environmental Laws" shall also include the following:
 - (1) The National Environmental Policy Act (42 U.S.C. §§4321 et. seq.), as amended;

- (2) The Comprehensive Environmental Response, Compensation, and Liability Act (42 U.S.C. §§9601 et. seq.), as amended;
- (3) The Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act (42 U.S.C. §§6901 et. seq.);
- (4) The Emergency Planning and Community Right to Know Act of 1986 (42 U.S.C. §§1101 et. seq.), as amended;
- (5) The Clean Air Act (42 U.S.C. §§7401 et. seq.), as amended;
- (6) The Federal Water Pollution Control Act, as amended by the Clean Water Act (33 U.S.C. §§1251 et. seq.);
- (7) The Resource Conservation and Recovery Act (42 U.S.C. §§6901, et. seq.), as amended;
- (8) The Toxic Substances Control Act (15 U.S.C. §§2601 et. seq.), as amended;
- (9) The Hazardous Materials Transportation Act (49 U.S.C. §§1801 et. seq.), as amended;
- (10) The Oil Pollution Act (33 U.S.C. §§2701, et. seq.), as amended;
- (11) The Federal Insecticide, Fungicide and Rodenticide Act (7 U.S.C. §§136 et. seq.), as amended;
- (12) The Federal Safe Drinking Water Act (42 U.S.C. et. seq.), as amended;
- (13) The Federal Radon and Indoor Air Quality Research Act (42 U.S.C. §§7401 et. seq.), as amended;
- (14) The Occupational Safety and Health Act (29 U.S.C. §§651 et. seq.);
- (15) The Endangered Species Act (16 U.S.C. §§1531 et. seq.), as amended;
- (16) The Fish and Wildlife Coordination Act (16 U.S.C. §§661 et. seq.), as amended;
- (17) The National Historic Preservation Act (16 U.S.C. §§470 et. seq.), as amended;
- (18) The Coastal Zone Management Act (33 U.S.C. §§1451 et. seq.), as amended;
- (19) The Texas Health and Safety Code, including Chapter 382 (the Clean Air Act), Chapter 383 (the Clean Air Financing Act), Chapter 361 (the Texas Solid Waste Disposal Act), Chapter 362 (the Solid Waste Resource Recovery Financing Act), Chapter 363 (the Municipal Solid Waste Act), Chapter 364 (the County Solid Waste Control Act), Chapter 370 (the Texas Toxic Chemical Release Reporting Act), Chapter 371 (the Texas Used Oil Collection, Management, and Recycling Act), Chapter 401 (the Texas Radioactive Materials and Other Sources of Radiation Act), Chapter 402 (the Texas Low-Level Radioactive Waste Disposal Authority Act), Chapter 502 (the Texas Hazard Communication Act), Chapter 505 (the Texas Manufacturing Project Community Right-To-Know Act), Chapter 506 (the Texas Public Employer Community Right-To-Know Act), and Chapter 507 (the Texas Non-manufacturing Facilities Community Right-To-Know Act);
- (20) The Texas Natural Resources Code, including Chapter 40 (the Texas Oil Spill Prevention and Response Act of 1991);
- (21) The Texas Water Code;

(22) The Texas Parks and Wildlife Code;

(23) The Texas Agriculture Code, including Chapter 76 (Pesticide and Herbicide Regulation) and Chapter 125 (the Agricultural Hazard Communication Act);

(24) The Texas Asbestos Health Protection Act (Texas Occupations Code, Chapter 1954); and

(25) The Surface Coal Mining and Reclamation Act (Texas Natural Resources Act, Chapter 134).

70. ORGANIZATIONAL CONFLICT OF INTEREST ("OCI")

(a) This Contract may task the Contractor to prepare or assist in preparing work statements that directly, predictably and without delay are used in future competitive acquisitions. The parties recognize that by the Contractor providing this support a potential conflict of interest arises.

(b) For the purposes of this clause, the term "Contractor" means the Contractor, its subsidiaries and affiliates, joint ventures involving the Contractor, any entity with which the Contractor may hereafter merge or affiliate and any other successor or assignee of the Contractor.

(c) The Contractor acknowledges the full force and effect of this paragraph. It agrees to be bound by its terms and conditions and understands that violation of this clause may, in the judgment of the Contracting Officer, be cause for Termination for Default. The Contractor also acknowledges that this does not represent the sole and exclusive remedy available to the Authority in the event the Contractor breaches this or any other Organizational Conflict of Interest paragraph.

71. RECORD RETENTION; ACCESS TO RECORDS AND REPORTS

(a) The Contractor will retain, and will require its Subcontractors of all tiers to retain, complete and readily accessible records related in whole or in part to the Contract, including, but not limited to, data, documents, reports, statistics, sub-agreements, leases, subcontracts, arrangements, other third party agreements of any type, and supporting materials related to those records.

(b) If this is a cost-reimbursement, incentive, time and materials, labor hour, or price determinable Contract, or any combination thereof, the Contractor shall maintain, and the Authority and its representatives shall have the right to examine, all books, records, documents, and other evidence and accounting procedures and practices sufficient to reflect properly all direct and indirect costs of whatever nature claimed to have been incurred and anticipated to be incurred for the performance of this Contract.

(c) If the Contractor submitted certified cost or pricing data in connection with the pricing of this Contract or if the Contractor's cost of performance is relevant to any change or modification to this Contract, the Authority and its representatives shall have the right to examine all books, records, documents, and other data of the Contractor related to the negotiation, pricing, or performance of such Contract, change, or modification for the purpose of evaluating the costs incurred and the accuracy, completeness, and currency of the cost or pricing data submitted. The right of examination shall extend to all documents necessary to permit adequate evaluation of the costs incurred and the cost or pricing data submitted, along with the computations and projections used therein.

(d) The Contractor shall maintain all books, records, accounts and reports required under this paragraph for a period of at not less than three (3) years after the date of termination or expiration of this Contract, except in the event of litigation or settlement of claims arising from the performance of this Contract, in which case records shall be maintained until the disposition of all such litigation, appeals, claims or exceptions related thereto.

(e) The Contractor agrees to provide sufficient access to the Authority and its contractors to inspect and audit records and information related to performance of this Contract as reasonably may be required.

(f) The Contractor agrees to permit the Authority and its contractors' access to the sites of performance under this Contract as reasonably may be required.

(g) If an audit pursuant to this paragraph reveals that the Authority has paid any invoices or charges not authorized under this Contract, the Authority may offset or recoup such amounts against any indebtedness owed by it to the Contractor, whether arising under this Contract or otherwise, over a period of time equivalent to the time period over which such invoices or charges accrued.

This Paragraph will survive any termination or expiration of this Contract.

72. ANTI-CORRUPTION AND BRIBERY LAWS

The Contractor shall comply with all Applicable Anti-Corruption and Bribery Laws. The Contractor represents and warrants that it has not and shall not violate or cause the Authority to violate any such Anti-Corruption and Bribery Laws. The Contractor further represents and warrants that, in connection with supplies or services provided to the Authority or with any other business transaction involving the Authority, it shall not pay, offer, promise, or authorize the payment or transfer of anything of value, directly or indirectly to: (a) any government official or employee (including employees of government owned or controlled companies or public international organizations) or to any political party, party official, or candidate for public office or (b) any other person or entity if such payments or transfers would violate applicable laws, including Applicable Anti-Corruption and Bribery Laws. Notwithstanding anything to the contrary herein contained, the Authority may withhold payments under this Contract, and terminate this Contract immediately by way of written notice to the Contractor, if it believes, in good faith, that the Contractor has violated or caused the Authority to violate the Applicable Anti-Corruption and Bribery Laws. The Authority shall not be liable to the Contractor for any claim, losses, or damages related to its decision to exercise its rights under this provision.

73. MISCELLANEOUS

(a) This Contract does not intend to, and nothing contained in this Contract shall create any partnership, joint venture or other equity type agreement between the Authority and the Contractor.

(b) All notices, statements, demands, requests, consents or approvals required under this Contract or by law by either party to the other shall be in writing and may be given or served by depositing same in the United States mail, postage paid, registered or certified and addressed to the party to be notified, with return receipt requested; by personally delivering same to such party; an agent of such party; or by overnight courier service, postage paid and addressed to the party to be notified; or by e-mail with delivery confirmation. Notice deposited in the U.S. mail in the manner hereinabove described shall be effective upon such deposit. Notice given in any other manner shall be effective only if and when received by the party to be notified.

If to the Contractor: As set forth in Exhibit B to this Contract

If to the Authority: Capital Metropolitan Transportation Authority
Attn: Chief Contracting Officer
2910 E. 5th Street
Austin, Texas 78702

Address for notice can be changed by written notice to the other party.

(c) In the event the Authority finds it necessary to employ legal counsel to enforce its rights under this Contract, or to bring an action at law, or other proceeding against the Contractor to enforce any of the terms, covenants or conditions herein, the Contractor shall pay to the Authority its reasonable attorneys' fees and expenses, regardless of whether suit is filed.

- (d) If any term or provision of this Contract or any portion of a term or provision of this Contract or the application thereof to any person or circumstance shall, to any extent, be void, invalid or unenforceable, the remainder of this Contract will remain in full force and effect unless removal of such invalid terms or provisions destroys the legitimate purpose of the Contract in which event the Contract will be terminated.
- (e) This Contract represents the entire agreement between the parties concerning the subject matter of this Contract and supersedes any and all prior or contemporaneous oral or written statements, agreements, correspondence, quotations and negotiations. In executing this Contract, the parties do not rely upon any statement, promise, or representation not expressed herein. This Contract may not be changed except by the mutual written agreement of the parties.
- (f) A facsimile signature shall be deemed an original signature for all purposes. For purposes of this paragraph, the phrase "facsimile signature" includes without limitation, an image of an original signature.
- (g) Whenever used herein, the term "including" shall be deemed to be followed by the words "without limitation." Words used in the singular number shall include the plural, and vice-versa, and any gender shall be deemed to include each other gender. All Exhibits attached to this Contract are incorporated herein by reference.
- (h) All rights and remedies provided in this Contract are cumulative and not exclusive of any other rights or remedies that may be available to the Authority, whether provided by law, equity, statute, or otherwise. The election of any one or more remedies the Authority will not constitute a waiver of the right to pursue other available remedies.
- (i) The Contractor shall not assign the whole or any part of this Contract or any monies due hereunder without the prior written consent of the Contracting Officer. No assignment shall relieve Contractor from any of its obligations hereunder. Any attempted assignment, transfer or other conveyance in violation of the foregoing shall be null and void.
- (j) The failure of the Authority to insist upon strict adherence to any term of this Contract on any occasion shall not be considered a waiver or deprive the Authority thereafter to insist upon strict adherence to that term or other terms of this Contract. Furthermore, the Authority is a governmental entity, and nothing contained in this Contract shall be deemed a waiver of any rights, remedies or privileges available by law.
- (k) This Contract shall be governed by and construed in accordance with the laws of the State of Texas. Any dispute arising with respect to this Contract shall be resolved in the state or federal courts of the State of Texas, sitting in Travis County, Texas and the Contractor expressly consents to the personal jurisdiction of these courts.
- (l) This Contract is subject to the Texas Public Information Act, Tex. Gov't Code, Chapter 552.
- (m) The Contractor represents, warrants and covenants that: (a) it has the requisite power and authority to execute, deliver and perform its obligations under this Contract; and (b) it is in compliance with all applicable laws related to such performance.
- (n) The person signing on behalf of the Contractor represents for himself or herself and the Contractor that he or she is duly authorized to execute this Contract.
- (o) No term or provision of this Contract is intended to be, or shall be, for the benefit of any person, firm, organization, or corporation for a party hereto, and no such other person, firm, organization or corporation shall have any right or cause of action hereunder.
- (p) CapMetro is a governmental entity and nothing in this Contract shall be deemed a waiver of any rights or privileges under the law.

(q) Funding for this Contract after the current fiscal year is subject to revenue availability and appropriation of funds in the annual budget approved by the Authority's Board of Directors.

(r) Time is of the essence for all delivery, performance, submittal, and completion dates in this Contract.

74. DRUG AND ALCOHOL TESTING PROGRAM

(a) The Authority and its contractors and subcontractors are required to comply with the requirements of 49 C.F.R. Part 219 with no exceptions. Contractor has established and implemented, or agrees to establish and implement, and cause its applicable subcontractors to establish and implement, a drug and alcohol testing program for regulated employees (including volunteers, employees and probationary employees) whose duties include inspection, construction, maintenance or repair of roadway track; bridges, roadway, signal and communications systems, electric traction systems, roadway facilities or roadway maintenance machinery on or near track or with the potential of fouling a track and flagmen and watchmen/lookouts ("Part 219 employees") that complies with 49 C.F.R. Part 219, produce any documentation necessary to establish its compliance with Part 219, and permit any authorized representative of the United States Department of Transportation or the Federal Railroad Administration ("FRA") and the Authority to inspect the facilities and records associated with the implementation and operation of the drug and alcohol testing program as required under 49 C.F.R. Part 219, including the review of the testing process.

(b) **Prior to the performance of any work under the Contract by any Part 219 employees on or after June 12, 2017,** the Contractor shall furnish the Authority, and cause each subcontractor that provides part 219 employees to perform work under the Contract to furnish the Authority, with copies of all supporting compliance documentation including but not limited to the following:

- (1) A copy of the Contractor's 49 C.F.R. Part 219 Railroad Contractor Compliance Plan.
- (2) A copy of the Federal Railroad Administration's acceptance letter for 49 C.F.R. Part 219 Railroad Contractor Compliance Plan.
- (3) A certified list of the Contractor's Part 219 grandfathered employees (June 12, 2017).
- (4) A certified list of employees who are currently regulated by 49 C.F.R. Part 219 Railroad Contractor Compliance Plan Part 219.
- (5) Copies of the employees DOT 40-25 previous employer drug and alcohol record covered by 49 C.F.R. Part 219 Railroad Contractor Compliance Plan.
- (6) Updated list of the Contractor's employees when an employee status has changed or employee becomes ineligible, along with an updated certification required in subparagraph (4).
- (7) Rule G Observations when requested by the Authority.
- (8) Management Information System Report (MIS) each six (6) months.

Access to the work site will be prohibited to employees not named in the certified list required by subsections (4) and (6).

(c) Upon notice to the Contractor, CapMetro may require the Contractor and any Subcontractor providing Part 219 employees to use a third-party compliance provider to track the Contractor's Part 219 compliance. If the Contractor or any of its Subcontractors fails to utilize such required compliance provider or an approved equivalent as required, then the Authority may suspend the Contractor's performance under this Contract and/or pursue default remedies under this Contract. The Authority reserves the right to change the required third-party compliance provider upon notice to the Contractor. In the event that CapMetro requires the Contractor to use a third-party compliance service, any costs of the required service will be reimbursed by CapMetro provided the Contractor follows the following reimbursement procedure: the

Contractor shall provide the estimated costs of the compliance service within fourteen (14) calendar days following CapMetro's notice to the Contractor of the adoption of a third-party compliance provider requirement and Contractor shall not incur any costs until a subsequent Contract Modification is fully executed.

(d) The Contractor shall provide the Authority with a list of the names of any Subcontractors performing Part 219 services, along with a certified list of the employees assigned by the Subcontractor to perform work under the Contract, at least ten (10) calendar days prior to the time a Subcontractor or its Part 219 employees enters the work site. The Contractor and each Subcontractor shall be solely responsible for their compliance with 49 C.F.R. Part 219.

(e) The Contractor shall include the substance of subparagraphs (a)-(e) of this clause, in each applicable subcontract under this Contract.

(f) If the Authority discovers that the Contractor or any of its Subcontractors are not in compliance with the requirements of 49 C.F.R. Part 219, the Authority may suspend the Contractor's performance under this Contract and/or pursue default remedies under this Contract.

75. EQUAL OPPORTUNITY

During the performance of this Contract, the Contractor agrees that it will, in good faith, afford equal opportunity required by applicable federal, state, or local law to all employees and applicants for employment without regard to race, color, religion, sex, national origin, disability or any other characteristic protected by federal, state or local law.

76. CONTRACTOR CERTIFICATION

The Contractor certifies that the fees in this Agreement have been arrived at independently without consultation, communication, or agreement for the purpose of restricting competition, as to any matter relating to such fees with any other firm or with any competitor.

77. FUNDING AVAILABILITY

Funding after the current fiscal year of any contract resulting from this solicitation is subject to revenue availability and appropriation of funds in the annual budget approved by the Authority's Board of Directors.

78. APPROVED SAFETY VESTS AND PPE

The Contractor and each of the Contractor's employees, as well as each Subcontractor and any workers working on behalf of Subcontractor, shall wear ANSI Class 2 or 3 high-visibility safety vests at all times when on the Authority's premises including but not limited to bus maintenance and operations facilities, rail maintenance and operations facilities, on or near a roadway exposed to vehicular traffic or while on or near rail right-of-way, construction sites, operations and maintenance facilities. Furthermore, within designated project areas such as construction sites and rail right-of-way, additional personal protective equipment (PPE) must be worn, including but not limited to ANSI Class 2 or 3 high-visibility safety vests, hard hats, safety glasses, and any other PPE deemed necessary based on the specific tasks or hazards present. Failure to comply with this requirement shall result in removal from the premises and may result in termination of the Contract.

EXHIBIT F-REVISED 4
SCOPE OF WORK**Construction – 3100 E 5th Street Phases 1A and 2 – 1st and 2nd Floors**

1. BACKGROUND & PROJECT DESCRIPTION

Capital Metropolitan Transportation Authority (“CapMetro,” or “the Authority”) is a public agency responsible for providing mass transit service within the City of Austin and the surrounding communities of Leander, Lago Vista, Jonestown, Manor, San Leanna, and Point Venture, as well as the unincorporated area of Travis County within Commissioner Precinct 2 and the Anderson Mill area of Williamson County.

CapMetro recently acquired an existing building located at 3100 E. 5th Street, Austin, Texas, which will become CapMetro’s new administrative headquarters. The building is an existing three-story, Class-A, core-and-shell structure recently built in 2020. The total building is approximately 183,000 SF. Portions of the first and second floors are the basis for this tenant improvement project. The Contractor, and their subcontractors and vendors, shall be knowledgeable and experienced with the construction of office tenant improvements, and building repairs and renovations.

Under this construction contract, CapMetro intends to hire a general contractor (“Contractor”) to construct the following tenant improvements and exterior improvements:

- Phase 0 – Covered Walkway Structure and Parking Lot Reconfiguration (as an allowance)
- Phase 1A – “Community Room and Board Room Suite” – approximately 9200 SF
- Phase 2 – “1st Floor and 2nd Floor Zones” – approximately 46,000 SF
- **Total = approximately 55,200 SF**

The work also includes miscellaneous building and site improvements, plus an optional 9200 SF of interior office space construction on the 2nd floor which may be exercised by CapMetro under Option 1.

2. SCOPE OF WORK

The construction (“Work”) is generally described below and more thoroughly represented by the contract documents (drawings, plans, exhibits, and specifications). The Contractor shall provide all labor, materials, deliveries, equipment, and installation required to complete the Work.

1. Interior offices and open office areas
2. Conference rooms and meeting rooms
3. Break/lunchroom
4. Metal stud and drywall partitions, doors, sidelights, and interior glazing systems
5. Interior finishes such as flooring, paint, ceilings, and decorative finishes
6. Minor interior demolition, removal, and disposal
7. Interior staircase
8. New exterior vestibule for elevator
9. Exterior aluminum storefront windscreen
10. Structural steel supports and foundations
11. Foldable partitions
12. HVAC systems, electrical/lighting, plumbing trades
13. Rooms for telecom/server equipment (MDF and IDF rooms)
14. Modify existing sprinkler heads for new partition layout
15. Interior building & wayfinding signage
16. Testing, balancing, commissioning, and start-up.

17. Sustainability elements pursuant to LEED Silver for Interior Design and Construction (LEED ID+C)
18. Uninterruptible power supply (UPS)
19. Data/IT/video cabling and data outlets/terminations
20. Electronic access control ("card readers")
21. CCTV security cameras
22. Exterior dumpster enclosure
23. Exterior concrete ramp and stair
24. Electric vehicle chargers
25. Exterior covered walkway (as Allowance)

3. **CONTRACT SCHEDULE**

The Work shall be **substantially completed (including a Certificate of Occupancy from the City of Austin)** within the following durations. Punchlist completion and Final Acceptance shall be within 20 calendar days of the certificate of substantial completion. Notice to proceed for both phases will be issued at the same time.

- **Phase 2: Within 240 calendar days from notice to proceed. This includes Option 1 scope if selected.**
- **Phase 1A: Within 270 calendar days from notice to proceed.**
- **Phase 0: The schedule will be determined by CapMetro if Allowance is exercised.**

A Job Site Safety & Security Plan must be submitted by the Contractor and reviewed and approved by CapMetro prior to on-site mobilization; contract durations will not be adjusted if Contractor is required to revise and resubmit.

4. **WORK HOURS**

Standard work hours will be 7:00 a.m. to 6:00 p.m., however work is allowed 24 hours a day, seven (7) days a week. Construction activities shall not occur during the following holidays unless otherwise pre-approved by Capital Metro:

- (1) New Year's Day
- (2) Easter Sunday
- (3) Memorial Day
- (4) July 4th
- (5) Labor Day
- (6) Thanksgiving Day
- (7) Christmas Day

The Contractor shall comply with the City of Austin's noise ordinance during all work hours, which includes restrictions on delivering, finishing, placing, or pouring concrete during evening hours.

Construction activities that disrupt building occupants, such as excessive noise, vibration, odors, handling of materials, etc. shall be performed at night or on weekends when the building is less occupied, and when pre-approved by CapMetro. CapMetro will make the final determination of which activities are disruptive.

5. **COORDINATION WITH PHASE 1 CONTRACTOR**

A separate general contractor is currently constructing Phase 1 (3rd Floor plus exterior improvements) which is anticipated to end in June 2025. The Contractor will be required to jointly coordinate site logistics, deliveries, waste hauling, use of entrances, parking lot, utility shutdowns, etc., with the Phase 1 Contractor as directed by CapMetro. Weekly coordination meetings between the Contractor and the Phase 1 contractor will be conducted by CapMetro.

6. TECHNOLOGY & SECURITY SCOPE

The Contractor shall be responsible to furnish and install the following as part of the base scope. See document entitled "Information Technology & Audio/Video – Scope, Roles & Responsibilities" for other requirements assigned to the General Contractor.

(1) Data/IT/Video Cabling

Furnish, install, test, and commission all IT/Data/Video low-voltage cabling to all data outlets, cameras, access control card readers, digital monitors, systems furniture, and audio/visual locations identified on the plans. Low voltage cable shall be Cat-6 typical unless noted otherwise. Cat-6A is to be provided to all Wi-Fi access points (WAPs). Include fiber optic cables between MDF/IDF rooms. Include finished wall/cover plates and data ports. Terminate other end of cables in MDF/IDF rooms ready for CapMetro staff to connect to racks/switches/routers. Refer to "CapMetro Information Technology Communications Standards Policy Div 27" added for additional details. This includes installation of open post racks in the IDF rooms. See "CapMetro Data Diagram" for quantities and locations of all data drops.

(2) Electronic Access Control (Card Readers)

Furnish, install, test, & commission card reader hardware ("modules") at locations identified on the plans. All components must be compatible with CapMetro's existing Genetec software system. Include the backend hardware, wall mounted in the new MDF room on the 2nd Floor, plus programming of all card readers. Refer to CapMetro's "Access Control Scope of Work" document added under the SSI documents. Exclude switches and routers in the MDF/IDF racks which are by CapMetro.

(3) CCTV cameras

Furnish, install, test, & commission camera hardware at locations identified on the plans. All components must be compatible with CapMetro's existing Genetec software system. Exclude switches and routers in the MDF/IDF racks which are provided by CapMetro. Refer to CapMetro's "Access Control Scope of Work" document added under the SSI documents. Contractor shall complete applications and submit access control drawings and specifications to the City of Austin to obtain Fire Department permit approval and inspections.

(4) Wire Access Points (WAP) Installation Only

CapMetro will furnish the WAP devices and the Contractor will install. See document entitled "Information Technology & Audio/Video – Roles & Responsibilities" for additional information. See CapMetro's "WAP Diagram" for all quantities and locations.

(5) Mounting Brackets for TVs/Monitors

The Contractor shall furnish & install mounting brackets for TV's and monitors at all areas, except the following which will be by CapMetro:

- Phase 1A – all areas
- Phase 2 – Training Room 113 & Training Room 114

A list of TV sizes is provided in document titled "Phase1A-2_TV Sizes" for the Contractor to coordinate and furnish the proper size of mounting brackets.

(6) HDMI Cabling for TVs/Monitors

The Contractor shall furnish, install, and connect HDMI cables for TVs/monitors at the locations and quantities noted on the "CapMetro HDMI Diagram".

7. UPS SYSTEM

The UPS equipment will be "Owner furnished; Contractor installed." The Contractor will install, test, commission, plus install conduits and cables to electrical panels for a fully functional system as shown on the drawings. The UPS system will be provided to the Contractor within the first floor area of the building; the Contractor will be responsible for moving and installing the UPS at its final location noted on the drawings. Cut sheets of the proposed UPS are provided for reference.

8. SEPARATE VENDORS BY CAPMETRO

CapMetro has separate contracts with multiple vendors as noted in Division 01 10 00 and 01 12 00.

These vendors shall furnish and install their respective work items; however, the Contractor shall coordinate, sequence, and schedule the work of these vendors as required for proper and full construction and integration to be completed within the Contract Time.

Interface with the work of CapMetro's vendors requires the Contractor to provide all backing, structural supports, embedded anchor bolts, conduits, pull boxes, pull strings, and electrical services for CapMetro's separate vendors. The Contractor's electrical contractor shall make all final power connections for all equipment installed by CapMetro's separate vendors. The Contractor's electrical contractor shall be present at testing and start-up of all systems installed by CapMetro's separate vendors.

Refer to "CapMetro Information Technology Communications Standards Policy Div 27" added for additional details.

9. CAPMETRO PROVIDED ITEMS

Unless otherwise noted, CapMetro shall furnish and install the following items:

- Wi-Fi access points (WAPS) hardware (furnish only; installation by General Contractor))
- Digital wall monitors (TVs) (furnish only; installation by General Contractor))
- Furniture and furniture systems
- Copy/print machines
- Technology equipment/switches located in racks of the MDF/IDF.
- Digital Room Scheduler Devices (furnish only; installation by General Contractor)
- Audio/Visual By CapMetro:

CapMetro's vendor will be fully responsible to design, furnish, install, and commission all audio/visual equipment and devices (TVs, monitors, projectors, project screens, speakers, microphones & TV mounting brackets) in the following areas:

- Phase 1A – all areas
- Phase 2 – Training Room 113 & Training Room 114

See document entitled "Information Technology & Audio/Video – Scope, Roles & Responsibilities" for additional information.

Refer to "CapMetro Information Technology Communications Standards Policy Div 27" added for additional details.

10. SUSTAINABILITY REQUIREMENTS

The project will be constructed to achieve a minimum LEED Silver for Interior Design and Construction (LEED ID+C) as indicated in the construction documents. Contractors shall comply with sustainability requirements as noted in the drawings, specifications, and exhibits of this RFP, including a compliant waste management plan.

The contractor shall employ and designate an employee familiar with LEED to manage and ensure the sustainability goals are properly submitted, constructed, and documented.

The contractor shall submit all required sustainability documentation to CapMetro with submittals and product data.

The contractor shall coordinate on-site all testing, balancing, commissioning, and start-up procedures and review with CapMetro's Commissioning Authority.

See Division 01 specifications 01352 for additional requirements.

11. EQUIVALENT PRODUCTS AND MATERIALS

All products, materials, and equipment listed in the technical specifications shall be identified with "or approved equal." Technology and security items must be compatible with CapMetro's existing systems and software.

12. SPARE MATERIALS

The contractor shall deliver the following spare materials and components to CapMetro: As noted in the project drawings and specifications attached to this RFP.

13. SUPERVISION AND MANAGEMENT OF THE WORK

The Contractor shall assign a designated project manager, a separate superintendent, and a separate safety manager. Capital Metro reserves the right to require a replacement project manager or superintendent, quality manager, safety manager, or sustainability manager at any time during the project at no additional cost, time, or claim. Replacement staff are subject to Capital Metro's approval. The superintendent and safety manager (or their designee) shall be present on-site whenever work is occurring.

The contractor shall provide a digital construction document management system (such as "Procore" or equivalent) for the management of all construction documents, submittals, RFIs, changes, as-builts, etc.

See Exhibit E and Division 01 specifications for additional requirements.

14. EXISTING BUILDING OCCUPANTS

The Contractor shall coordinate with CapMetro and provide 72-hour notice for any work that may disrupt existing building occupants, including unreasonably loud or vibrating activities. No work or deliveries shall impede the ability of existing building occupants to access their suites, parking, or restrooms.

The existing elevators are to be used primarily for existing building occupants and visitors. The Contractor may use the elevators for handling materials only upon coordination and pre-approval from CapMetro. Construction staff/crews should access the 2nd and 3rd floors from the northern courtyard stairs and should not use the elevator for convenience. Access to the courtyard should be limited to the eastern courtyard entrance and avoid using the southern courtyard entrance.

15. USE OF PARKING LOT

The parking lot will remain in use by CapMetro employees, visitors, building tenants, etc. The Contractor may use the parking lot area on the east side of the building for material laydown, storage, construction trailer/office, and contractor parking provided that the fire lanes shall not be obstructed. Deliveries and construction traffic coming and going from the site shall be from the Tillery Street gate and shall be coordinated with CapMetro.

The Contractor is advised that a separate contractor is currently occupying a portion of the east parking lot for the construction of Phase 1 which is currently in progress. The Contractor will be required to coordinate deliveries, use of entrances, and parking lot with the Phase 1 Contractor as directed by CapMetro.

16. JOB SITE SAFETY AND SECURITY

The Contractor shall be responsible for the safety and security of all persons, visitors, equipment operators, workers, and subcontractors in the Work areas. See Division 01 specifications and Section 01 43 10 Safety & Security Requirements for additional safety requirements.

The Contractor shall comply with CapMetro's requirements regarding the handling of sensitive security information (SSI) documents. SSI documents are indicated in the list of attachments and available upon request. Recipients of SSI documents must sign and return CapMetro's non-disclosure agreement. Note: SSI documents contain pertinent information related to the Access Control and Security Camera scope of work.

17. PERMITS AND INSPECTIONS

The Work shall be executed in accordance with all applicable TCEQ, federal ADA, TAS/TDLR, City of Austin building codes & standards, and other standards required by all authorities having jurisdiction (AHJ).

The Contractor shall secure the necessary trade permits. Coordinate and obtain all required inspections with the City of Austin. Comply with all applicable requirements, and allow site access to, representatives of any authority having jurisdiction over the project.

For deferred submittals, all professional design services must be performed under the supervision of an engineer and/or architect licensed in the State of Texas. Documents shall be signed and sealed by said Licensed Engineer or Licensed Architect.

CapMetro will be responsible to pay for and provide all third-party special inspections required by the approved permit.

18. MISCELLANEOUS

All property shall be returned to original condition two (2) weeks after final acceptance or after the Contractor stops regular and active use of the property. Any damage to the Authority's property by the Contractor of all applicable work shall be restored to original conditions or compensated to CapMetro per CapMetro's estimates.

CapMetro will provide available as-builts and record drawings of the existing building and site conditions prior to the start of construction. These are for the Contractor's reference and convenience only and represent the existing building conditions. The Contractor shall be fully responsible for field verifying and confirming existing building and site conditions.

The Contractor shall maintain an effective quality control system for all services provided in this Contract. The Contractor shall provide the necessary staffing, policies, and procedures required to identify, document, and correct quality defects and deficiencies. See specification Division 01 40 00 for additional requirements.

After the walls, doors, and windows are constructed the Contractor shall be responsible for conducting an on-site radio signal survey to determine if the City's existing public safety radio system has coverage within the interior of the tenant improvements. The Contractor shall comply with Fire Department/City requirements when conducting the survey. The purpose of the survey is to determine whether an Emergency Responder Communication Enhancement Systems (ERCES) at the building is required. The installation of the ERCES at the building is not requested at this time but may be added by future change order if the survey determines the need.

19. ALLOWANCES

The following allowances have been provided in Exhibit A, pricing schedule. Each proposer shall carry the same dollar amount indicated. Allowances may be exercised by CapMetro at any time during the contract period. Prior to Allowances being exercised, fully designed drawings and specs will be provided to the Contractor as a price request; the price agreed upon by the parties will be documented in an executed change order which will replace the allowance amount. See specification section 01 21 00 Allowances for additional information.

(1) Covered Walkway (Phase 0) - Lump sum allowance for all parts, labor, installation, tools, materials, delivery, handling, hauling, overhead, mark-ups, profit, bonds, insurance, and equipment. This includes demolition, lighting, structure, finishes, restriping parking lot & signage, concrete sidewalk and ramps, and miscellaneous pavement patching, plus security cameras. In-progress design drawings are included in the RFP for general reference only.

(2) Add New Shower Room and Procurement File Room at First Floor - Lump sum allowance for all parts, labor, installation, tools, materials, delivery, handling, hauling, overhead, mark-ups, profit, bonds, insurance, and equipment. This includes demolition, partitions, doors, hardware, finishes, HVAC, plumbing, lighting, electrical, and accessories for complete room construction. The designs are still in progress and will be provided to the Contractor after contract award.

20. OPTIONAL ITEMS

The following items are not a part of the base Contract Work/Price. However, CapMetro requests the Contractor's proposal to provide pricing Options to include these items. Capital Metro reserves the right to select all, none, or a combination of these Options. Options may be exercised by CapMetro at any time during the contract period. The Contractor's technical proposal should provide additional clarification regarding these items as needed. For this RFP, the terms "Options" and "Bid Alternates" are synonymous.

- (1) Option 1: Construct approximately 9200 SF of office interiors, located on the 2nd Floor, Zone D, as labeled on drawings as "Option 1 or OPT-1".
- (2) Option 2 through 4 – Contractor Suggestions: The Contractor may propose items of cost savings or schedule reductions. Examples include the use of alternate materials, value engineering suggestions, accelerated construction schedules, or other ideas. Provide the title of each suggestion and the associated price (deduct) representing the savings of each of these items in Exhibit A. The technical proposal shall include a description of the benefits of the suggestion, associated cost or schedule reductions, and if a redesign is warranted because of the suggestion. Suggestions selected by CapMetro will be included in the contract at the time of award or a later date.

21. REQUIRED DOCUMENTS/ DELIVERABLES

Deliverables required for the performance of the Contract include but are not limited to the following:

- (a) Documents due fourteen (14) days after a Notice-To-Proceed (NTP):
 - (1) Project Schedule
 - (2) Schedule of Values
 - a. Provide an itemized and detailed schedule of values (SOV) representing each component of work and prepared in such a form as approved by CapMetro. Provide detailed backup data to substantiate its accuracy upon CapMetro's request. The SOV, unless otherwise stated by CapMetro, will be used as the basis for reviewing and approving the Contractor's applications for payment and change orders. The SOV should be updated by the Contractor to reflect changes in the Contract Sum.
 - (3) Schedule of Submittals
 - (4) Job Site Safety Plan
 - (5) Job Site Security Plan
 - (6) Quality Control/Assurance Plan
- (b) Product Data, Shop Drawings, Samples, and Mock-ups: as noted in the drawings and specifications.
- (c) Temporary Shoring Designs & Calculations (when needed); signed & sealed by a professional engineer.
- (d) Product Certifications and Reports: Laboratory Tests of Products
- (e) Monthly Progress Submittals:
 - (1) Schedule Updates
 - (2) Certified Payroll
 - (3) Applications for Payment
 - (4) DBE Compliance Forms.
- (f) Weekly Progress Submittals:
 - (1) Meeting Minutes of Weekly Construction Progress Meetings
 - (2) 3-week "look ahead" schedule.
- (g) Close-Out Documents:
 - (1) Signed Notice of Substantial Completion

- (2) Certification of Completion of Punch List
- (3) Release of Liens
- (4) Consent of Surety for Final Payment
- (5) General Release of Claim
- (6) As-Built Drawings
- (7) Contractor Certificate of Completion
- (8) Return all CapMetro-issued identification badges
- (9) Final Invoice

(h) Other submittals as indicated in Division 01, plans, and specifications.

22. ADDITIONAL SCOPE OF WORK ITEMS & CLARIFICATIONS

(a) Window Film: In addition to the window film indicated on the drawings, the Contractor's base price shall include interior window film at the following locations:

- (1) Phase 1A:
 - a. Window Type 'K' – provide partial-height window film to match Window Type 'A'
 - b. Window Type 'L' – provide partial-height window film to match Window Type 'A'
- (2) Phase 2:
 - a. Window Type 'L' – provide partial-height window film to match Window Type 'A'

(b) Speed Security Gate at Reception 100 - The Contractor's base price shall include installation of two (2) total speed security gates instead of the three (3) noted on the drawings. Maintain one (1) ADA compliant gate and one (1) standard gate. Provide under-slab power and data feeds as shown on the interior design drawings.

(c) Instead of "K-13" for spray-on acoustical ceiling treatment, the base price/scope should include SonaSpray "fc" (or approved equal) for all locations noted "AF-1" & "AF-2" to match 3rd floor. Thickness remains as noted on plans.

(d) Clarification for all exposed concrete areas labeled as "CF-1" finish on the drawings: Base price should include polishing to match Third Floor per the following:

- Grit: Class A Finish (85-95% Cement Fines; 5-15% Fine Aggregate)
- Finish: Level 3 Polish (Image Clarity Value 40-69% ; Haze Index Less than 10)

(e) Refer to updated Geotechnical report prepared by Terracon, dated March 7, 2025. Base price shall reflect updated information regarding excavation, backfill, and soils preparation for new footings and structures.

(f) All hangers and supports for HVAC are subsidiary and should be included in the base cost per Spec Section 230529, including structural shapes, metal fabrications, angle iron, etc.

(g) Digital Clocks – Will be Owner furnished and Contractor installed. Contractor's base price to include provisions for (16) locations which each include a wall or ceiling mounted j-box, data drop, and associated Cat6 cable back to nearest IDF room on each floor. Locations to be field located by CapMetro.

(h) Digital Conference Room Schedulers – Will be Owner furnished and Contractor installed. Contractor's base price to include provisions for (18) locations which each include a data drop and associated Cat6 cable back to nearest IDF room on each floor. Devices will be mounted to sidelight glass or aluminum storefront. Data cable will run from ceiling down interior of aluminum storefront (door jamb) of Conference Room sidelites; provide opening in storefront jamb for data connection to device. Locations to be field located by CapMetro.

- (i) Clarification on Door Undercuts – Contractor to be advised that the existing 1st and 2nd floor slabs may be uneven. Doors heads and window heads shall be installed to have a level, consistent datum point on each floor; and doors shall maintain consistent undercut of 3/8-inch, with 1/2-inch maximum allowed .
- (j) Metal Stud Furring - Include 150 LF of full-height metal stud and gyp. board furring. Locations will be field located by CapMetro.
- (k) Pony walls – Include 100 LF of 4-FT high metal stud and gyp. board pony walls. Locations will be field located by CapMetro. Pony walls to received painted wood cap.
- (l) All exposed metal conduits installed on exterior of building shall be prepped and painted to match existing building exterior. Color to be confirmed by Architect.
- (m) All existing interior roof drain lines (vertical and horizontal) shall be insulated with 1" white pipe insulation. Existing hangers and supports will remain as-is.
- (n) Existing Building Expansion Joint at Second Floor of Phase 2 will require the following (or approved equal):
 - a. Floor – remove and replace ~60 LF with Sika Emsheid DFR2 system (2 hour rated); provide CS-Group RFD-200 aluminum cover plate; must be ADA/TAS compliant.
 - b. Gyp. Board Walls that cross the building expansion joint – Provide Inpro 112 wall series with standard Santoprene seal; Bright White seal color
 - c. T-bar Ceilings that cross the expansion joint – Provide Inpro 116 Ceiling Series with Standard Santoprene seal; Bright White color; include wall to ceiling transition pieces
- (o) Existing interior aluminum storefront window at 3rd Floor Breakroom to be removed and replaced with new 3-FT wide aluminum storefront door and sidelight with lever hardware (passage latch) and door closer; window shall be type "D" ("4") and door shall be type "FG" (full glass) as shown on sheet ID713-2. Updated drawings will be provided after contract award.
- (p) At 3rd Floor, Sound/Film Studio Room 324, furnish and install 400 SF of 1-Inch thick by 2-FT X 4-FT felt acoustical panels by AlphaSorb or approved equal; wall mount with screw attachments. Location and pattern to be field verified by CapMetro.

END OF DOCUMENT

EXHIBIT I – AUTHORIZATION OF WORK PRODUCT

DESCRIPTION: Construction – 3100 E. 5th Street - Phases 1A and 2 – 1st and 2nd Floors**CONTRACT NO.: To be determined following contract award****Authority's Contracting Officer (CO)**

- A. The CO for administration of this Contract is Terry Dudley.
B. Phone: 512-369-6225
C. Email: terry.dudley@capmetro.org

The Contracting Officer is responsible for the general administration of the Contract, negotiation of any changes, and issuance of written modifications, task order revisions, or Change Orders (as it pertains to Construction Contracts Only and results in a Contract modification – see below) to the Contract. If the parties desire to modify the Contract, or revise the Task Order of the Contract, in any way, only the Contracting Officer is authorized to issue a written modification for authorized signatures.

Authority's Project Manager (PM)

- A. The PM for this Contract is Thomas Shockley
B. Phone: 512-550-2534
C. Email: thomas.shockley@capmetro.org

The Authority's PM for this Contract is responsible for the overall management and coordination of this Contract and will act as the central point of contact for the Authority. The PM has full authority to act for the Authority in the performance of any project connected to the Contract. However, the PM cannot authorize, in writing or orally, to commence any work. The PM shall meet with Contractor's PM to discuss problems as they occur. Any changes, including changes pursuant to the Changes clause in the Contract, will be handled solely by the CO. As needed, the Authority's PM may assist with development of Change Orders and Contract modifications with the Authority's CO.

Field Change Orders (Construction Contracts Only) – The Authority's PM is permitted to authorize work when an event occurs in the field during construction which requires immediate action. Immediately, but no later than three (3) business days following such action, the Authority's PM must provide a signed Change Order to the CO along with any other required procurement documentation in order to memorialize the Change Order in a task order revision or Contract modification.

The Contractor understands that should Contractor perform any work prior to written authorization by the Authority's CO, Contractor is not allowed to invoice for any additional cost or fee for services or goods under the Contract, nor is the Authority liable for any payment for any unauthorized work.

SIGNED and DATED

Contractor – *must sign and return with Offer*

E-SIGNED by Thomas Shockley
on 2025-05-15 12:47:54 AKDT

Authority's Project Manager (PM)

E-SIGNED by Terry Dudley
on 2025-05-15 11:52:48 AKDT

Authority's Contracting Officer (CO)

2/28/2025

Date

Date

Date